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# **Technical Document**

List of harmonised and national restriction codes

Version	Date	Comments	
1.00	30/06/2011	First issue. Adapted according to draft ERATV decision.	
1.01	13/04/2012	Code 05.01 added, update of reference to ERATV	
1.02	08/10/2014	"0" was removed from all codes	
1.03	08/02/2018	<ul> <li>Updated document layout.</li> <li>New codes from:         <ul> <li>Agency Recommendation of project Rationalisation o vehicle-related registers (RVRR).</li> <li>Finish NSA request (code 2.6.201)</li> <li>NOI TSI Working Party (codes 2.7.xxx)</li> </ul> </li> </ul>	
1.04	17/10/2018	Corrected typo on document history date (version 1.03 corrected typo.03 corrected ty	
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1.06	01/10/2019	New codes for: - Identifying historical vehicles - on-board equipment – recording devices	
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1.09	05/03/2021	Corrected typo in table 4, code 2.5.124.	
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1.11	19/01/2021	Updated table 4, code 3.1, name and comment.	
1.12	11/03/2022	Updated table 4, code 2.7.2 comment.	
1.13	03/10/2023	Updated table 3 and 4 according to Annex II of Regulation 2023/1695.	

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### **1. INTRODUCTION**

This document describes the list of harmonised and national restriction codes for the whole of the Union rail system, to be referred in ECVVR(NVR), EVR, ERATV, ERADIS and in vehicle and vehicle type authorisations delivered (OSS), as specified in the following legal texts:

National Vehicle Register / European Vehicle Register:

- Directive (EU) 2016/797:
  - Article 47(3): "The national vehicle register shall contain at least the following elements: ...
     (e) restrictions on how the vehicle may be used;..."
- Decision 2007/756/EC (NVR Decision)
  - Annex, Appendix 1: The Agency shall keep up-to-date and publish on its web site the list of harmonised restriction codes for the whole of the Union rail system.
  - Annex, Appendix 1: The Agency shall keep a unique list of restriction codes for the EVR, the European register of authorised types of vehicles referred to in Article 48 of Directive (EU) 2016/797, the one-stop shop and the European Railway Agency Database of Interoperability and Safety.
- Decision (EU) 2018/1614 (EVR Decision):
  - Annex II, Appendix 1: The Agency shall keep a unique list of restriction codes for the EVR, the European register of authorised types of vehicles referred to in Article 48 of Directive (EU) 2016/797, the one-stop shop and the European Railway Agency Database of Interoperability and Safety.

European Register of Authorised Types of Vehicles:

- Directive (EU) 2016/797:
  - Article 48(3): "The register shall include at least the following elements for each type of vehicle: ... (c) the data of the authorisations related to the area of use for a vehicle type, including any restrictions or withdrawals."
- Decision 2011/665/EU (ERATV Decision):
  - Article 4: "The list of harmonised restriction codes shall be the list referred to in Commission Implementing Decision (EU) 2018/1614"

Vehicle type authorisation and vehicle authorisation for placing on the market :

- Directive (EU) 2016/797:
  - Article 15(4): "The applicant shall be responsible for compiling the technical file that is to accompany the 'EC' declaration of verification. That technical file shall contain...all the elements relating to the conditions and limits of use..."
  - Article 21(10): "Vehicle authorisations for placing on the market shall state: ... (d) the conditions for use of the vehicle and other restrictions."
- Regulation (EU) 2018/545:
  - Article 20: "The applicant shall identify the intended conditions for use of the vehicle and other restrictions linked to the vehicle type"
  - Article 27(2): "In order to mitigate a situation of non-conformity the applicant may, alternatively, do one or more of the following: .... (b) establish conditions for use of the vehicle and other restrictions as pursuant to Article 20 ..."
  - Article 39(5):"An assessment file shall be issued by the authorising entity and shall contain the following: (a) ... and, where appropriate, conditions for use or restrictions..."
  - Article 46(4): "The authorising entity shall state the following in its decision: (a) any conditions fro use of the vehicle and other restrictions..."
  - Article 48 : "The vehicle type authorisation issued by the authorising entity shall contain the following information: (....) (c) an identification of the basic design characteristics of the vehicle type: (...)(iii) the conditions for use of the vehicle and other restrictions;"

- Article 49 : "The vehicle authorisation for placing on the market issued by the authorising entity shall contain the following information: (...)(d) identification of the: (...)(iii) conditions for use of the vehicle and other restrictions;"
- Annex I: "Content of the application (...)14. Conditions for use of the vehicle and other restrictions (to be specified according to Decision 2011/665/EU Annex II) (M)"
- Regulation (EU) 2019/250:
  - Annex I, II, III, IV & V: "The following conditions of use and other restrictions apply: [List or reference to the list of conditions for use and other restrictions; When a reference to a list of conditions for use and other restrictions is made, such list shall be accessible to the authorising entity"

# **2. ABBREVIATIONS AND REFERENCES**

# 2.1. Abbreviations and acronyms

Abbreviation	Definition
Agency / ERA	European Union Agency for Railways
ECVVR	European centralised virtual vehicle register
ERADIS	European Railway Agency Database of Interoperability and Safety
ERATV	European register of authorised types of vehicle
EVR	European Vehicle Register
MS	Member State
NVR	National vehicle register
NSA	National safety authority
OSS	One Stop Shop
RVRR	Recommendation on the Rationalisation of vehicle-related registers
RE	Registration entity
WP	Working Party

#### 2.2. References

[N°]	Title
[1]	Decision 2007/756/EC (NVR Decision)
[2]	Decision 2011/665/EU (ERATV Decision)
[3]	Agency Recommendation on the specification of the National Vehicle Register and the adoption of the system of organization codes in TAF and TAP TSIs (RVRR). (ERA-REC-102-2016)
[4]	EU Interinstitutional style guide
[5]	Decision (EU) 2018/1614 (EVR Decision)
[6]	Directive (EU) 2016/797
[7]	Regulation 2018/545
[8]	Regulation 2019/250

## **3.** HARMONISED RESTRICTION CODES

This section includes the list of harmonised restriction codes for the whole of the Union rail system.

These codes are applicable in all the Member States.

If an Authorising Entity (NSA or ERA) considers that a new code needs to be added to the list of harmonised restriction codes, it will request the Agency to evaluate the inclusion of this new code.

The request must be sent to the following email address: <u>servicedesk@era.europa.eu</u> indicating in the subject line "*List of harmonised coded restrictions – request for a restriction code*" and providing justification of the need and an explanation of the new code including (format of the data, content expected)

The Agency will evaluate the request, in consultation with other Authorising Entities, where appropriate.

When appropriate, the Agency will include a new restriction code in the list.

The list of harmonised restriction codes is included in Appendix 1 - Table 1 and published on the Agency website.

### 4. NATIONAL RESTRICTION CODES

Use of national restriction codes is limited to those restrictions that reflect particular characteristics of the existing railway system of a Member State and are unlikely to be applied with the same meaning in other Member States.

For types of restrictions not indicated in the list referred to in section 3, the NSA may request the Agency the inclusion of a new code in the list of national restriction codes. The Agency will evaluate the request, where appropriate in consultation with other national safety authorities. If appropriate, the Agency will include a new restriction code in the list.

The request should include the meaning of the restriction in the language of the MS and in English. The request must be sent to the email address <u>servicedesk@era.europa.eu</u> indicating in the subject line "List of harmonised coded restrictions – request for a national restriction code" and providing justification of the need and an explanation of the new code including (format of the data, content expected).

The national restriction code is preceded by the country code as officially published and updated on the European website in the *Interinstitutional style guide* [4].

The list of national restriction codes is included in Appendix 1 - Table 2 and published on the Agency website.

# 5. UPDATING

This document will be updated following the received requests referred to sections 3 and 4.

#### Appendix 1

#### LIST OF RESTRICTION CODES

The structure of a code is a combination of:

- Category of restriction
- Type of restriction
- Value or specification.

Which are joint by a dot (.):

[Category].[Type].[Value or specification]

#### Table 1. Harmonised restriction codes

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
1			Technical restriction related to construction		
	1	[Number]	Minimum curve radius in meters		
	2	-	Track circuit restrictions		

<sup>&</sup>lt;sup>1</sup> Date of insertion in the list

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
	3	[Number]	Speed restrictions (on the given network) in km/h		The values to be mentioned are the ones defined in the issued vehicle type authorisation and/ or vehicle authorisation for placing on the market.
					E.g., For the trainsets we should have :
					<ul> <li>Area of use France : Speed restrictions (on the given network) in km/h: 300km/h</li> </ul>
					<ul> <li>Area of use Germany : Speed restrictions (on the given network) in km/h: 250km/h</li> </ul>
					For the freight wagon :
					<ul> <li>Speed restrictions (on the given network) in km/h: 100km/h loaded and 120km/h empty</li> </ul>
					If the system would not allow having more than once this coded restriction, a coded restriction is to be created with one speed value and a non coded restriction with the other speed values.
	4	[Number]	Use in multiple operation (maximum number of trainsets authorised to be coupled together to operate as a single train)		
2			Geographical restriction		
	1	Alphanumeric	Kinematic gauge (coding WAG TSI)		This is to be understood as the reference profile. (See 4.2.3.1 of WAG TSI)

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
	2	Coded list	Wheelset gauge		The wheelset gauge depends on the area of use of the vehicle, several nominal track gauges exist (as referred in LOC&PAS TSI or TSI WAG) : 1 435 mm, 1 520 mm, 1 524 mm, 1 600 mm, and 1 668 mm. Some of the vehicles might declare one or more wheelset gauges (e.g. 1435mm, 1668 mm)
		1	Variable gauge 1435/1520		The values to be mentioned are the ones defined in the issued vehicle type authorisation and/or vehicle authorisation for placing on the market, so If the minimum curve radius is different for 1435 mm and for 1668 mm, the values for each minimum curves radius shall be mentioned by track gage.
		2	Variable gauge 1435/1668		See explanation for previous "Variable gauge 1435/1520"
		3	Gauge 1000	08.02.2018	This is to indicate the selected gauge
		4	Gauge 1435	08.02.2018	This is to indicate the selected gauge
		5	Gauge 1520	08.02.2018	This is to indicate the selected gauge
		6	Gauge 1524	08.02.2018	This is to indicate the selected gauge
		7	Gauge 1600	08.02.2018	This is to indicate the selected gauge
		8	Gauge 1668	08.02.2018	This is to indicate the selected gauge
	3		No CCS on board		Code to be used for all non-CCS equipped vehicles (e.g. for freight wagons, code 2.3 means NO CCS on board)

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
	4	Coded list	ERTMS on board		For the ETCS, level 1, 2 or 3 relate to trackside application level and not to the on-board equipment. The value to be mentioned is only if there is ETCS on board or not.
					E.g.: A vehicle able to operate on level 2 track is equipped with ETCS + GSM-R for ETCS, which correspond to codes 4.2.10 and 4.2.21.
		10	ETCS	08.02.2018	If the ETCS Class A train protection part is installed.
		20	GSM-R voice	08.02.2018	If the Class A voice radio communication part is installed
		21	GSM-R for ETCS	08.02.2018	If the Class A data radio communication part is installed
	5	Coded list	B System on board		If the vehicle is equipped with more than one system, an individual code for each system must be indicated.
		1xx	Class B signalling system		(see Appendix 2 - Table 3)
		2xx	Class B radio system		(see Appendix 2 - Table 4)
	6	Coded list	Other CCS systems on board		If the vehicle is equipped with more than one system, an individual code for each system must be indicated.
		1xx	Other CCS signalling systems on board		(see Appendix 2 - Table 5)
		2xx	Other CCS radio systems on board		(see Appendix 2 -Table 6)

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
				08.02.2018	The quieter routes apply only to WAG in the scope of TSI WAG 321/2013 (art 5 of TSI NOISE 1304/2014).
	7	Coded list	Noise category		For iron cast brake block the code to be filled depends on the NOISE level of the wagon. Point 7.2.2.2 of the NOI TSI 1304/2014 provides the different possibilities.
					Historic CBBs are covered by appendix E of the NOI TSI 1304/2014.
		1	Can be used in all quieter routes- TSI Noise compliant- Silent - retrofitted without testing		
		2	Can be used in all quieter routes- TSI Noise compliant- Silent (tested against a TSI NOI)		Article 2(3) of Regulation (EU) 2015/429 - 'Silent wagons' means new or existing wagons respecting relevant noise limit values set out in TSI Noise.
					Tested in this context should be understood as assessed against a TSI Noise (fully tested or assessed with the simplified method set out in clause 6.2.3 of TSI Noise).
		3	Can be used in all quieter routes- TSI Noise compliant-Very quiet (tested against a TSI NOI)		Article 2(7) of Regulation (EU) 2015/429 - 'Very quiet wagons and locomotives' means wagons and locomotives with noise emissions at least 3 dB below the relevant values set out in TSI Noise
		4	Can be used in all quieter routes-TSI Noise not compliant – exempted in accordance with TSI Noise		

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
		5	Can be used in quieter routes only in this MS-Covered by specific case		
		6	Can be used in quieter routes only in this MS - Fitted with 'historic' CBBs.		
		7	Can't be used in quieter routes		
3			Environmental restrictions		
	1		Climatic zone		The following values are defined in table 2 of clause 4.3 of EN50125-1:2014 and table 2 of clause 4.3 of EN50125-1:1999
		1	T1		
		2	Т2		
		3	ТЗ		
		4	ТХ	08.02.2018	
4			Restrictions on use		
	1		Time based		Some issued authorisation can include time- limited conditions for use. Time limitation is not a data provided by the applicant but a consequence of an authorisation decision (see Art 46(6) or regulation 2018/545)

Cat	Туре	Value or specification	Name	Date <sup>1</sup>	Comments
	2		Condition based (distance travelled, wear, etc.)		The values to be mentioned are the ones defined in the issued vehicle type authorisation and/or vehicle authorisation for placing on the market. The details of any specified condition is to be
					specified within a non-coded restriction (e.g. concerned constituents where CV modules apply).
	3		Strictly local, historical or tourist use		
5			On-board equipment		
	1	ххх	Recording device	15.02.2012	(See Appendix 2 - Table 7)

#### LIST OF NATIONAL RESTRICTION CODES

No national restriction codes have been recorded.

#### Table 2. National restriction codes

MS	Cat	Туре	Value or specification	Name	Date <sup>2</sup>	Comments

<sup>&</sup>lt;sup>2</sup> Date of insertion in the list

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#### Appendix 2

#### LISTS OF CODIFIED VALUES

#### 2.5 Class B systems on board

These codes are for systems considered B systems. They are split into B systems for signalling and B systems for radio.

Following codes apply:

Table 3. C	Class B	signalling	system
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2.5.1xx Cla	2.5.1xx Class B signalling system <sup>3</sup>				
INDEX xx	NAME	Comments			
01	ALSN				
02	ASFA				
03	АТВ	Value 03 not to be used. Replaced by 25 and 26. Kept for historical data.			
04	ATP-VR/RHK				
05	BACC	Value 05 not to be used. Replaced by 57 and 58. Kept for historical data.			
06	CAWS and ATP	Value 06 not to be used. Replaced by 27 and 28. Kept for historical data.			
07	Crocodile				
08	Ebicab	Value 08 not to be used. Replaced by 31 and 32. Kept for historical data			
09	EVM				
10	GW ATP				
11	Indusi/PZB	Value 11 not to be used. Replaced by 53 and 56. Kept for historical data.			
12	КVВ				
13	LS				
14	LZB	Value 14 not to be used. Replaced by 54 and 55. Kept for historical data.			
15	MEMOR II+	Value 15 not to be used. Kept for historical data.			
16	RETB				

<sup>&</sup>lt;sup>3</sup> (from Decision 2006/679/EC and <u>Annex II of Regulation (EU) 2023/1695</u>) 120 Rue Marc Lefrancq | BP 20392 | FR-59307 Valenciennes Cedex

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2.5.1xx Cla	2.5.1xx Class B signalling system <sup>3</sup>				
INDEX xx	NAME	Comments			
17	RSDD/SCMT	Value 17 not to be used. Replaced by 57 and 58. Kept for historical data.			
18	SELCAB	Value 18 not to be used. Kept for historical data.			
19	SHP				
20	TBL	Value 20 not to be used. Replaced by 43, 44 and 45. Kept for historical data.			
21	TPWS/AWS				
22	TVM	Value 22 not to be used. Replaced by 46 and 47. Kept for historical data.			
23	ZUB 123				
24	ZUB 121	Value 24 not to be used. Kept for historical data.			
25	ATB First generation				
26	ATB new generation				
27	АТР				
28	CAWS				
29	Chiltern-ATP				
30	DAAT				
31	EBICAB 700	Value 31 not to be used. Replaced by 48, 49, 50 and 51. Kept for historical data.			
32	EBICAB 900 ES				
33	EuroSIGNUM				
34	EuroZUB				
35	Indusi	Value 35 not to be used. Replaced by 53 and 56. Kept for historical data.			
36	КСVВ				
37	КСVР				
38	KVBP				
39	Mechanical Trainstops				
40	NEXTEO				
41	PKP radio system with Radiostop function				
42	SSC				
43	TBL 1				
44	TBL 2				

2.5.1xx Cla	ass B signalling system <sup>3</sup>	
INDEX xx	NAME	Comments
45	TBL1+	
46	TVM 300	
47	TVM 430	
48	ATC v2	
49	ATC vR	
50	EBICAB 700 BU	
51	EBICAB 700 PT (CONVEL)	
52	GNT (Geschwindigkeitsüberwachung für NeiTech-Züge)	
53	INDUSI I60	
54	LZB (LZB L72, LZB L72 CE I and LZB L72 CE II)	
55	LZB ES	
56	PZB 90	
57	SCMT + RSC	
58	SCMT	

#### Table 4. Class B radio system

2.5.2xx Cla		
INDEX xx	NAME	Comments
01	UIC Radio Chapter 1-4	
02	UIC Radio Chapter 1-4+6	
03	UIC Radio Chapter 1- 4 + 6 (Irish system)	
04	UIC Radio Chapter 1-4+6+7	Value 04 not to be used. Kept for historical data.
05	BR 1845	Value 05 not to be used. Kept for historical data.
06	BR 1609	Value 06 not to be used. Kept for historical data.
		Value 07 not to be used. Replaced by 21. Kept for
07	FS ETACS and GSM	historical data.
08	UIC Radio Chapter 1-4 (TTT radio system installed at Cascais line)	
09	TTT radio system CP_N (RSC – Rádio Solo-Comboio)	

<sup>4</sup> (from Decision 2006/679/EC and <u>Annex II of Regulation (EU) 2023/1695</u>)

2.5.2xx Cla	2.5.2xx Class B radio system <sup>4</sup>			
INDEX xx	NAME	Comments		
10	PKP radio system			
11	VR trainr	Value 11 not to be used. Kept for historical data		
12	TRS — The Czech Railways radio system	Value 12 not to be used. Replaced by 32. Kept for historical data.		
13	LDZ radio system			
14	CH — Greek Railways radio system (VHF)			
15	UIC Radio Chapter Bulgaria			
16	The Estonian radio system			
17	The Lithuanian radio system			
18	450 Mhz UIC (Channel C)			
19	Analogue Radio Germany - UIC 751			
20	BOSCH (160 MHz)			
21	GSM-P			
22	Multikom (160 MHz and 450 MHz)			
23	OMEGA (160 MHz)			
24	RDZ - in compliance with UIC 751-3			
25	RETB (voice)			
26	Radio Network of CFR			
27	SRO (160 MHz)			
28	Shunting Radio Communication System			
29	ZUGFUNK 95	Value 29 not to be used. Kept for historical data		
30	ZUGFUNK 2000	Value 30 not to be used. Kept for historical data		
31	Analogue railway radio system (RDU) - in compliance with UIC 751-3			
32	SRD			

### 2.6 Other CCS systems on board

These codes are used for systems that are neither ERTMS nor listed as class B systems. They are split into systems for signalling and systems for radio.

Following codes apply:

#### Table 5. Other CCS signalling systems on board

2.6.1xx Other CCS signalling system			
INDEX xx	NAME	Comments	
		Added 15.02.2012.	
01	SSC BL3	Requested by NSA IT	

### Table 6. Other CCS radio systems on board

2.6.2xx Other CCS radio system			
INDEX xx	INDEX xx NAME Comments		
	TETRA-URCA (Terrestrial Trunked Radio -Unified Railway	Added 08.02.2018	
01	Communication and Application System)	Requested by NSA FI	

#### 5.1 On-board equipment. Recording device

Following codes apply:

5.1.xx On board equipment - Recording Device			
INDEX xx	NAME	Comments	
01	"Registratore cronologico d'eventi computerizzato" (RCEC) according to specification RFI/DTC/CSI/SR/OR/10/002/B of 11/02/2008	Requested by NSA IT	
02	<i>"Acquisition et Traitement des Evénements de Sécurité en Statique"</i> (ATESS)	Added 01.10.2019 Requested by NSA FR	
03	TELOC	Added 01.10.2019 Requested by NSA FR	