ERTMS/ETCS

Train Interface FIS

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Table of Contents

1.1	Sco	pe	6
1.2	Ref	erences	6
1.3	Abb	reviations	6
2.1	Ger	neral	6
2.2	Mod	de Control	7
2.2	.1	Sleeping	7
2.2	.2	Passive shunting	7
2.2	.3	Non-Leading	8
2.2	.4	Isolation	8
2.3	Con	itrol of Brakes	9
2.3	.1	Service brake command	9
2.3	.2	Brake pressure	9
2.3	.3	Emergency brake command	10
2.3	.4	Special brake inhibit – Trackside orders	10
2.3	.5	Special brake inhibit – STM Orders	10
2.3	.6	Special brake status	10
2.3	.7	Additional brake status	11
2.4	Con	itrol of Train Functions	11
2.4	.1	Change of traction system	11
2.4	.2	Pantograph – Trackside orders	11
2.4	.3	Pantograph – STM orders	12
2.4	.4	Air tightness – Trackside orders	12
2.4	.5	Air tightness – STM orders	12
2.4	.6	Passenger Door	12
2.4	.7	Main Power Switch – Trackside orders	12
2.4	.8	Main Power Switch – STM orders	12
2.4	.9	Traction Cut Off	12
2.4	.10	Change of allowed current consumption	13
2.5	Trai	n Status	13
2.5	.1	Cab Status	13
2.5	.2	Direction Controller	13
2.5	.3	Train integrity	14
2.5	.4	Traction status	14
2.6	Trai	n Data	14
2.6	.1	Type of train data entry	14
2.6	.2	Train data information	14
2.7	Nati	onal System isolation	14

2.8

1 Introduction

1.1 Scope

- 1.1.1.1 This document specifies the functional interface between the ERTMS/ETCS onboard equipment (see [1]) and the Vehicle, in which the equipment is installed.
- 1.1.1.2 Note: For historical reasons the interface in this document is called the train interface. even though it is actually the interface between the ETCS onboard equipment and the vehicle, in which the equipment is installed. Interfaces which might be necessary between vehicles to operate them jointly as a train are not specified here.
- 1.1.1.3 The Train Interface is part of the ERTMS/ETCS architecture as defined in the SRS [1].

1.2 References

- 1.2.1.1 The following references are used in this document:
 - System Requirements Specification SUBSET-026 [1]
 - ETCS Driver Machine Interface ERA ERTMS 015560 [2]
 - Specific Transmission Module FFFIS SUBSET-035 [3]

1.3 **Abbreviations**

ABS	Additional Brake Status
ASC	Automatic Speed Control
ACC	Allowed Current Consumption
CTS	Change of Traction System
EBC	Emergency Brake Command
MPSO	Main Power Switch Off
SBC	Service Brake Command

2 **Functional Interface Description**

2.1 General

- 2.1.1.1 This chapter describes the functional requirements on all information which is exchanged between ERTMS/ETCS onboard and the Vehicle via the Train Interface.
- 2.1.1.2 The information which is exchanged between ERTMS/ETCS onboard and the Vehicle is based on the functionality defined by the ERTMS/ETCS SRS [1]
- 2.1.1.3 Note: Due to its complexity and characteristics, the specification of the interface between ERTMS/ETCS onboard and the recording device in the train is defined in a separate interface specification and therefore not part of the train interface specification.
- 2.1.1.4 With reference to the Train Interface:
 - Input is defined as data flow from Vehicle to ERTMS/ETCS onboard
 - Output is defined as data flow from ERTMS/ETCS onboard to Vehicle.

2.1.1.5 In this chapter all functional requirements are written as mandatory principles, i.e. using the word "shall". Whether a function is used in a specific implementation of the Train Interface may depend on the characteristics of the Vehicle.

2.2 **Mode Control**

2.2.1 Sleeping

- 2.2.1.1 The sleeping information is defined as a two state input with the following values:
 - Sleeping requested
 - Sleeping not requested.
- 2.2.1.2 Note: The input value "Sleeping requested" is used by ERTMS/ETCS onboard as one of the conditions for the transition to Sleeping mode. The other two conditions are "standstill", which is managed internally in the ERTMS/ETCS onboard and "All desks connected to the ERTMS/ETCS onboard equipment are closed", equivalent to "All cabs connected to the ERTMS/ETCS onboard equipment are not active", which is generated internally in the ERTMS/ETCS onboard based on the input values "Cab not active" as defined in 2.5.1 of this document. See also the SRS references in 2.8.
- 2.2.1.3 The following requirements shall apply to the rolling stock:
- 2.2.1.3.1 After successful train inauguration, i.e. one master vehicle exists, defined by strictly one activated cab in the train, the information about successful master definition shall be communicated train-wide to all ERTMS/ETCS onboard units by means of the value "Sleeping requested".
- 2.2.1.3.1.1 Exception: The ERTMS/ETCS onboard connected via the cab status input (see 2.5.1) with the active cab may be excluded from getting the "Sleeping requested" information because it is not relevant for this ERTMS/ETCS onboard.
- 2.2.1.3.2 If no active cab exists in the train, this information shall be communicated train-wide to all ERTMS/ETCS onboard units by means of the value "Sleeping not requested".
- 2.2.1.3.3 Note: By means of this configuration of the sleeping input it is ensured that the ERTMS/ETCS onboard will only go to sleeping mode if another cab in the train is active, i.e. another train control system (ETCS or national) provides the supervision of the train movement.

2.2.2 **Passive shunting**

- 2.2.2.1 The passive shunting information is defined as a two state input with the following values:
 - Passive shunting permitted
 - Passive shunting not permitted.
- 2.2.2.2 Note: The input value "Passive shunting permitted" is used by ERTMS/ETCS onboard as one of the conditions for the transition to Passive Shunting mode. The other two conditions are "desks are closed", equivalent to "Cabs are not active", which is generated internally in the ERTMS/ETCS onboard from the input values "cab not active" as defined in 2.5.1 of this document, and "Continue Shunting on desk closure" function

SUBSET-034 Train Interface Page 7/16 FIS

is active, which is generated internally in ERTMS/ETCS onboard from driver input. See also the SRS references in 2.7.

- 2.2.2.3 The following requirements shall apply to the rolling stock:
- 2.2.2.3.1 The passive shunting input shall have the value "Passive shunting permitted" if the train is immobilized by means of a braking system that can be released from another cab after its activation.
- 2.2.2.3.2 If the condition in 2.2.2.3.1 is not fulfilled, the passive shunting input shall have the value "Passive shunting not permitted".
- 2.2.2.3.3 It shall be allowed to configure the passive shunting input permanently as "Passive shunting not permitted". This is a decision made only by the Railway Undertaking e.g. based on the characteristics of the vehicle.

2.2.3 Non-Leading

- 2.2.3.1 The non-leading information is defined as a two state input with the following values:
 - Non-leading permitted
 - Non-leading not permitted.
- 2.2.3.2 Note: The input value "Non-leading permitted" is used by ERTMS/ETCS onboard as one of the conditions for the transition to Non Leading mode. The other two conditions are "standstill" and "Driver selects Non Leading via the DMI" which are managed internally in the ERTMS/ETCS onboard. See also the SRS references in 2.7
- 2.2.3.3 The following requirements shall apply to the rolling stock:
- 2.2.3.3.1 The non-leading input shall have the value "Non-leading permitted" if the following conditions are fulfilled:
 - Drivers train brake controller isolated a)

AND

- b) Travel direction selected
- 2.2.3.3.2 If at least one of the conditions in 2.2.3.3.1 is not fulfilled, the non-leading input shall have the value "Non-leading not permitted".

2.2.4 Isolation

- 2.2.4.1 The isolation information is defined as a two state output with the following values:
 - **ETCS** isolated
 - ETCS not isolated.
- 2.2.4.2 Note: The output "ETCS isolated" is generated by the ERTMS/ETCS on-board to inform the vehicle that the ERTMS/ETCS on-board is in Isolation mode. This information may be used by some other on-board equipment/systems. It is the responsibility of the ERTMS/ETCS on-board to ensure that in isolation mode the ERTMS/ETCS on-board equipment is physically isolated from the brakes (see SRS clause 4.4.3.1.1).

SUBSET-034 Train Interface Page 8/16 FIS

2.3 **Control of Brakes**

2.3.1 Service brake command

- 2.3.1.1 The service brake command (SBC) is defined as a two state output with the following values:
 - Service brake commanded
 - Service brake not commanded.
- 2.3.1.2 Note: The SBC output is generated by ERTMS/ETCS onboard to command the service brake and to revoke this command.
- 2.3.1.3 The following requirements shall apply to the rolling stock:
- 2.3.1.3.1 The SBC output value "Service brake commanded" shall result in the train applying the full service brake and cutting off the traction.
- 2.3.1.3.2 Note: Full service brake means application of 100% of the installed braking performance of the train.
- 2.3.1.3.3 The SBC output value "Service brake not commanded" shall result in a release of the service brake, but only to the highest brake level currently commanded by other application(s) or by the driver, and shall permit the traction power to be switched on.
- Note 1: the traction power can be switched on again only after the driver has cancelled 2.3.1.3.4 the traction command (i.e. after a "0-position-acknowledgement" by the traction controller)."
- 2.3.1.3.5 Note 2: In case of no SB manually applied by the driver and in case of no ASC activated, the SB released by ETCS will automatically cancel the brake application on the train. In case of a gradient, it is possible to have forward movement which must be controlled by driver with his own action on brake. This is acceptable as it is the same situation today (without ETCS).
- 2.3.1.3.6 Note 3: The full service brake may be actuated by various brake systems depending on the rolling stock brake architecture (e.g. dynamic brake by substitution, blending or superposition principle with the UIC air brake).

2.3.2 **Brake pressure**

- 2.3.2.1 The brake pressure information is defined as a composite input with the following values:
 - A range from 0 to 6 bar in steps of 0.1 bar.
- 2.3.2.2 Note: The brake pressure input is used by ERTMS/ETCS onboard in the service brake feedback model in [1].
- 2.3.2.3 The following requirements apply to the rolling stock:
- 2.3.2.3.1 The value of the brake pressure input shall represent either the pressure in the main brake pipe or in the brake cylinder.
- Note: The ERTMS/ETCS onboard has to be configured for one of these two input 2.3.2.3.2 parameters.

SUBSET-034 Train Interface Page 9/16 FIS

2.3.3 **Emergency brake command**

- 2.3.3.1 The emergency brake command (EBC) is defined as a two state output with the following values:
 - Emergency brake commanded
 - Emergency brake not commanded.
- 2.3.3.2 Note: The EBC output is generated by ERTMS/ETCS onboard to command the emergency brake and to revoke this command.
- 2.3.3.3 The following requirements apply to the rolling stock:
- 2.3.3.3.1 The EBC output value "Emergency brake commanded" shall result in the train applying the emergency brake and cutting off the traction.
- 2.3.3.3.2 The EBC output value "Emergency brake not commanded" shall permit release of the emergency brake (implementation dependent if brake is released automatically or not. decision made by operator) and shall permit the traction power to be switched on.
- 2.3.3.3.3 Note: the traction power can be switched on again only after the driver has cancelled the traction command (i.e. after a "0-position-acknowledgement" by the traction controller).

2.3.4 Special brake inhibit - Trackside orders

2.3.4.1 To be harmonized.

2.3.5 Special brake inhibit – STM Orders

- 2.3.5.1 The generic requirement descriptions in this section shall apply to the inhibition of the following special brakes:
 - Regenerative brake
 - Magnetic shoe brake
 - Eddy current brake for emergency braking
 - Eddy current brake for service braking.
- 2.3.5.2 This special brake information is defined for each special brake as a two state output with the following values:
 - Not inhibited
 - Inhibited.
- 2.3.5.3 Note: This special brake inhibit output is generated by the ERTMS/ETCS onboard to inform the train that the respective special brake application is to be allowed / inhibited due to an order from STM.

2.3.6 Special brake status

- 2.3.6.1 The generic requirement descriptions in this section shall apply to the status of the following special brakes:
 - Regenerative brake
 - Magnetic shoe brake
 - Eddy current brake

- Electro Pneumatic (EP) brake.
- 2.3.6.2 Note: The specifications for the status of these special brakes are exactly the same and therefore given only once.
- 2.3.6.3 The special brake status information is defined as a two state input with the following values:
 - Special brake active
 - Special brake not active.
- 2.3.6.4 Note: The special brake status input is used by ERTMS/ETCS onboard to adapt the braking curve model for the characteristics of the service brake, the emergency brake, or both, according to the possible configurations of ERTMS/ETCS onboard as defined in [1].
- 2.3.6.5 The following requirements shall apply to the rolling stock:
- 2.3.6.5.1 The special brake status input shall have the value "Special brake active" if the special brake is available, i.e. in working condition and not inhibited.
- 2.3.6.5.2 The special brake status input shall have the value "Special brake not active" if the special brake is not available, i.e. not in working condition or inhibited.

2.3.7 Additional brake status

- 2.3.7.1 The additional brake status information (ABS) is defined as a two state input with the following values:
 - Additional brakes active
 - Additional brakes not active.
- 2.3.7.2 The "additional brakes" are defined as any brakes in the train which are independent of wheel/rail adhesion.
- 2.3.7.3 Note: The ABS input is used by ERTMS/ETCS onboard to adapt the braking curve model for the characteristics of the additional brakes independent of wheel/rail adhesions, as defined in [1].
- 2.3.7.4 The following requirements shall apply to the rolling stock:
- 2.3.7.4.1 The ABS input shall have the value "additional brakes active" if the additional brake(s) is/are available, i.e. in working condition and not inhibited.
- 2.3.7.4.2 The ABS input shall have the value "additional brakes not active" if the additional brake(s) is/are not available, i.e. not in working condition or inhibited.

2.4 **Control of Train Functions**

- 2.4.1 Change of traction system
- 2.4.1.1 To be harmonized.
- 2.4.2 Pantograph – Trackside orders
- 2.4.2.1 To be harmonized.

SUBSET-034 Train Interface Page 11/16 FIS

2.4.3 Pantograph - STM orders

- 2.4.3.1 This pantograph information is defined as a two state output with the following values:
 - Raise
 - Lower.
- 2.4.3.2 Note: This pantograph output is generated by the ERTMS/ETCS onboard to inform the train that the pantograph has to be raised / lowered immediately due to an order from STM.

2.4.4 Air tightness - Trackside orders

2.4.4.1 To be harmonized.

2.4.5 Air tightness – STM orders

- 2.4.5.1 This air tightness information is defined as a two state output with the following values:
 - Open
 - Close.
- 2.4.5.2 Note: This air tightness output is generated by the ERTMS/ETCS onboard to inform the train that the flap has to be open / closed immediately due to an order from STM.

2.4.6 **Passenger Door**

2.4.6.1 To be harmonized.

Main Power Switch - Trackside orders 2.4.7

2.4.7.1 To be harmonized.

2.4.8 Main Power Switch - STM orders

- 2.4.8.1 This main power switch information is defined as a two state output with the following values:
 - Open
 - Close.
- 2.4.8.2 Note: This main power switch output is generated by the ERTMS/ETCS onboard to inform the train that the main switch has to be open / closed immediately due to an order from STM.

2.4.9 **Traction Cut Off**

- 2.4.9.1 The traction cut off information (TCO) is defined as a two state output with the following values:
 - Cut off traction
 - Do not cut off traction.
- 2.4.9.2 Note: The TCO output is generated by ERTMS/ETCS onboard to cut the traction as soon as it passes the warning limit of the braking curve model as defined in [1] or as a consequence of an STM command.
- 2.4.9.3 The following requirements shall apply to the rolling stock:

SUBSET-034 Train Interface Page 12/16 FIS

- 2.4.9.3.1 The TCO output value "Cut off traction" shall be used by the train to cut off the traction power.
- 2.4.9.3.2 The TCO output value "Do not cut off traction" shall allow the driver or an automatic system (ASC) to apply traction power.

2.4.10 Change of allowed current consumption

24101 To be harmonized.

2.5 **Train Status**

2.5.1 **Cab Status**

- 2511 The cab status information is defined as a two state input with the following values:
 - Cab active
 - Cab not active.
- 2.5.1.2 Note 1: The cab status input is used by ERTMS/ETCS onboard for various purposes as defined in [1] or by an STM as defined in [3]. The expression "desk open" in [1] is equivalent to "Cab active" and "desk closed" in [1] is equivalent to "Cab not active".
- 2.5.1.3 If there is more than one cab connected to a single ERTMS/ETCS onboard unit, each cab will be connected to its individual input.
- 2.5.1.4 The following requirements shall apply to the rolling stock:
- 2.5.1.4.1 The cab status input shall have the value "Cab active" if the cab connected to this input is active.
- 2.5.1.4.2 Note: The active cab is the cab from which the traction is controlled.
- 2.5.1.4.3 The cab status input shall have the value "Cab not active" if the cab connected to this input is not active.
- 2.5.1.4.4 For single cab locos with two desks, each related to a different train orientation, each desk will be connected to its individual cab status input.
- 2.5.1.4.5 For single cab locos with only one desk, the cab status shall be combined with the train orientation information to define two virtual cab status signals, connected to their individual inputs.

Virtual Cab A active = (Cab active) AND (train orientation direction A)

Virtual Cab B active = (Cab active) AND (train orientation direction B)

- 2.5.1.4.6 Note: The train orientation may be obtained from e.g. the head lights of the train.
- 2.5.1.4.7 Regardless of the vehicle layout the rolling stock shall ensure that only one cab or virtual cab is reported active at any time to a single ERTMS/ETCS onboard equipment.

Direction Controller 2.5.2

- 2.5.2.1 The direction controller information is defined as a three state input with the following values:
 - Forward
 - Neutral

- Backward.
- 2.5.2.2 The notion of forward direction shall correspond to the train orientation defined by the active (virtual) cab as defined in 2.5.1, i.e. when the direction controller is in forward position, this means that the train movement will be in the direction of the active (virtual) cab.
- 2.5.2.3 If no cab is active the direction controller information may have any value, but shall be ignored by ERTMS/ETCS onboard.
- 2.5.2.4 Note: The direction controller input is used by ERTMS/ETCS onboard to prevent train movement which conflicts with the current position of the direction controller in the active cab and to detect the driver's intention to reverse, which is one of the conditions for entering Reversing mode. The direction controller input is also used by an STM as defined in [3].
- 2.5.2.5 The following requirements shall apply to the rolling stock:
- 2.5.2.5.1 If the ERTMS/ETCS onboard is connected to more than one cab, it is the responsibility of the rolling stock to relate the direction controller information to the active cab.

2.5.3 Train integrity

2.5.3.1 To be harmonized.

2.5.4 **Traction status**

- 2.5.4.1 The traction status information is defined as a two state input with the following values:
 - On
 - Off.
- 2.5.4.2 Note: This traction status information is forwarded by the ERTMS/ETCS onboard to the

2.6 **Train Data**

2.6.1 Type of train data entry

- 2611 The type of train data entry information is defined as a three state input with the following values:
 - Fixed
 - **Flexible**
 - Switchable.

Train data information 2.6.2

2.6.2.1 To be harmonized.

2.7 **National System isolation**

- 2.7.1.1 The National System isolation information is defined as a two state input with the following values:
 - NTC isolated
 - NTC not isolated.

SUBSET-034 Train Interface Page 14/16 FIS

2.7.1.2 A NTC isolation input shall be used by the ERTMS/ETCS on-board equipment in case it is interfaced to the National System through an STM and this National System requires isolation of the STM to be implemented.

Reference of functions 2.8

2.8.1.1 The following tables give the SRS, DMI, STM subsets references for each function on the Train Interface and the direction of the information (Input / Output of the ERTMS/ETCS onboard).

Chapter	Name	Reference in SRS [1]	Input / Output
2.2.1	Sleeping	4.4.6 / 4.6.3	Input
2.2.2	Passive shunting	4.4.20 / 4.6.3	Input
2.2.3	Non-Leading	4.4.15 / 4.6.3	Input
2.2.4	Isolation	4.4.3.1.1	Output
2.3.1	Service brake command	3.13.2.2.7	Output
2.3.2	Brake pressure	3.13.2.2.7 / A.3.10	Input
2.3.3	Emergency brake command	3.13.10 / 3.14.1 / 4.4.4 / 4.4.5 / 4.4.13	Output
2.3.4	Special brake inhibit	3.12.1	Output
2.3.6	Special brake status	3.13	Input
2.3.7	Additional brake status	3.13	Input
2.4.1	Change of traction system	3.12.1	Output
2.4.2	Pantograph	3.12.1	Output
2.4.4	Air tightness	3.12.1	Output
2.4.6	Passenger Door	3.12.1	Output
2.4.7	Main Power Switch	3.12.1	Output
2.4.9	Traction Cut Off	3.13.2.2.8	Output
2.4.10	Change of allowed current consumption	3.12.1	Output
2.5.1	Cab Status	4.6.3	Input
2.5.2	Direction Controller	3.14.2 / 5.13.1.4	Input

Chapter	Name	Reference in SRS [1]	Input / Output
2.5.3	Train integrity	3.6.5.2.1	Input
2.6.2	Train Data information	3.18.3 / 5.17	Input

Table 1 - SRS references

Chapter	Name	Reference in DMI [2]	Input / Output
2.6.1	Type of train data entry	10.3.9.6	Input

Table 2 - DMI references

Chapter	Name	Reference in STM [3]	Input / Output
2.3.1	Service brake command	5.2.5	Output
2.3.3	Emergency brake command	5.2.5	Output
2.3.5	Special brake inhibit	5.2.4.3	Output
2.4.3	Pantograph	5.2.4.3	Output
2.4.5	Air tightness	5.2.4.3	Output
2.4.8	Main power switch	5.2.4.3	Output
2.4.9	Traction Cut Off	5.2.4.3	Output
2.5.1	Cab Status	5.2.4.4	Input
2.5.2	Direction Controller	5.2.4.4	Input
2.5.4	Traction status	5.2.4.4	Input
2.7	National System isolation	10.3.3.5, 10.3.3.6 e), 10.14.1.2	Input

Table 3 – STM references