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		Test cases
		Safety Layer
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Issue Number Date	Section Number	Modification / Description	Author
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3. INTRODUCTION

3.1 Scope

- 3.1.1.1 These test cases are part of the conformance testing of EURORADIO. The purpose of conformance testing is to provide assurance that different EuroRadio sub-systems implementations are able to interwork and to secure the safety of a train control system consisting of components from different suppliers.
- 3.1.1.2 Part 1 of this UNISIG subset 092 defines therefore items which have to be guaranteed of the different implementations to ensure interoperability.
- 3.1.1.3 Because of the impact of the safety layer on the safety of the whole ETCS system this document provides test cases for the safety layer. Therefore a common behaviour against error events can be assumed by all conformance tested Euroradio safety layers from different providers.
- 3.1.1.4 The definition of test cases in this document does not include testing of performance requirements and quality rules. Fulfilments of such requirements have to be proved at the level of the component or sub-system, where the Euroradio protocols are integrated (e.g., on-board ETCS equipment or RBC).
- 3.1.1.5 Test cases covering the items of the CFM are not provided. Company specific test cases are recommended taking into account testing experience of existing solutions from different industry standards.

3.2 Abbreviations

Abbreviations	Contents of the abbreviation
AR	Authentication Response
AU1	First Authentication message
AU2	Second Authentication message
AU3	Third Authentication message
CFM	Communication Functional Module
DI	Disconnect
DT	Data
ERTMS	European Rail Train Management System
Estab	Establishment
ETCS	European Train Control System

3.2.1.1 For the purposes of this test case definition the following definitions apply:



Abbreviations	Contents of the abbreviation
ETY	ETCS ID type field in a SaPDU
ID	Identity
IUT	Implementation under Test
MAC	Message Authentication Code
OBU	On-board unit
PCO	Point of control and observation
RBC	Radio Block Centre
SaPDU	Safety Protocol Data Unit
SaS	Safety service
SFM	Safe Functional Module
ТС	Test case

3.3 References

[1]	ERTMS/ETCS Class 1; Subset 037; EuroRadio FIS; Class1
	requirements, issue 2.3.0; 14.10.2005
[0]	EDTMC/ETCC Class 1. Cubest 000.1. EDTMC Europadia Confermance

[2] ERTMS/ETCS Class 1; Subset 092-1, ERTMS EuroRadio Conformance Requirements, issue 2.3.0; 06.02.2006

3.4 Definitions

3.4.1.1 For the purposes of this test case definition the following definitions apply:

Used term	Explanation
DATA	State according to [1] section 7.2.5.3.1.
	Safety connection is opened and ready for data transfer during this state.
IDLE	State according to [1] section 7.2.5.3.1.
	Safety connection is closed or does not exist during this state.
Requested pattern	Predefined user data, available at SaS user as well as at test environment for the test of data transfer
	Transmitted data can be compared after reception with expected data due to the knowledge of this requested pattern



4. **TEST ARCHITECTURE**

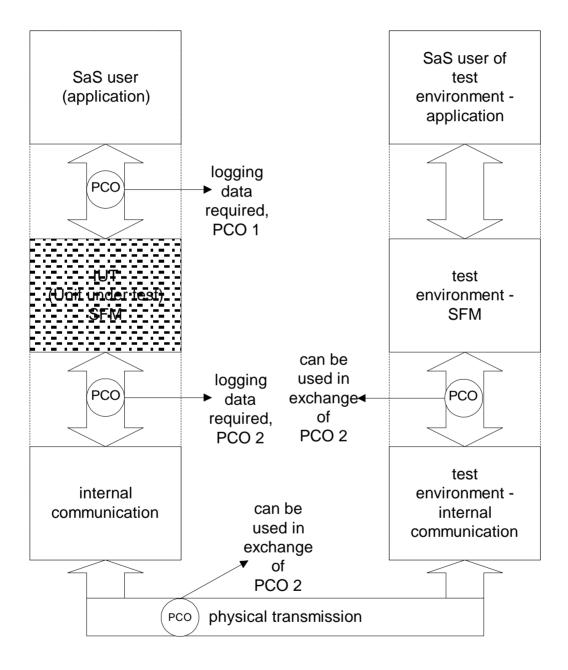


Figure 1 – Test architecture

4.1.1.1 Figure 1 contains a possible test architecture. The following descriptions of the test cases (section 5) always refer to the Protocol data units and T-Primitives of PCO 1



and PCO 2. If PCO 2 is exchanged with another PCO of figure 1, the T-Primitives have to be converted.

4.1.1.2 The test cases assume use of the EURORADIO safety layer as part of the onboard equipment as well as the RBC component. If the IUT should be used only for one of these, the recommended configuration data for the field ETY can be changed. Test case 21 can be reduced to the corresponding pattern of configuration data.



5. **TESTCASE DESCRIPTION**

5.1 Configuration data of IUT

- 5.1.1.1 Each IUT will be used after successful test execution as a certain type of ETCS equipment. For the execution of the test cases the IUT have to use the ETCS-ID type according to its subsequent use.
- 5.1.1.2 If there are several possibility of subsequent use (e.g. the same implementation is used inside RBC and OBU), it is recommend to executing the test cases with all possible configuration values for the ETCS-ID type. At least the execution of the test cases inside section 5.2.1, 5.2.2 and 5.3.1 with all possible configuration values for the ETCS-ID type is required.
- 5.1.1.3 The ETCS-ID type of test environment has to be configured like a corresponding entity of the selected ETCS-ID type.
- 5.1.1.4 The own ETCS-ID should be configured according a real existing ETCS-ID value, the same value can be used for all test cases.
- 5.1.1.5 The value of the configured safety feature is the bit sequence '0000 0001'.
- 5.1.1.6 Example of configuration data of IUT:
 - ETCS-ID type='001';
 - safety feature ='0000 0001';
 - own ETCS-ID='0x12 34 56'
- 5.1.1.7 Example of configuration data of test environment:
 - ETCS-ID type='010';
 - safety feature ='0000 0001';
 - own ETCS-ID='0x98 76 54'



5.2 **Connection establishment (outgoing request)**

5.2.1 Normal order of events

Header	Connection establishment – outgoing request	
TC No.:	1	
TC covers	the following items of [2]:	
	Sa 1, Sa 4, Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 17, Sa 18, Sa 19, Sa 20, Sa 21, Sa 100, Sa 101A, Sa 102, Sa 104	
	Approval of the following items during sending: Sa 31A, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47	
	Approval of the following items during receiving: Sa 37B, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 48, Sa 49, Sa 50, Sa 51	
Test sum	mary	
To test the	e successful establishment of a connection	
Test prea	mble	
The IUT a	nd the test environment have to be brought into the IDLE state.	
Constrair	nts	
Keys acco value.	ording to used ETCS-ID's are available and configured according to a pre-defined key	
Test body	/	
- The IL QoS).	JT receives a Sa-Conn.req (including called address, calling address, application type,	
- The IL	JT generates a T-Conn.req containing an AU1 SaPDU	
- The te SaPD	est environment accepts the request and answer with a T-Conn.resp containing an AU2	
- The IL	JT receives the T-Conn.conf containing this AU2 SaPDU.	
- The IL	The IUT generates a T-Data.req containing an AU3 SaPDU.	
- The te	The test environment answers with a T-Data.req containing an AR SaPDU.	
- The IL	The IUT receives the T-Data.ind containing this AR SaPDU.	
- Conne	Connection establishment is indicated to the SaS user by Sa-Conn.conf primitives.	
Verdict c	riteria	
If the SaP	DU's and the Sa-Primitives conform to the requested pattern \Rightarrow PASS	
Test post	amble	
The IUT a	nd the test environment are in the DATA state.	



Comment

See Figure 2

Table 1 – Connection establishment (outgoing request)

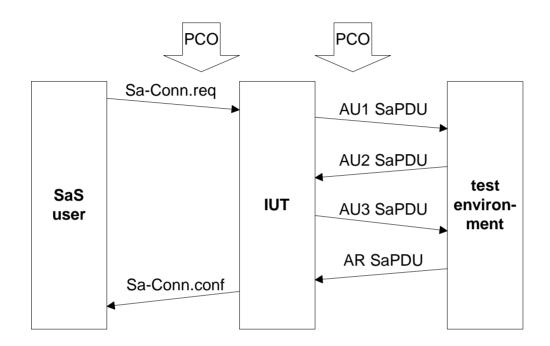


Figure 2 – Connection establishment (outgoing request) – normal order of events

5.2.2 Normal order of events – Use of default parameters

Header	Connection establishment – outgoing request
TC No.:	2
TC covers	the following items of [2]: :
	Sa 1, Sa 4, Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 17, Sa 19, Sa 20, Sa 21, Sa 100, Sa 101B, Sa 102, Sa 104
	Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47
	Approval of the following items during receiving: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 48, Sa 49, Sa 50, Sa 51
Test summary	



To test the successful establishment of a connection with a default ETCS-ID's (on-board calls an unknown RBC).

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID of the test environment are available and configured according to a pre-defined key value.

Test body

- The IUT receives a Sa-Conn.req (including at least application type and called ETCS-ID "unknown"(0xFFFFF)).
- The IUT generates a T-Conn.req containing an AU1 SaPDU
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU (real responding ETCS-ID).
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment answers with a T-Data.req containing an AR SaPDU.
- The IUT receives the T-Data.ind containing this AR SaPDU.
- Connection establishment is indicated to the SaSuser by Sa-Conn.conf (including real responding ETCS-ID) primitives.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the DATA state.

Comments

See Figure 2

Table 2 - Testcase 02 – Connection establishment (outgoing request)

5.2.3 Error case - Time out of Timer T_{estab} after AU3 SaPDU

Headertime out of Timer T_{estab}TC No.:3TC covers the following items of [2]:Sa 1, Sa 8, Sa 12, Sa 13, Sa 14, Sa 15, Sa 17, Sa 18, Sa 19, Sa 20, Sa 98, Sa 100,Sa 101B, Sa 102, Sa 103, Sa 104Approval of the following items during sending:Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa



57, Sa 58, Sa 59A, Sa 60, Sa 61 Approval of the following items during receiving: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43 **Test summary** To test the time out of t estab **Test preamble** The IUT and the test environment have to be brought into the IDLE state. **Constraints** SaS user of test environment does not accept incoming connect request immediately. Test body The IUT receives a Sa-Conn.reg (including called address, calling address, application type, QoS). The IUT generates a T-Conn.reg containing an AU1 SaPDU. The test environment (EURORADIO part) accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU. The IUT receives the T-Conn.conf containing this AU2 SaPDU. The IUT generates a T-Data.req containing an AU3 SaPDU. The test environment (SaS user) does not accept the incoming Sa-Conn.ind (no answer or delayed answer with delay $> T_{estab}$). After T_{estab} the IUT indicates the time out of T_{estab} by Sa-Disc.ind and DI SaPDU (both with reason 7 subreason 3). Verdict criteria If the SaPDU's and the Sa-Primitives conform to the requested pattern => PASS Test postamble The IUT and the test environment are in the IDLE state.



Comments

See Figure 3

Table 3 – Time-out T_{estab}

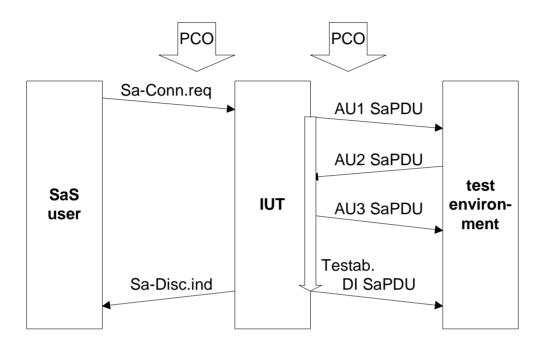


Figure 3 – Time out T estab.

5.2.4 Error case - Time out of Timer T_{estab} after AU1 SaPDU

Header	time out of Timer T _{estab}		
TC No.:	4		
TC covers	TC covers the following items of [2]:		
	Sa 1, Sa 8, Sa 17, Sa 18, Sa 19, Sa 97, Sa 100, Sa 101B, Sa 103, Sa 104		
	Approval of the following items during sending:		
	Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36		
Test sum	mary		
To test the	e time out of t establishment		
Test preamble			
The IUT a	nd the test environment have to be brought into the IDLE state.		
Constrain	nts		
Test environment sends a delayed answer to the T-Conn.req (delay > T_{estab} due to delay of			

transmission channel or test environment (EURORADIO part)).



Test body

- The IUT receives a Sa-Conn.req (including called address, calling address, application type, QoS).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment (EURORADIO part) accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU, but high delayed (reception of AU2 SaPDU after T_{estab}).
- After T_{estab} the IUT indicates the time out of T_{estab} by Sa-Disc.ind (without DI SaPDU) and T-Disc.req.
- If the AU2 SaPDU is received after issuing the Disconnect request, it has to be ignored.

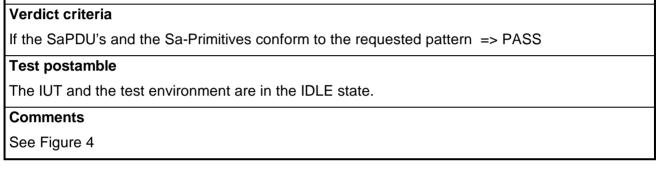


Table 4 – Time-out T_{estab}

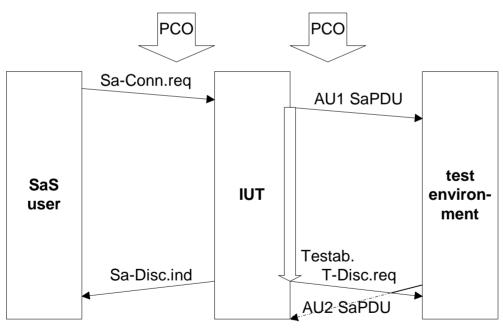


Figure 4 – Time out T _{estab.}



5.2.5 Error case – Connect request with wrong application type

<u>Note:</u> This test case checks the protection against a wrong behaviour of the own SaS user (Sa-Connect.req is received from SaS user with wrong application type). It can be realized according to company specific specifications of the internal interface between SaS user and IUT. The item Sa 77 A of [2] is covered by this company specific test case.

5.2.6 Error case – Reception of an AU2 SaPDU with an unexpected responding ETCS-ID

Header	Connection establishment – outgoing request
TC No.:	5
TC covers	the following items of [2]:
	Sa 1, Sa 8, Sa 13, Sa 15, 9, Sa 79, Sa 100, Sa 101B, Sa 104
	Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61
	Approval of the following items during receiving: Sa 38, Sa 40
Test sumr	nary
To test the	establishment of a connection with a non-acceptable peer.
Test prear	nble
The IUT ar	nd the test environment have to be brought into the IDLE state.
Constrain	ts
	Sa-Conn.req during test case execution includes at least application type and called A", "A" is different from unknown (0xFFFFF).
Test body	
- The IU	T receives a Sa-Conn.req.
- The IU	T generates a T-Conn.req containing an AU1 SaPDU.
	st environment accepts the request and answers with a T-Conn.resp containing an aPDU (responding ETCS-ID "B").
- The IU	T receives the T-Conn.conf containing this AU2 SaPDU.
- The IL subrea	IT generates a T-Disc.req without a DI SaPDU and a Sa-Disc.ind with reason 8, son 4.
Verdict cr	iteria
If the SaPI	DU and Sa-Primitives conform to the requested pattern => PASS
Test posta	amble



The IUT and the test environment are in the IDLE state.

Comments

See Figure 5

Table 5 – Connection establishment (outgoing request) – error case

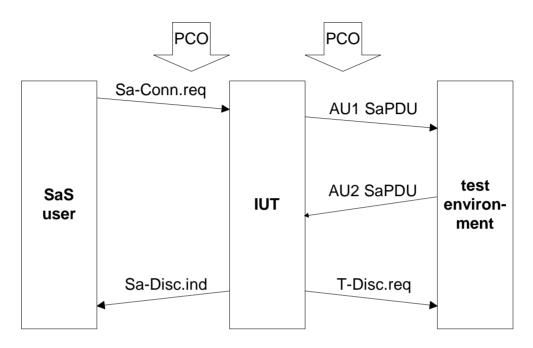


Figure 5 – Reception of unacceptable AU2 SaPDU

5.2.7 Error case – Reception of an AU2 SaPDU with MAC error

Header	Connection establishment – outgoing request	
TC No.:	6	
TC covers	s the following items of [2]:	
	Sa 1, Sa 8, Sa 19, Sa 81, Sa 99, Sa 100, Sa 101B, Sa 104	
	Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61	
	Approval of the following items during receiving: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42	
Test sum	mary	
To test th	ne establishment of a connection with an error during peer entity authentication (MAC	

error of AU2 SaPDU).

Test preamble



The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives an Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU, but the MAC of this SaPDU is wrong (due to wrong key configuration or simulated by test environment).
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Disc.req without DI SaPDU and a Sa-Disc.ind with reason 4, subreason 2.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 5

Table 6 – Connection establishment (outgoing request) – error case

5.2.8 Error case – Reception of an AR SaPDU with MAC error

Header Connection establishment – outgoing request

TC No.: 7

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 14, Sa 15, Sa 19, Sa 20, Sa 83, Sa 100, Sa 101B, Sa 104

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Approval of the following items during receiving:

Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 48, Sa 49, Sa 50

Test summary

To test the establishment of a connection with an error during establishment of the safe connection (MAC error of AR SaPDU).

Test preamble

The IUT and the test environment have to be brought into the IDLE state.



Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment answer with a T-Data.req containing an AR SaPDU, but the MAC of this SaPDU is wrong.
- The IUT receives the T-Data.ind containing this AR SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind, both with reason 4, subreason 4.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 6

Table 7 – Connection establishment (outgoing request) – error case

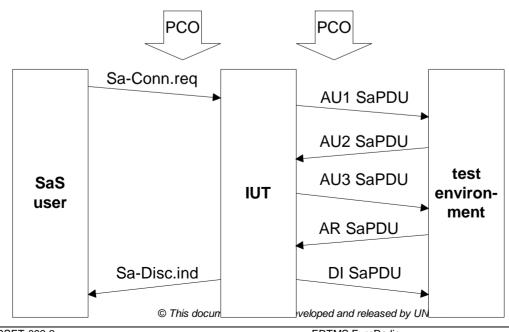




Figure 6 – Reception of an unacceptable AR SaPDU

5.2.9 Error case – Reception of an AU2 SaPDU with wrong direction flag

••	
Header	Connection establishment – outgoing request
TC No.:	8
TC covers	the following items of [2]:
	Sa 1, Sa 8, Sa 19, Sa 87A, Sa 100, Sa 101B
	Approval of the following items during sending:
	Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61
	Approval of the following items during receiving: Sa 38
Test summ	nary
	establishment of a connection with an error during peer entity authentication (wrong ig of AU2 SaPDU).
Test prean	nble
The IUT an	d the test environment have to be brought into the IDLE state.
Constraint	S
Test body	
- The IUT	receives a Sa-Conn.req (including at least application type).
- The IUT	generates a T-Conn.req containing an AU1 SaPDU.
	et environment accepts the request and answers with a T-Conn.resp containing an PDU, but the direction flag is wrong (all other fields are correct).
- The IU1	receives the T-Conn.conf containing this AU2 SaPDU.
- The IU subreas	T generates a T-Disc.req without DI SaPDU and a Sa-Disc.ind with reason 6, son 1.
Verdict cri	teria
If the SaPD	OU and Sa-Primitives conform to the requested pattern => PASS
Test posta	mble
The IUT an	d the test environment are in the IDLE state.
Comments	
See Figure	5

Table 8 – Connection establishment (outgoing request) – error case



5.2.10 Error case – Reception of an AR SaPDU with wrong direction flag

Header Connection establishment – outgoing request

TC No.: 9

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 14, Sa 15, Sa 19, Sa 20, Sa 87C, Sa 100, Sa 101B, Sa 104

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Approval of the following items during receiving: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 49, Sa 50

Test summary

To test the establishment of a connection with an error during establishment of the safe connection (wrong direction flag of the AR SaPDU).

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment answer with a T-Data.req containing an AR SaPDU, but the direction flag of this SaPDU is wrong (all other fields are correct).
- The IUT receives the T-Data.ind containing this AR SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind, both with reason 6, subreason 1.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments



See Figure 6

Table 9 – Connection establishment (outgoing request) – error case

5.2.11 Error case – Reception of an AU2 SaPDU with wrong ETY field

Header	Connection establishment – outgoing request	
TC No.:	10	
TC cover	s the following items of [2]:	
	Sa 1, Sa 8, Sa 19, Sa 90, Sa 100, Sa 101B	
	Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61	
Approval of the following items during receiving: Sa 38		
Test sun	nmary	
	ne establishment of a connection with an error during peer entity authentication (wrong of AU2 SaPDU).	
Test prea	amble	
T I II I T	and the test and increased have to be becaute into the IDLE state	
The IUT a	and the test environment have to be brought into the IDLE state.	
Constrai	nts	
	nts	
Constrai Test bod	nts	
Constrai Test bod - The II	nts y	
Constrai Test bod - The II - The II - The I	nts y JT receives a Sa-Conn.req (including at least application type).	
Constrai Test bod - The II - The II - The t AU2 \$	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an	
Constrai Test bod - The II - The II - The t AU2 \$ - The II	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D	
Constrai	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D DU.	
Constrai Test bod - The II - The II - The II AU2 S - The II - The II SaPD Verdict o	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D DU.	
Constrai Test bod - The II - The II - The II AU2 S - The II - The II SaPD Verdict o	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D bu. sriteria PDU and Sa-Primitives conform to the requested pattern => PASS	
Constrai Test bod The II The II The II AU2 S The II SaPD Verdict c If the SaF Test pos	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D bu. sriteria PDU and Sa-Primitives conform to the requested pattern => PASS	
Constrai Test bod The II The II The II AU2 S The II SaPD Verdict c If the SaF Test pos	y JT receives a Sa-Conn.req (including at least application type). JT generates a T-Conn.req containing an AU1 SaPDU. est environment accepts the request and answers with a T-Conn.resp containing an SaPDU, but the field ETY is wrong (all other fields are correct). JT receives the T-Conn.conf containing this AU2 SaPDU. UT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a D U. riteria PDU and Sa-Primitives conform to the requested pattern => PASS tamble and the test environment are in the IDLE state.	



Table 10 – Connection establishment (outgoing request) – error case

5.2.12 Error case – Reception of an AR SaPDU with changed first octet

Header Connection establishment – outgoing request

TC No.: 11

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 14, Sa 15, Sa 19, Sa 20, Sa 88B, Sa 100, Sa 101B, Sa 104

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Approval of the following items during receiving:

Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 49

Test summary

To test the establishment of a connection with a different first octet in AR SaPDU .

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment answer with a T-Data.req containing a AR SaPDU, but the bits 8,7,6 of the first octet of this SaPDU are not equal to '000' (all other fields are correct).
- The IUT receives the T-Data.ind containing this AR SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind, both with reason 8, subreason 1.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments



See Figure 6

Disconnect is requested according to the state table of [1] section 7.2.5.3.7. (AR SaPDU format error), therefore rejection of the AR SaPDU according to the error handling action of this reason code is not sufficient.

Table 11 – Connection establishment (outgoing request)

5.2.13 Error case – Reception of an AU2 SaPDU with not supported safety feature

Header **Connection establishment – outgoing request** TC No.: 12 TC covers the following items of [2]: Sa 1, Sa 8, Sa 19, Sa 93, Sa 100, Sa 101B Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61 Approval of the following items during receiving: Sa 38 Test summarv To test the establishment of a connection with an unsupported safety feature. **Test preamble** The IUT and the test environment have to be brought into the IDLE state. Constraints Test body The IUT receives a Sa-Conn.req (including at least application type). The IUT generates a T-Conn.req containing an AU1 SaPDU. The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU, but the field safety feature is wrong (different from '0000 0001'). The IUT receives the T-Conn.conf containing this AU2 SaPDU. The IUT generates a T-Disc.reg without DI SaPDU and a Sa-Disc.ind with reason 3, subreason 29. Verdict criteria If the SaPDU and Sa-Primitives conform to the requested pattern => PASS Test postamble The IUT and the test environment are in the IDLE state.



Comments

See Figure 5

Table 12 – Connection establishment (outgoing request) – error case

5.2.14 Error case – Sequence error after AU1 SaPDU

Header Connection establishment – outgoing request

TC No.: 13

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 19, Sa 94C, Sa 100, Sa 101B

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Test summary

To test the establishment of a connection with a sequence error during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing a SaPDU, but the field MTI is different from '0010' (all other fields are correct for AU2 SaPDU).
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a Sa-Disc.ind (including reason code according to internal commitments) and a T-Disc.req without a DI SaPDU.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 5

Table 13 – Connection establishment (outgoing request) – error case



5.2.15 Error case – Transport Connection Confirmation without AU2 SaPDU

Header Connection establishment – outgoing request

TC No.: 14

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 19, Sa 94D, Sa 100, Sa 101B

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Test summary

To test the establishment of a connection with a sequence error during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp without user data.
- The IUT receives the T-Conn.conf.
- The IUT generates a Sa-Disc.ind (reason 9 subreason 1) and a T-Disc.req without a DI SaPDU.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 7

Table 14 – Connection establishment (outgoing request) – error case



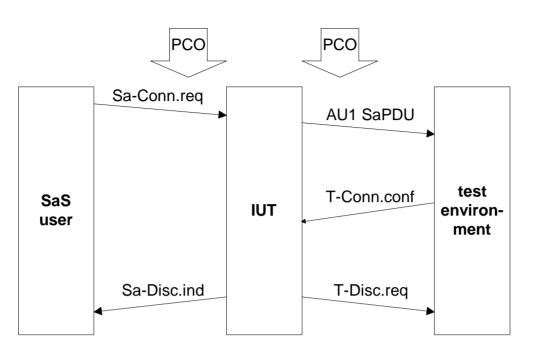


Figure 7 – T-Conn.conf without user data

5.2.16 Error case – Sequence error after AU3 SaPDU

Header	Connection establishment – outgoing request
TC No.:	15
TC covers the following items of [2]:	
	Sa 1, Sa 8, Sa 14, Sa 15, Sa 19, Sa 20, Sa 94F, Sa 100, Sa 101B, Sa 104
	Approval of the following items during sending:
	Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61
	Approval of the following items during receiving:
	Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43
Test sum	mary
To test th	e establishment of a connection with a sequence error during peer entity authentication.
Test prea	imble
The IUT a	and the test environment have to be brought into the IDLE state.
Constrair	nts
Test bod	y

The IUT receives a Sa-Conn.req (including at least application type).



- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment answer with a T-Data.req containing an AR SaPDU, but the field MTI is different from '1001' (all other fields are correct for AR SaPDU).
- The IUT receives the T-Data.ind containing this AR SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind, both with reason 9, subreason 3.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 6

Table 15 – Connection establishment (outgoing request) – error case

5.2.17 Error case – Reception of an AU2 SaPDU with wrong length

Header	Connection establishment – outgoing request	
TC No.:	No.: 16	
TC covers	s the following items of [2]:	
	Sa 1, Sa 8, Sa 19, Sa 95B, Sa 96B, Sa 100, Sa 101B	
	Approval of the following items during sending: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61	
	Approval of the following items during receiving: Sa 38	
Test sum	mary	
	e establishment of a connection with an error during peer entity authentication (AU2 received with an wrong length)	
Test prea	Imble	
The IUT a	and the test environment have to be brought into the IDLE state.	

Constraints



Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing a AU2 SaPDU, but the is length of the AU2 SaPDU is
 - a) Too short.
 - b) Too long.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a Sa-Disc.ind (reason 10 subreason 2) and a T-Disc.req without a DI SaPDU.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

The test cases must be executed twice (once for case a and once for case b).

See Figure 5

Table 16 – Connection establishment (outgoing request) – error case

5.2.18 Error case – Reception of an AR SaPDU with wrong length

Header Connection establishment – outgoing request

TC No.: 17

TC covers the following items of [2]:

Sa 1, Sa 8, Sa 14, Sa 15, Sa 19, Sa 20, Sa 95D, Sa
96D, Sa 100, Sa 101B, Sa 104

Approval of the following items during sending:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47, Sa 57, Sa 58, Sa 59A, Sa 60, Sa61

Approval of the following items during receiving:

Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 49

Test summary

To test the establishment of a connection with an error during peer entity authentication (AR SaPDU is received with the wrong length)

Test preamble



The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
- The test environment accepts the request and answers with a T-Conn.resp containing an AU2 SaPDU.
- The IUT receives the T-Conn.conf containing this AU2 SaPDU.
- The IUT generates a T-Data.req containing an AU3 SaPDU.
- The test environment accepts the request and answers with a T-Data.req containing a AR SaPDU, but the is length of the AR SaPDU is

a)Too short.

b)Too long.

- The IUT receives the T-Data.ind containing this AR SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind, both with reason 10, subreason 8.

Verdict criteria

If the SaPDU and Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

The test cases must be executed twice (once for case a and once for case b).

See Figure 6

 Table 17 – Connection establishment (outgoing request) – error case

5.2.19 Error case – Revocation of a connect request during peer entity authentication

Header	Connection establishment – outgoing request
TC No.:	18
TC covers	the following items of [2]:
	Sa 1, Sa 7, Sa 19, Sa 100, Sa 101B
	Approval of the following items during sending:
	Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 57, Sa 58, Sa 59A, Sa 60,



Sa61			
Test summary			
To test the establishment of a connection, authentication the SaS user revokes the request	but during the execution of the peer entity by a Sa-Disconnect.request		
Test preamble			
The IUT and the test environment have to be bro	ught into the IDLE state.		
Constraints			
Test body			
- The IUT receives a Sa-Conn.req (including at least application type).			
- The IUT generates a T-Conn.req containing an AU1 SaPDU.			
- Due to independent sequence of the events on the both interfaces the test body can be one of the following:			
 The IUT receives a Sa-Disc.req (before an AU3 SaPDU is issued). The IUT generates a T-Disc.req containing 	 The test environment accepts the request and answer with a T-Conn.resp containing an AU2 SaPDU. 		
a DI SaPDU with reason 0, subreason according to the received subreason of the	 The IUT receives the T-Conn.conf containing this AU2 SaPDU. 		
Sa-Disc.req. In this case the IUT ignores a received T-	 The IUT generates a T-Data.req containing an AU3 SaPDU. 		
Conn.conf (containing an AU2 SaPDU), if	- The IUT receives a Sa-Disc.req.		
any.	 The IUT generates a T-Disc.req containing a DI SaPDU with reason 0, subreason according to the received subreason of the Sa-Disc.req. 		
	 In this case the IUT ignores a received T- Data.ind (containing an AR SaPDU), if any. 		
Verdict criteria			
If the SaPDU and Sa-Primitives conform to the requested pattern => PASS			
Test postamble			
The IUT and the test environment are in the IDLE	state.		

Comments

See Figure 8 and Figure 9

Table 18 – Connection establishment (outgoing request) – revocation of connect request



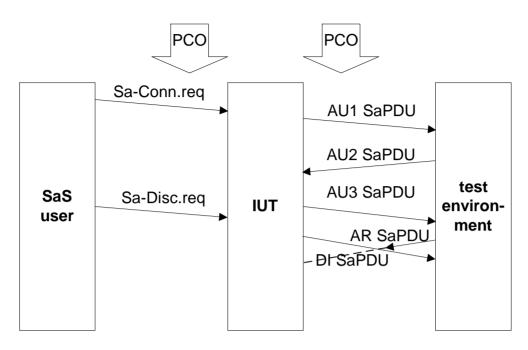


Figure 8 – Revocation during safe connection establishment

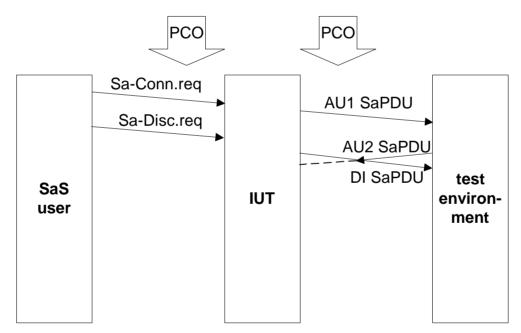


Figure 9 – Revocation during safe connection establishment

5.2.20 Error case – No transport connection available

Header	Connection establishment – outgoing request
TC No.:	19
TC covers the following items of [2]:	
	Sa 1, Sa 8, Sa 19, Sa 80, Sa 100, Sa 101B



Approval of the following items during sending:
Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36
Test summary
To test the establishment of a connection with a sequence error during peer entity authentication.
Test preamble
The IUT has to be brought into the IDLE state, connection to test equipment is not available (e.g. test equipment switched off, connection broken, wrong configuration of network address).
Constraints
Test body
- The IUT receives a Sa-Conn.req (including at least application type).
- The IUT generates a T-Conn.req containing an AU1 SaPDU.
 Connection to test environment is not available, therefore a T-Disc.ind with a certain reason is indicated.
- The IUT generates a Sa-Disc.ind (reason 1).
Verdict criteria
If the SaPDU and Sa-Primitives conform to the requested pattern => PASS
Test postamble
The IUT and the test environment are in the IDLE state.
Comments
See Figure 10

Table 19 – Connection establishment (outgoing request) – error case

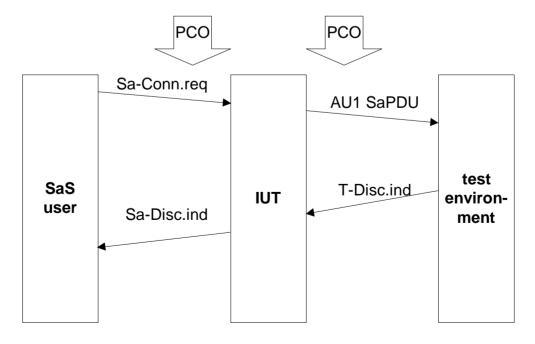




Figure 10 – No transport connection available

5.3 **Connection establishment (incoming request)**

5.3.1 Normal order of events

Header	Connection establishment – incoming request	
TC No.:	20	
TC covers the following items of [2]:		
	Sa 2, Sa 3, Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 23, Sa 24, Sa 100, Sa 101A, Sa 102, Sa 104	
	Approval of the following items during sending: Sa 37A, Sa 37B, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 48, Sa 49, Sa 50, Sa 51	
	Approval of the following items during receiving: Sa 31B, Sa 31 A, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46,Sa 47	
Test sum	imary	
	e establishment of a connection (incoming connection request). The test cases have to ted for each possible direction .	
Test prea	amble	
The IUT a	and the test environment have to be brought into the IDLE state.	
Constrai	nts	
Keys acc	ording to used ETCS-ID's are available.	
Test bod	у	
- The Il	JT receives a T-Conn.ind (including AU1 SaPDU) from test environment.	
- The Il	The IUT generates a T-Conn.resp containing an AU2 SaPDU.	
- The test environment accepts the response and answers with a T-Data.req containing an AU3 SaPDU.		
- The Il	- The IUT receives the T-Data.ind containing this AU3 SaPDU.	
- Conne	ection establishment is indicated to the SaS user by Sa-Conn.ind primitives.	
- SaSu	ser accepts the connection using a Sa-Conn.resp.	
- The Il	JT generates a T-Data.req containing an AR SaPDU.	
Verdict c	riteria	
If the SaF	PDU's and the Sa-Primitives conform to the requested pattern $=>$ PASS	
Test pos	tamble	
The IUT and the test environment are in the DATA state.		



Comments

See Figure 11

If the IUT should not be used as component of an RBC as well as a component of an on-board unit, a single execution of the test case with the corresponding test data is sufficient.



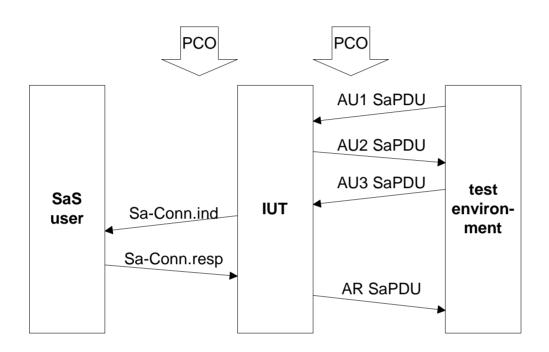


Figure 11 – Normal order of events (incoming connect request)

5.3.2 Error case - Missing authentication key

Header	Connection establishment – incoming request – error case	
TC No.:	21	
TC covers	TC covers the following items of [2]:	
	Sa 78	
	Approval of the following items during sending: Sa 57, Sa 58, Sa 59B, Sa 60, Sa 61	
	Approval of the following items during receiving: Sa 31B, Sa 32, Sa 34	
Test sum	mary	
To test the	e establishment of a connection	
Test preamble		
The IUT a	The IUT and the test environment have to be brought into the IDLE state.	



Constraints

Authentication key according to used calling ETCS-ID not available inside IUT.

Test body

The IUT receives a T-Conn.ind (including AU1 SaPDU with ETY ='001').

The IUT generates a T-Disc.req including a DI SaPDU with reason 3 subreason 2.

Verdict criteria

If the SaPDU's conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 12



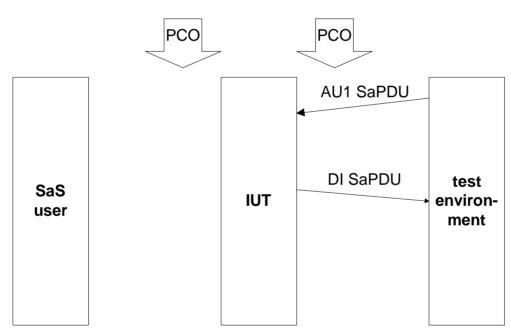


Figure 12 – Reception of an unacceptable AU1 SaPDU

5.3.3 Error case – Reception of an AU3 SaPDU with MAC error

Header	Connection establishment – incoming request	
TC No.:	22	
TC covers	TC covers the following items of [2]:	
	Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 82, Sa 100, Sa 101A, Sa 102, Sa 104	
	Approval of the following items during sending:	
	Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B,	

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Sa 60	, Sa 61
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Approval of the following items during receiving:

Sa 31 A, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47

Test summary

To test the establishment of a connection with an error during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind (including AU1 SaPDU).
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.
- The test environment accepts the response and answers with a T-Data.req containing an AU3 SaPDU, but the MAC of this SaPDU is wrong.
- The IUT receives the T-Data.ind containing this AU3 SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 4, subreason 3.

Verdict criteria

If the SaPDU's and the Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

see Figure 13

Table 22 – Connection establishment (incoming request) – error case

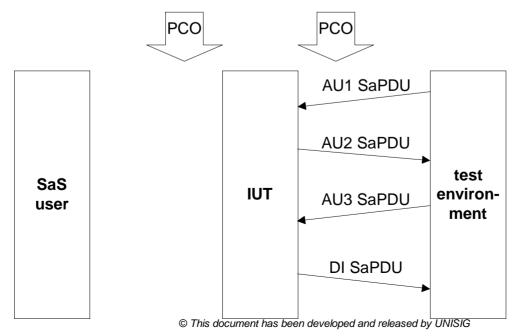




Figure 13 – Reception of an unacceptable AU3 SaPDU



5.3.4 Error case – Reception of an AU1 SaPDU with wrong direction flag

Connection establishment – incoming request

TC No.:	23	
TC covers the following items of [2]:		
	Sa 85	
	Approval of the following items during receiving: Sa 32	
Test sum	mary	
To test the	e establishment of a connection with an error during peer entity authentication.	
Test prea	mble	
The IUT a	nd the test environment have to be brought into the IDLE state.	
Constrair	nts	
Keys acco	Keys according to used ETCS-ID's are available.	
Test body	Test body	
	JT receives a T-Conn.ind including AU1 SaPDU, but the direction flag of this AU1 U is wrong.	
- The IL	IT generates a T-Disc.req containing a DI SaPDU with reason 6, subreason 2.	
Verdict c	riteria	
If the SaPDU's and the Sa-Primitives conform to the requested pattern \Rightarrow PASS		
Test postamble		
The IUT a	nd the test environment are in the IDLE state.	
Comment	Comments	
See Figur	See Figure 12	

Table 23 – Connection establishment (incoming request) – error case

5.3.5 Error case – Reception of an AU3 SaPDU with wrong direction flag

HeaderConnection establishment – incoming requestTC No.:24TC covers the following items of [2]:Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 87B, Sa 100, Sa 101A, Sa 102, Sa104Approval of the following items during sending:Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B,

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Header



Sa 60, Sa 61

Approval of the following items during receiving:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 45

Test summary

To test the establishment of a connection with an error during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind (including AU1 SaPDU).
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.
- The test environment accepts the response and answers with a T-Data.req containing an AU3 SaPDU, but the direction flag of this SaPDU is wrong (all other fields are correct).
- The IUT receives the T-Data.ind containing this AU3 SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 6, subreason 2.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 13

Table 24 – Connection establishment (incoming request) – error case

5.3.6 Error case – Reception of an AU1 SaPDU with wrong field ETY

Header	Connection establishment – incoming request
TC No.:	25
TC covers	s the following items of [2]:
	Sa 89
	Approval of the following items during receiving:
	Sa 32
Test sum	mary
To test the	e establishment of a connection with an error during peer entity authentication.



Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind including AU1 SaPDU, but the field ETY of this AU1 SaPDU is wrong.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 8, subreason 5.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 12

Disconnect is requested according to the state table of [1] section 7.2.5.3.7. (ETY error), therefore rejection of the AU1 SaPDU according to the error handling action of this reason code is not sufficient.

Table 25 – Connection establishment (incoming request) – error case

5.3.7 Error case – Reception of AU3 SaPDU with changed first octet

HeaderConnection establishment – incoming requestTC No.:26TC covers the following items of [2]:Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 88A, Sa 100, Sa 101A, Sa 102, Sa104Approval of the following items during sending:Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B,Sa 60, Sa 61Approval of the following items during receiving:Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 45Test summaryTo test the establishment of a connection with a different first octet in AU3 SaPDU.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.



Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind (including AU1 SaPDU).
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.
- The test environment accepts the response and answers with a T-Data.req containing a AU3 SaPDU, but the bits 8,7,6 of the first octet of this SaPDU are not equal to '000' (all other fields are correct).
- The IUT receives the T-Data.ind containing this AU3 SaPDU.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 8, subreason 1.

Verdict criteria

If the SaPDU's and the Sa-Primitives conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 13

Disconnect is requested according to the state table of [1] section 7.2.5.3.7. (AU3 SaPDU format error), therefore rejection of the AU3 SaPDU according to the error handling action of this reason code is not sufficient.

Table 26 – Connection establishment (incoming request) – error case

5.3.8 Error case – Reception of an AU1 SaPDU with not supported safety feature

Header	Connection establishment – incoming request
TC No.:	27
TC cover	s the following items of [2]:
	Sa 92
	Approval of the following items during receiving: Sa 32
Test sum	imary
To test the establishment of a connection with an not supported safety feature	
Test prea	amble
The IUT a	and the test environment have to be brought into the IDLE state.
Constraints	

Keys according to used ETCS-ID's are available.



Test body

- The IUT receives a T-Conn.ind including AU1 SaPDU, but the field safety feature of this AU1 SaPDU is wrong (different from '0000 0001').
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 3, subreason 29.

Verdict criteria

If the SaPDU conform to the requested pattern => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 12

Table 27 – Connection establishment (incoming request) – error case

5.3.9 Error case – Reception of an AU1 SaPDU with wrong application type

Header Connection establishment – outgoing request

TC No.: 28

TC covers the following items of [2]: Sa 77 B

Test summary

To test the establishment of a connection with a wrong application type

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Test body

- The IUT receives a T-Conn.ind (including user data and a wrong application type).

The IUT generates a T-Disc.req containing a DI SAPDU with reason 8 subreason 1.

Verdict criteria

If the Sa-PDU conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 12 (but contents of user data are not checked as AU1 SaPDU).

Disconnect is requested according to the state table of [1] section 7.2.5.3.7. (application type error), therefore rejection of the AU1 SaPDU according to the error handling action of this reason code is not sufficient.

Table 28 – Connection establishment (incoming request) – error case

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5.3.10 Error case – Sequence error during peer entity authentification (reception of first message)

Header Wrong sequence of messages – incoming request

TC No.: 29

TC covers the following items of [2]:

Sa 94A

Test summary

To test the establishment of a connection with a wrong sequence of messages during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind including AU1 SaPDU, but the field MTI of this AU1 SaPDU is different to '0001'.
 - The IUT generates a T-Disc.req containing a DI SaPDU with reason 8, subreason 1.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 12

Disconnect is requested according to the state table of [1] section 7.2.5.3.7. (ETY error), therefore rejection of the AU1 SaPDU according to the error handling action of this reason code is not sufficient.

Table 29 – Connection establishment (incoming request) – error case – wrong sequence

Header Wrong sequence of messages – incoming request

TC No.: 30

TC covers the following items of [2]: Sa 94B

Test summary

To test the establishment of a connection with a wrong sequence of messages during peer entity authentication.



Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind without any user data.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 10, subreason 1.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 14

Table 30 – Connection establishment (incoming request) – error case – wrong sequence

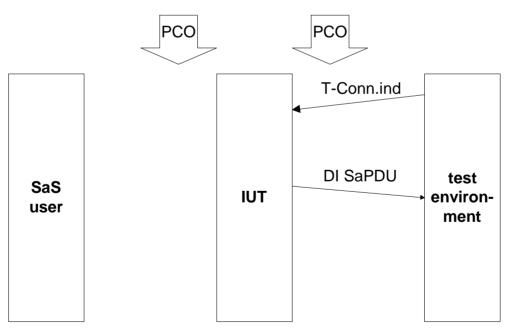


Figure 14 – Reception of an unacceptable T-conn.ind

5.3.11 Error case - Sequence error during peer entity authentification (reception of third message)

Header Connection establishment – incoming request
--

U-N-I-S-I-G

TC No.: 31	
TC covers the following items of [2]:	
Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 94E, Sa 100, Sa 101A, Sa 102, Sa 104	
Approval of the following items during sending: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B, Sa 60, Sa 61	
Approval of the following items during receiving: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36	
Test summary	
To test the establishment of a connection with a sequence error during peer entity authentication.	
Test preamble	
The IUT and the test environment have to be brought into the IDLE state.	
Constraints	
Keys according to used ETCS-ID's are available.	
Test body	
- The IUT receives a T-Conn.ind (including AU1 SaPDU).	
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.	
- The test environment accepts the response and answers with a T-Data.req containing a SaPDU, but the field MTI is different from '0011' (all other fields are correct for AU3 SaPDU).	
- The IUT receives the T-Data.ind containing this AU3 SaPDU.	
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 9, subreason 2.	
Verdict criteria	
If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS	
Test postamble	
The IUT and the test environment are in the IDLE state.	
Comments	
See Figure 13	

Table 31 – Connection establishment (incoming request) – error case

5.3.12 Error case – Reception of an AU1 SaPDU with wrong length

Header	Wrong sequence of messages – incoming request
TC No.:	32

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TC covers the following items of [2]:

Sa 95A, Sa 96A

Approval of the following items during receiving:

Sa 32

Test summary

To test the establishment of a connection with a wrong message AU1 SaPDU during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind including AU1 SaPDU, but the is length of the AU1 SaPDU is a)Too short.
 - b) Too long.
- The IUT generates a T-Disc.req containing a DI SaPDU with reason 10, subreason 1.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user=> PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

The test cases must be executed twice (once for case a and once for case b).

See Figure 12

Table 32 – Connection establishment (incoming request) – error case – wrong sequence

5.3.13 Error case – Reception of an AU3 SaPDU with wrong length

Header Connection establishment – incoming request TC No.: 33 TC covers the following items of [2]: Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 95C, Sa 96C, Sa 100, Sa 101A, Sa 102, Sa 104 Approval of the following items during sending:



Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B, Sa 60, Sa 61

Approval of the following items during receiving:

Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 45

Test summary

To test the establishment of a connection with a wrong message AU3 SaPDU during peer entity authentication.

Test preamble

The IUT and the test environment have to be brought into the IDLE state.

Constraints

Keys according to used ETCS-ID's are available.

Test body

- The IUT receives a T-Conn.ind (including AU1 SaPDU).
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.
- The test environment accepts the response and answers with a T-Data.req containing a SaPDU, but the is length of the AU3 SaPDU is
 - a) Too short.
 - b) Too long.
 - The IUT receives the T-Data.ind containing this AU3 SaPDU.

The IUT generates a T-Disc.req containing a DI SaPDU with reason 10, subreason 3.

Verdict criteria

If the SaPDU's conform to the requested pattern and no Sa-Primitives are indicated to the SaS user => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

The test cases must be executed twice (once for case a and once for case b).

See Figure 13

Table 33 – Connection establishment (incoming request) – error case – wrong length of AU3 SaPDU

5.3.14 Error case – Incoming connect indication is not confirmed by SaS user

Header Connection establishment – incoming request

TC No.: 34

TC covers the following items of [2]:



Sa 2, Sa 7, Sa 12, Sa 13, Sa 14, Sa 15, Sa 16, Sa 22, Sa 23, Sa 100, Sa 101A, Sa 102, Sa 104	
Approval of the following items during sending: Sa 37A, Sa 38, Sa 39, Sa 40, Sa 41, Sa 42, Sa 43, Sa 57, Sa 58, Sa 59B, Sa 60, Sa 61	
Approval of the following items during receiving: Sa 31B, Sa 32, Sa 33, Sa 34, Sa 35, Sa 36, Sa 44, Sa 45, Sa 46, Sa 47	
Test summary	
To test the establishment of a connection, which is rejected by the called SaS user	
Test preamble	
The IUT and the test environment have to be brought into the IDLE state.	
Constraints	
Keys according to used ETCS-ID's are available.	
Test body	
- The IUT receives a T-Conn.ind (including AU1 SaPDU) from test environment.	
- The IUT generates a T-Conn.resp containing an AU2 SaPDU.	
- The test environment accepts the response and answers with a T-Data.req containing an AU3 SaPDU.	
- The IUT receives the T-Data.ind containing this AU3 SaPDU.	
- Connection establishment is indicated to the SaS user by Sa-Conn.ind primitives.	
- SaS user rejects the connection using a Sa-Disc.req with a certain reason code.	
- The IUT generates a T-Discon.req containing a DI SaPDU (reason 0, subreason according to received reason code from theSaS user).	
Verdict criteria	
If the SaPDU's and the Sa-Primitives conform to the requested pattern \Rightarrow PASS	
Test postamble	
The IUT and the test environment are in the IDLE state.	
Comments	
See Figure 15	

 Table 34 – Connection establishment (incoming request) – not confirmed



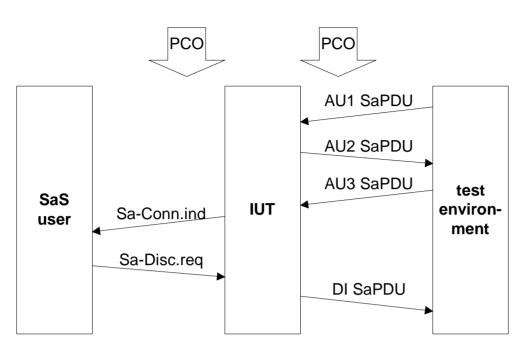


Figure 15 – Normal order of events (incoming connect request not confirmed)

5.4 Transfer of normal and high priority data

5.4.1 Normal order of events

Header Transfer of normal and high priority data

TC No.: 35

TC covers the following items of [2]: Sa 5, Sa 6, Sa 10, Sa 11, Sa 25, Sa 26, Sa 27, Sa 28, Sa 52, Sa 53, Sa 54A, Sa 54B, Sa 55, Sa 56, Sa 62

Test summary

To test the transmission and receiving of normal and high priority data

Test preamble

Test have to be executed twice, once with each preamble:

- The IUT and the test environment have to be brought into the DATA_{called} state
- The IUT and the test environment have to be brought into the DATA_{calling} state

Constraints

Test body

The transfer of user data is requested continuously by repeated Sa-DATA.req primitives and Sa-HP-DATA.req (from the SaS user in certain ratio), contents of the user data according to



prepared test patterns.

- The IUT receives the T-Data.ind (including a DT SaPDU) and T-HP-Data.ind (including HP SaPDU) issued from the test environment in certain ratio, contents of user data according to prepared test patterns.
- Received data are indicated to the IUT / test environment by Sa-DATA.ind and Sa-HP-Data.ind primitives.

Verdict criteria

If the user data received by the IUT / test environment are equal to the prepared test pattern and the sequence internally to each type are correct => PASS

Test postamble

The IUT and the test environment are in the DATA state.

Comments

See Figure 16

Table 35 - Transfer of normal data

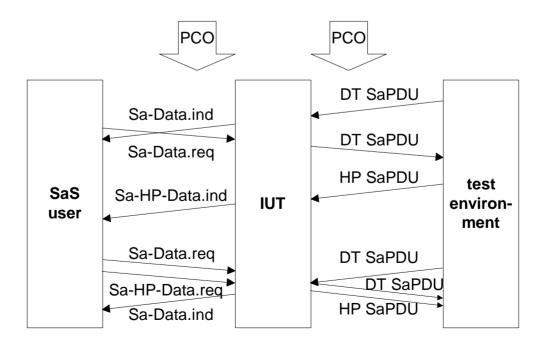


Figure 16 – Normal data transfer



5.4.2 Error case – Reception of messages with a wrong MTI

Header Reception of messages with an wrong MTI

TC No.: 36

TC covers the following items of [2]: Sa 91

Test summary

To test the error reaction with a wrong MTI

Test preamble

The IUT and the test environment have to be brought into the DATA state.

Constraints Test body

- The test environment sends SaPDU's with an undefined MTI field (one test for each of the following values: '0000', '0100', '0110', '0111', '1010', '1101', '1110', '1111'), these SaPDU's are received by the IUT.
- The IUT rejects this message and sends a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind; both with reason 8, subreason 1.

Verdict criteria

If the Sa-Primitive and the SaPDU conform to the requested test pattern and no indication of the faulty SaPDU => PASS

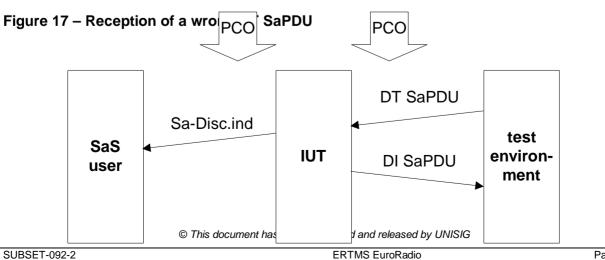
Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 17

Table 36 – Reception of message with wrong MTI





5.4.3 Error case – Reception of DT SaPDU, which is too short

Header	Reception of DT SaPDU, which is too short	
TC No.:	37	
TC covers	TC covers the following items of [2]:	
	Sa 8, Sa 95E	
	Approval of the following items during sending: Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61	
	Approval of the following items during receiving: Sa 53	
Test sum	mary	
To test the	e error reaction related to DT SaPDU's which are outside the valid length range	
Test prea	mble	
The IUT a	nd the test environment have to be brought into the DATA state.	
Constraints		
Test body		
	est environment sends a DT SaPDU with a total length of 9 octets (no user data ed), this SaPDU is received by the IUT.	
	JT rejects this message and sends a T-Disc.req containing a DI SaPDU and a Sand; both with reason 10, subreason 5.	
Verdict cr	iteria	
	Primitive and the SaPDU conform to the requested pattern and no indication of the PDU occurs => PASS	
Test post	amble	
The IUT a	nd the test environment are in the IDLE state.	
Comment	S	
See Figure	e 17	

Table 37 – Reception of a too short data SaPDU

5.4.4 Error case – Reception of DT SaPDU, which is too long

Header Reception of DT SaPDU, which is too long

TC No.: 38

TC covers the following items of [2]: Sa 8, Sa 96E



Approval of the following items during sending: Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61 Approval of the following items during receiving: Sa 53 Test summary To test the error reaction related to DT SaPDU's outside the valid length range Test preamble The IUT and the test environment have to be brought into the DATA state. Constraints Test body The test environment sends a DT SaPDU with a total length of 1033 octets (user data 1024 octets), this SaPDU is received by the IUT. The IUT rejects this message and sends a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind: both with reason 10, subreason 5. Verdict criteria If the Sa-Primitive and the SaPDU conform to the requested pattern and no indication of the faulty SaPDU occurs => PASS Test postamble The IUT and the test environment are in the IDLE state. **Comments** See Figure 17

Table 38 – Reception of a too short data SaPDU

5.4.5 Error case – Reception of DT SaPDU with wrong direction flag

Header	Reception of DT SaPDU (wrong direction flag)	
TC No.:	39	
TC covers the following items of [2]: Sa 8, Sa 87D		
	Approval of the following items during sending: Sa 57, Sa 58, Sa 59 B, Sa 60, Sa 61	
	Approval of the following items during receiving:	
	Sa 53	
Test summary		
To test the error reaction related to DT SaPDU's with wrong direction flag.		



Test preamble

The IUT has to be brought into the $DATA_{called}$ state, the test environment is therefore in the state $DATA_{calling}$.

Constraints

Test body

- The test environment (initiator of a connection) sends a DT SaPDU where the direction flag is '1', this SaPDU is received by the IUT.
- The IUT rejects this message and sends a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind; both with reason 6, subreason 2.

Verdict criteria

If the Sa-Primitive and the SaPDU's conform to the requested test pattern (including user data according to the prepared test pattern) => PASS

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 17

Table 39 – Reception SaPDU with wrong direction flag

Header Reception of DT SaPDU (wrong direction flag)

TC No.: 40

TC covers the following items of [2]: Sa 8, Sa 87D

Approval of the following items during sending: Sa 57, Sa 58, Sa 59 A, Sa 60, Sa 61 Approval of the following items during receiving: Sa 53

Test summary

To test the error reaction related to DT SaPDU's with wrong direction flag.

Test preamble

The IUT has to be brought into the DATA_{calling} state, the test environment is therefore in the state DATA_{called}.

Constraints

Test body

- The test environment (called party of the connection) sends a DT SaPDU where the direction flag is '0'), this SaPDU is received by the IUT.
- The IUT rejects this message and sends a T-Disc.req containing a DI SaPDU and a Sa-Disc.ind; both with reason 6, subreason 1.

Verdict criteria

If the Sa-Primitive and the SaPDU's conform to the requested test pattern (including user data



according to the prepared test pattern) => PASS.

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 17

 Table 40 – Reception SaPDU with wrong direction flag

5.4.6 Error case – Reception of DT SaPDU with wrong MAC

Header Reception of DT SaPDU (wrong MAC)

TC No.: 41

TC covers the following items of [2]: Sa 9, Sa 84

Approval of the following items during receiving: Sa 52, Sa 53, Sa 54 (A or B), Sa 55

Test summary

To test the error reaction related to DT SaPDU's with wrong MAC

Test preamble

The IUT and the Test equipment have to be brought into the DATA state.

Constraints

Test body

- The test environment sends a DT SaPDU where the MAC is wrong (corruption created by test equipment after correct MAC calculation)), this SaPDU is received by the IUT.
- The IUT rejects this message and sends a Sa-Report.ind with reason 4, subreason 1. If the optional Sa-REPORT.indication is not supported by IUT, no indication to SaS user occurs.
- The transfer of at least one user data message is requested from the test environment by DT SaPDU, contents of the user data according to prepared test patterns.
- Received data (only the correct one) are indicated to the SaS user by Sa-DATA.ind primitives.

Verdict criteria

If the Sa-Primitive is conform to the requested test pattern => PASS

Test postamble

The IUT and the test environment are in the DATA state.

Comments

See Figure 18

Table 41 – Reception of DT SaPDU with wrong MAC



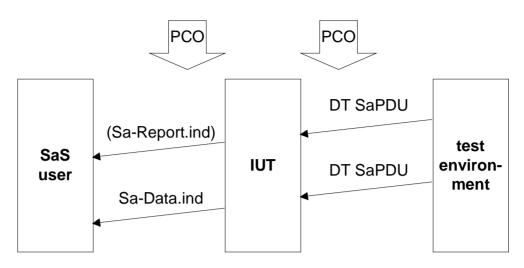


Figure 18 – Reception of DT SaPDU with wrong MAC

5.5 Disconnect request (outgoing request)

5.5.1 Normal order of events

Header	Disconnect request (outgoing request)		
TC No.:	42		
TC covers the following items of [2]: Sa 7, Sa 30			
	Approval of the following items during sending:		
	Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61		
Test sum	mary		
To test the disconnect procedure, initiated by the local SaS user			
Test preamble			
The IUT a	The IUT and the test environment have to be brought into the DATA state.		
Constraints			
Test body	/		
- The IU	T receives a Sa-Disc.req including reason and subreason.		
- The IU	IT generates a T-Disc.req containing a DI SaPDU.		
Verdict criteria			
If the SaP	If the SaPDU and the T-Primitive conform to the requested pattern => PASS.		
Test postamble			



The IUT and the test environment are in the IDLE state.

Comments

See Figure 19

Table 42 – disconnect request (outgoing request)

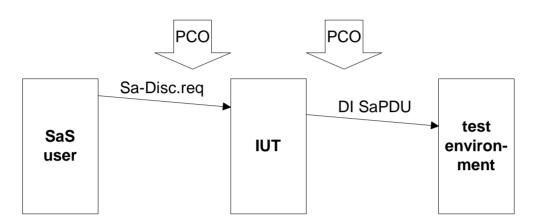


Figure 19 – Disconnect request (outgoing request)

5.6 Disconnect request (incoming request)

5.6.1 Normal order of events

Header Disconnect request (incoming request)

TC No.: 43

TC covers the following items of [2]: Sa 8, Sa 29

Approval of the following items during receiving:

Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61

Test summary

To test the disconnect procedure, initiated by the remote entity

Test preamble

The IUT and the test environment have to be brought into the DATA state.

Constraints

Test body

- The IUT receives a T-Disc.ind including a DI SaPDU.
- The IUT generates a Sa-Disc.ind containing a reason, subreason according to the values of the DI SaPDU.

Verdict criteria



If the Sa-Primitive conform to the requested pattern => PASS.

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 20

Table 43 – disconnect request (incoming request)

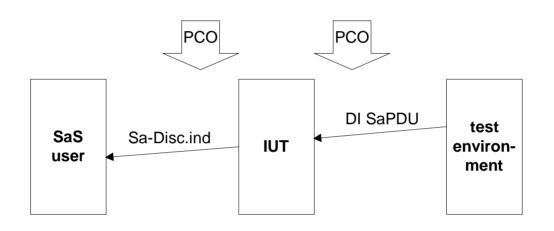


Figure 20 – Disconnect request (incoming request)

5.6.2 Error case - No transport service available

Header Disconnect request (incoming request)		
TC No.: 44		
TC covers the following items of [2]: Sa 8, Sa 80		
Test summary		
To test the disconnect procedure, initiated by the Communication Functional Module.		
Test preamble		
The IUT and the test environment have to be brought into the DATA state.		
Constraints		
Test body		
- The IUT receives a T-Disc.ind including a parameter reason, but no user data.		
- The IUT generates a Sa-Disc.ind containing a reason code 1, the subreason code is mapped according to the field reason of T-Disc.ind.		
Verdict criteria		
If the Sa-Primitive conform to the requested pattern => PASS.		
Test postamble		

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The IUT and the test environment are in the IDLE state.

Comments

See Figure 21

 Table 44 – disconnect request (incoming request) – no transport service available

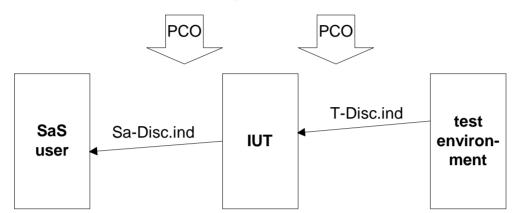


Figure 21 – Disconnect request (incoming request) – no transport service available

5.6.3 Error case – Reception of an DI SaPDU with wrong direction flag

Header	Disconnect request (incoming request with wrong direction flag)		
TC No.:	45		
TC covers	TC covers the following items of [2]:		
	Sa 8, Sa 86		
	Approval of the following items during receiving: Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61		
Test sum	imary		
To test the disconnect procedure, initiated by the remote entity, but DI SaPDU includes a wrong direction flag			
Test prea	amble		
The IUT a	and the test environment have to be brought into the DATA state.		
Constrai	Constraints		
Test body			
- The te	The test environment generates a T-Disc.ind including a DI SaPDU, but the direction flag is		
lf th	e IUT is the called entity: '1'		
lf th	e IUT is the calling entity: '0'		
	JT generates a Sa-Disc.ind containing the reason 6, subreason 1 (if IUT is calling entity) ubreason 2 (if IUT is called entity).		



Verdict criteria

If the Sa-Primitive according to the requested pattern => PASS.

Test postamble

The IUT and the test environment are in the IDLE state.

Comments

See Figure 20

Table 45 – disconnect request (incoming request)

5.6.4 Error case – Reception of an DI SaPDU with changed first octet

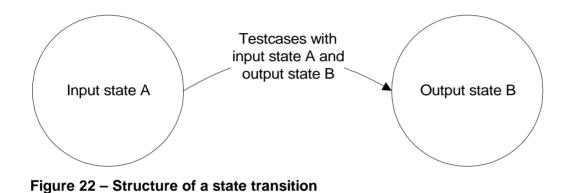
Header	Disconnect request (incoming request)		
TC No.:	46		
	TC covers the following items of [2]: Sa 8, Sa 88D		
	Approval of the following items during receiving:		
	Sa 57, Sa 58, Sa 59 (A or B), Sa 60, Sa 61		
Test summary			
To test the disconnect procedure, initiated by the remote entity			
Test preamble			
The IUT a	The IUT and the test environment have to be brought into the DATA state.		
Constraints			
Test body			
	JT receives a T-Disc.ind including a DI SaPDU, but the bits 8,7,6 of the first octet are ual to '000' (all other fields of the message are correct).		
- The IU DI SaF	IT generates an Sa-Disc.ind containing a reason , subreason according to the received PDU.		
Verdict criteria			
If the Sa-F	Primitive conform to the requested pattern => PASS.		
Test postamble			
The IUT and the test environment are in the IDLE state.			
Comments			
See Figure 20			

Table 46 – disconnect request (incoming request)



6. **OVERVIEW OF TEST CASE BEHAVIOUR**

- 6.1.1.1 Figure 23 shows an overview of the required input and output states of the test cases described above. Test cases can be combined during execution, if the following test case starts at the final test state of the previous test case.
- 6.1.1.2 Numbers (connected to each line) refers to the test cases and each line goes from the input state to the output state according to the following figure:





3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34

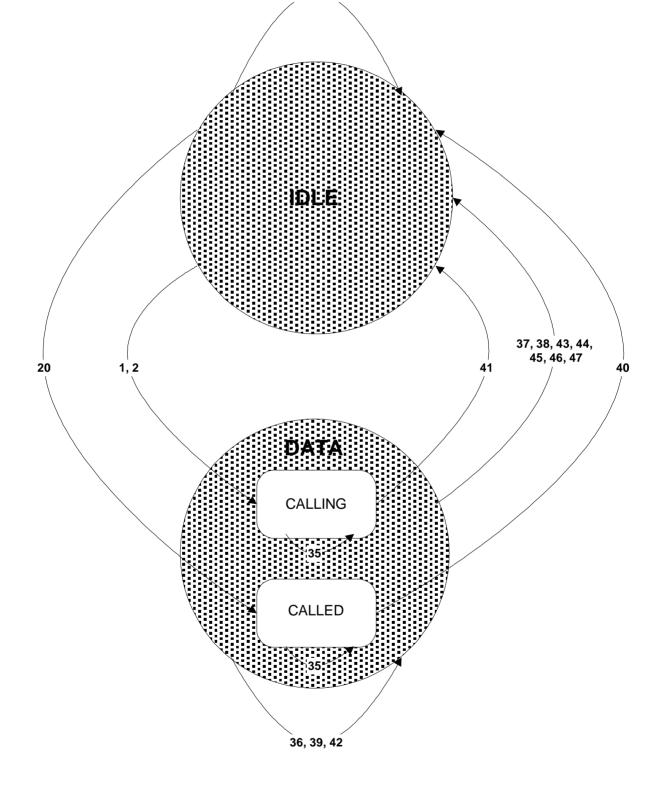


Figure 23 – Overview about test cases and input / output states



6.1.1.3 The state "Data" can be split into the sub-states "calling and "called", but these substates are only relevant for test cases 35, 40 and 41. The test cases 36...39 and 42...47 use the state data independently from the sub-states. The output state of test cases 1, 2 and 20 is also "Data", only the sub-state is different.