|         | ERTMS/ETCS – Class 1      |
|---------|---------------------------|
|         | STM FFFIS Safe Link Layer |
| REF :   | SUBSET-057                |
| ISSUE : | 2.2.0                     |
| DATE :  | 2003-04-11                |

| Company        | Technical Approval | Management Approval |
|----------------|--------------------|---------------------|
| ALCATEL        |                    |                     |
|                |                    |                     |
| ALSTOM         |                    |                     |
|                |                    |                     |
| ANSALDO SIGNAL |                    |                     |
|                |                    |                     |
| BOMBARDIER     |                    |                     |
|                |                    |                     |
| INVENSYS RAIL  |                    |                     |
|                |                    |                     |
| SIEMENS        |                    |                     |
|                |                    |                     |

## 1. MODIFICATION HISTORY

| Issue Number<br>Date | Section<br>Number | Modification / Description  | Author            |
|----------------------|-------------------|---|-------------------|
| 0.0.1<br>07-02-00    |                   | Created from Siemens internal document P25020-B7300-A1-<br>8-7629; Rev. 08; Date of issue 26-06-98. Added non-fail-safe<br>communication. New algorithm for Authentication. Added<br>version control. Removed Enable and Disable telegrams.   | N. Lindström      |
| 1.0.0<br>21-02-00    |                   | <ul> <li>Updated after comments from Unisig meeting 17-02-00 in Stuttgart:</li> <li>New concept for redundancy (send all telegrams on both busses if redundant bus is attached);</li> <li>New concept for retransmission (send all telegrams twice on each bus);</li> <li>New command numbers for nonfail-safe telegrams;</li> <li>Transition table added;</li> <li>Added an informal appendix describing provided services;</li> <li>Editorial updates.</li> </ul>   | N. Lindström      |
| 1.0.1<br>23-02-00    |                   | <ul> <li>Updated after comments from Alstom and Siemens</li> <li>Removed authentication for nonfail-safe connections</li> <li>Corrected command number for nonfail-safe telegrams in paragraph 5.1.1.5.</li> <li>Added paragraph 5.6.1.1</li> <li>Added paragraph 8.1.1.1.</li> <li>Editorial improvements.</li> </ul>  | N. Lindström      |
| 1.0.2<br>28-03-00    |                   | <ul> <li>Updated after comments from Unisig meeting 22-03-00 in Paris and CENELEC meeting 09-03-00:</li> <li>Added section for definitions and improved several definitions.</li> <li>Updated the document to the new redundancy concept</li> <li>Removed the non-safe communication in favour for low safe communication.</li> <li>Removed the CRC-32 for safe communication. The CRC is to be defined.</li> <li>Added a CRC-16 for low safe communication.</li> <li>Added message format for multicast telegrams. Implicit data is sent explicit for multicast telegrams (measure for hazard: incorrect ID).</li> <li>Added the functionality that a connection can be disconnected by "application" and reconnected thereafter.</li> <li>Improved disconnect_reason in the Disconnect Telegram.</li> <li>Idle_cycle_interval is sent in the Connect Request and Connect Confirm telegrams.</li> <li>Added Dual_bus in the Connect Request and Connect Confirm telegrams, make it possible to monitor if the connection is using one or two busses.</li> <li>Clarified Isolation (Failure mode) and disconnection of a connection.</li> </ul> | N. Lindström      |
| 2.0.0                | ReF               | 5.1.3.6, 5.9.1.3, 5.9.1.4, 5.10.1.2, 5.10.1.3,  | D. Degavre        |
| 30-03-00<br>2.0.1    |                   | Final Issue to ECSAG<br>Updated according to the review comments of the UNISIG  | (Ed)<br>P. Luehrs |
| 2002-01-11           |                   | Workgroup STM listed in "Unisig_COM_WP_STM_SLL",<br>V0.0.5, 2002-01-11  | (Siemens)         |

© This document is the property of

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

| Issue NumberSectionModification / DescriptionDateNumber |   | Modification / Description   | Author                                   |
|---|---|--|--|
| 2.0.2<br>2002-01-24                                     |   | Updated after comments from the UNISIG Workgroup STM (Meeting 2002-01-22/23 in Stuttgart) see "Unisig_COM_WP_STM_SLL", V0.0.6, 2002-01-24  | P. Luehrs<br>(Siemens)                   |
| 2.0.3<br>2002-02-18                                     |   | Updated according to the review comments of the UNISIG<br>Workgroup STM listed in "Unisig_COM_WP_STM_SLL",<br>V0.0.7, 2002-02-18   | P. Luehrs<br>(Siemens)                   |
| 2.0.4<br>2002-03-01                                     |   | Updated during the Meeting of the UNISIG Workgroup STM in Madrid (2002-02-28 2002-03-01)   | P. Luehrs<br>(Siemens)                   |
| 2.0.5<br>2002-MAR-08<br>2.0.6                           |   | Updated according to agreed changes resulting from the<br>UNISIG Workgroup STM in Madrid (2002-02-28 2002-03-01)<br>Updated according agreed changes in the 14 <sup>th</sup> of March 2002 | H. Schweißthal<br>(Siemens)<br>JY. Riou  |
| 2002-03-14<br>2.0.7<br>2002-03-20                       | 5.1.1.5<br>5.3.1.8<br>5.3.1.9<br>5.3.1.10<br>5.4.1.5  | meeting<br>Homework due to according agreed changes in the 14 <sup>th</sup> of<br>March 2002 meeting   | (Ansaldo)<br>H. Schweißthal<br>(Siemens) |
| 2.0.8<br>2002-04-11                                     | 3.3.1.1<br>5.1.1.5.1<br>5.1.1.6<br>5.3.1.6<br>5.3.1.6.3<br>5.3.1.8<br>6.1.1.5<br>6.1.1.6<br>6.2.1.6<br>7.2  | Updated during the Meeting of the UNISIG Workgroup STM in Stuttgart (2002-04-11/12)  | P. Luehrs<br>(Siemens)                   |
| 2.0.9<br>2002-04-16                                     | 5.3.1.9<br>5.3.1.10<br>5.4.1.5  | Homework according to agreed comments of the Meeting of the UNISIG Workgroup STM in Stuttgart (2002-04-11/12)  | P. Luehrs<br>(Siemens)                   |
| 2.0.10<br>2002-05-14<br>2.0.11                          | 3.2.1.4<br>5.2.2.1<br>5.2.3.4<br>5.2.4.2<br>5.2.5.6<br>5.2.5.9.1<br>5.2.6.4<br>5.3.1.7<br>5.5.1.3.2<br>5.5.1.4<br>5.5.1.5<br>5.5.1.6,<br>5.5.1.7<br>6.2.1.6<br>10.1.1.2 | Updated according to agreed comments of the Meeting of the<br>UNISIG Workgroup STM in Brussels (2002-05-14/15)   | P. Luehrs<br>(Siemens)                   |
| 2.0.11<br>2002-06-12                                    | 5.2.1.4<br>5.2.5.9<br>5.5.1.7<br>9  | Updated according to agreed comments of the Meeting of the UNISIG Workgroup STM in Braunschweig (2002-06-11/12)  | P. Luehrs<br>(Siemens)                   |
| 2.0.12  |   | Updated according to agreed comments of the Meeting of the UNISIG Workgroup STM in Madrid (2002-06-24)   | B. Muñoz                                 |
| 2.1.0   |   | Editorial change 2002-06-27  | B. Muñoz                                 |

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

| Issue Number<br>Date |   |  | Author                 |
|----------------------|---|--|------------------------|
| 2.1.1<br>2002-11-01  | 5.3.1.8<br>5.3.1.9<br>5.3.1.10<br>5.3.2.1<br>5.4.1.5<br>5.4.1.6<br>5.4.2.1<br>8.2.1.3<br>10<br>11 (new) | CRC polynomial and application rules together with the calculation of the CRC length included (according to the decision of the UNISIG Workgroup STM in Brussels (2002-10-23)) and editorial changes (e.g. references) | P. Luehrs<br>(Siemens) |
| 2.1.2<br>2002-12-05  | 3.3.1.4.4<br>5.3.1.7<br>5.3.1.8<br>6.1.1.3.1<br>6.2.1.6<br>10.2   | Correction of the examples for the CRC; Example for the calculation of a SIL 2 CRC added; Examples for the checksum validation added.  | P. Luehrs<br>(Siemens) |
| 2.1.3<br>2003-02-11  | 3.2.1.5,<br>11.3.3.4.2,<br>5.2.7.2,<br>8.2.1.3  | Updated during the Meeting of the UNISIG Workgroup STM in Stuttgart (2003-02-11)   | P. Luehrs<br>(Siemens) |
| 2.1.4<br>2003-02-25  | 3.2.1.5,<br>4.1.1.5,<br>8.2.1.3,<br>11.3.3.4.2  | Updated during the Meeting of the UNISIG Workgroup STM in Madrid (2003-02-25)  | P. Luehrs<br>(Siemens) |
| 2.2.0<br>2003-04-11  |   | UNISIG release   | P. Luehrs<br>(Siemens) |

Every Time the Version Number of this document is changed the Compatibility Number shall be updated, see chapter 8.2.

## 2. TABLE OF CONTENTS

| 1. | Modi  | IFICATION HISTORY   | 2  |
|----|-------|---|----|
| 2. | TABLE | E OF CONTENTS   | 5  |
| 3. | Gene  | ERAL  | 7  |
|    | 3.1   | Abbreviations   | 7  |
|    | 3.2   | References  | 7  |
|    | 3.3   | Definitions   | 7  |
|    | 3.4   | Summary Description   | 8  |
|    | 3.5   | Scope of this Document  | 10 |
| 4. | PRO   | FIBUS INTERFACE (FDL)   | 11 |
| 5. | Ροιντ | T-TO-POINT CONNECTIONS  | 12 |
|    | 5.1   | General Structure of a Telegram                               | 12 |
|    | 5.1.  | .2 Sequence Number  | 14 |
|    | 5.1.  | .3 Formation of CRC Checksum                                  | 15 |
|    | 5.1.  | .4 Authentication   | 16 |
|    | 5.2   | Telegram Structure  | 17 |
|    | 5.2.  | .1 Connect Request Telegram                                   | 17 |
|    | 5.2.  | .2 Connect Confirm Telegram                                   | 18 |
|    | 5.2.  | .3 Authentication Telegram                                    | 19 |
|    | 5.2.  | .4 Authentication Acknowledgement Telegram                    | 20 |
|    | 5.2.  | .5 Disconnect Telegram  | 20 |
|    | 5.2.  | .6 Idle Telegram  | 23 |
|    | 5.2.  | .7 Data Telegram  | 25 |
|    | 5.3   | Telegram Sequences (SL 4 and SL 2 Point-to-Point Connections) | 26 |
|    | 5.3.  | .1 Establish a Connection                                     | 26 |
|    | 5.3.  | .2 Data Exchange  | 31 |
|    | 5.4   | Telegram Sequences (SL 0 Point-to-Point connections)          |    |
|    | 5.4.  | .1 Establish a Connection                                     | 32 |
|    | 5.4.  | .2 Data Exchange  | 33 |
|    | 5.5   | Transition Tables   |    |
| 6. | Mult  | FICAST  |    |
|    | 6.1   | General Aspects   |    |
|    | 6.2   | Telegram Structure  |    |
| 7. | LIST  | OF CONSTANTS  | 41 |
|    | 7.2   | Acknowledgement timeout period                                | 41 |
| 8. | CONF  | FIGURATION MANAGEMENT   | 42 |

 $\tilde{S}$  This document is the property of

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

| 8.1 General  | 42 |
|--|----|
| 8.1.1 Aim and Objectives                                       | 42 |
| 8.1.2 Evolution of the versions                                | 42 |
| 8.2 Compatibility Numbers                                      | 43 |
| 9. REDUNDANCY SUPERVISOR                                       |    |
| 9.2 Function at sending a telegram                             |    |
| 9.3 Function at receiving a telegram                           | 44 |
| 10. APPENDIX A: CRC GENERATOR POLYNOMIAL AND APPLICATION RULES | 45 |
| 10.1 General   | 45 |
| 10.1.2 SL 2 Communication                                      | 46 |
| 10.1.3 SL 4 Communication                                      | 46 |
| 10.2 Checksum validation (non-normative)                       | 46 |
| 11. APPENDIX B: CALCULATION OF THE CRC LENGTH                  |    |
| 11.2 Safety target for the transmission (CENELEC EN 50129)     |    |
| 11.3 Calculation of the CRC length (SIL 4)                     | 48 |
| 11.3.2 Hardware faults   | 48 |
| 11.3.3 EMI   | 49 |
| 11.3.4 Transmission code faults                                | 50 |
| 11.3.5 Result  | 51 |
| 11.4 Calculation of the CRC length (SIL 2)                     | 51 |
| 11.4.2 Hardware faults   | 51 |
| 11.4.3 EMI   | 52 |
| 11.4.4 Transmission code faults                                | 52 |
| 11.4.5 Result  | 53 |
| 12. APPENDIX C: SERVICES PROVIDED BY THE SAFE LINK LAYER       | 54 |

## 3. GENERAL

#### 3.1 Abbreviations

3.1.1.1 CRC **Cyclical Redundancy Check** 3112 PROFIBUS **PROcess Field BUS** 3.1.1.3 SAP Service Access Point 3.1.1.4 FDL Field Data Link 3.1.1.5 JRU Juridical Recorder Unit 3.1.1.6 DMI **Driver Machine Interface** 3.1.1.7 SL Safety Level

#### 3.2 References

- 3.2.1.1 /1/ CENELEC
   EN 50159-1 (2001)
   Railway applications Communication, signalling and processing systems
   Part 1: Safety-related communication in closed transmission systems
- 3.2.1.2 /2/ CENELEC EN 50170-2 PROFIBUS (1996)
- 3.2.1.3 /3/ SUBSET-035 Specific Transmission Module FFFIS
- 3.2.1.4 /4/ SUBSET-056 STM FFFIS Safe Time Layer
- 3.2.1.5 /5/ Einsatz des Profibus für sicherheitsrelevante Anwendungen (Martina Barten / Jens Braband / Harald Peters) published in "Signal + Draht (90)4/98"

#### 3.3 Definitions

- 3.3.1.1 A node is a physical device that communicates through STM busses. A node has one physical bus address but may have several logical addresses (Service Access Point, SAP).
- 3.3.1.1.1 A node can contain several **logical functions** defined in /3/ (e.g. the same node will be able to gather logical functions ETCS supervision, odometer, JRU).
- 3.3.1.2 A logical function could also be implemented through several nodes (e.g. STM, DMI).

- 3.3.1.3 To ensure itself of the correct operation of the communication between two nodes, a **connection** is established:
- 3.3.1.3.1 A **point-to-point connection** is used for the communication between two nodes.
- 3.3.1.3.2 A **multicast message** is a message simultaneously sent to all nodes.
- 3.3.1.4 A point-to-point connection may have different safety levels:
- 3.3.1.4.1 A point-to-point connection with **Safety Level 0 (SL 0)** is a connection between two nodes whereof one node is non-safe (SIL 0).
- 3.3.1.4.2 A point-to-point connection with **Safety Level 2 (SL 2)** is a connection which shall be used by nodes with at least SIL 1.
- 3.3.1.4.3 A point-to-point connection with **Safety Level 4 (SL 4)** is a connection which shall be used by nodes with at least SIL 3.
- 3.3.1.4.4 Note: A SIL 4 equipment shall be able to handle point-to-point connections with any Safety Level and a SIL 2 equipment shall be able to handle point-to-point connections with SL 2 and SL 0.
- 3.3.1.5 A **station** is the part of a device relating to the processing of one bus. The term station is defined in /2/.
- 3.3.1.6 The communication between two nodes is done via one or two busses. The node may be equipped with one or two stations.
- 3.3.1.7 **Logical connection master** is defined as the node sending the connection request telegram for a point-to-point connection in the Safe Link Layer.
- 3.3.1.8 Logical connection Master is the master of a logical connection. A logical connection has one and only one master.
- 3.3.1.9 **Logical connection slave** of point-to-point connection is defined as the node sending the connection acknowledge telegram in the Safe Link Layer.
- 3.3.1.10 Logical connection Slave is the slave of a logical connection. A point-to-point logical connection has one and only one Logical connection Slave.
- 3.3.1.11 A Multicast connection has one **Multicast Sender**.
- 3.3.1.12 A Multicast connection may have zero, one or several **Multicast Receivers**.

#### 3.4 Summary Description

3.4.1.1 PROFIBUS is an open field bus to which any PROFIBUS-compatible equipment can be attached. To satisfy the requirements imposed of a single-channel transmission system, it is necessary to use an additional safety layer. The protocol for data transmission with error detection specified in this document enables the relevant requirements of the CENELEC standard /1/ to be met.

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

- 3.4.1.1.1 Note: The requirements of the CENELEC standard are not achieved by the Safe Link Layer alone. Only the set of specifications
  - STM FFFIS (SUBSET-035),
  - STM FFFIS Safe Time Layer (SUBSET-056) and
  - STM FFFIS Safe Link Layer (SUBSET-057)

together fulfil all the listed requirements.

- 3.4.1.2 The protocol for data transmission with error detection provides a method of error detection for individual telegrams. The telegram error detection system uses unique sender/receiver identification, a 32-bit sequence number and a CRC error detection suffix.
- 3.4.1.3 Another requirement was for the protocol for data transmission with error detection to set up connections to other nodes and monitor these connections cyclically.
- 3.4.1.4 For increased availability, a redundant configuration of PROFIBUS can be implemented (room diversity). All telegrams are sent on both busses.
- 3.4.1.5 For increased availability, the following provisions are provided:
- 3.4.1.5.1 Multicast telegrams are sent twice from the Safe Link Layer to the lower layer.
- 3.4.1.5.2 Point-to-point telegrams are sent once from the Safe Link Layer to the lower layer but with the PROFIBUS FDL ACK / NACK protocol with one retransmission.
- 3.4.1.6 To establish an SL 4 or an SL 2 point-to-point connection, two steps have to be performed:

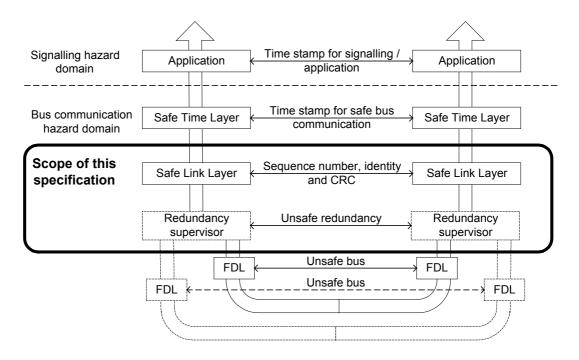
1. connection set-up with the exchange of random numbers.

- 2. authentication, to ensure the safety property of the communication partners
- 3.4.1.7 To establish an SL 0 connection only the connection set-up has to be performed.
- 3.4.1.8 The Safe Link Layer provides communication with three levels of safety (SL):
  - 1. Safety Level 4 (SL 4)
  - 2. Safety Level 2 (SL 2)
  - 3. Safety Level 0 (SL 0)
- 3.4.1.8.1 Justification: According to the CENELEC standard /1/ the command numbers, sequence numbers, SAP and CRC have to be different for connections with different safety levels.
- 3.4.1.9 All nodes that are physically connected to the bus have the safety requirement that they shall not implement any SL protocol corresponding to a higher Safety Integrity Level (SIL).

#### 3.5 Scope of this Document

3.5.1.1 This document describes the protocol for data transmission with error detection and the PROFIBUS FDL services used to transfer the data to the PROFIBUS FDL driver. The mode of transfer and variant of the PROFIBUS interface used depend on the implementation and are not dealt with in this document. The PROFIBUS itself and PROFIBUS protocol are specified in /2/. PROFIBUS FDL parameters are out of the scope of this specification, however see /3/.

#### 3.5.1.2



#### Figure 1 Scope of the protocol specification

- 3.5.1.3 The redundancy supervisor is a non-safe function that is only required if the node is equipped with dual busses. The redundancy supervisor is specified in section 9.
- 3.5.1.4 Dual busses is not mandatory.

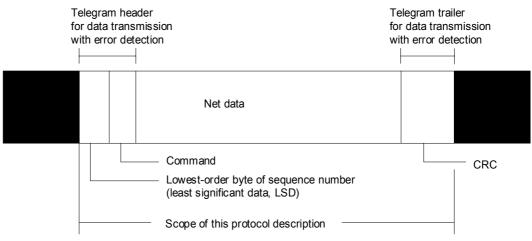
## 4. **PROFIBUS INTERFACE (FDL)**

- 4.1.1.1 The protocol defined here is designed for PROFIBUS FDL masters for multicast and point-to-point communication.
- 4.1.1.2 All the point-to-point telegrams for data transmission are transferred using the same PROFIBUS FDL service.
- 4.1.1.3 Point-to-point telegrams shall be transferred by means of send\_data\_with\_acknowledge (SDA) with one retransmission.
- 4.1.1.4 Multicast telegrams shall be transferred by means of send\_data\_with\_no\_acknowledge (SDN)
- 4.1.1.5 The failure or addition of a node (station) is detected with the aid of the station list maintained by PROFIBUS FDL and made available by means of the read\_station\_list service (life-list).

### 5. POINT-TO-POINT CONNECTIONS

#### 5.1 General Structure of a Telegram

- 5.1.1.1 The telegrams have the following fixed telegram frame:
- 5.1.1.2



The black fields are the PROFIBUS FDL header and trailer.

#### Figure 2 General structure of a telegram

5.1.1.3 The PROFIBUS FDL header and trailer are part of the PROFIBUS FDL protocol and can be found in the CENELEC standard /2/. A detailed description of the elements of the PROFIBUS FDL protocol is not given here.

#### 5.1.1.4 Detailed protocol structure of the telegram:

| 5. | 1. | .1. | .5 |
|----|----|-----|----|
|    |    |     |    |

| se         | owest_order_byte_<br>equence_number<br>ommand | 0FFh<br>80h.BFh | Of the 32-bit sequence number only the 8 lowest-order bits are<br>transmitted here. The 24 higher-order bits are used for CRC formation<br>only (implicit data).<br>Command for <b>SL 4</b> data transmission with error detection:<br>80h: connect request telegram<br>81h: not used and reserved<br>82h: connect confirm telegram<br>83h: authentication telegram<br>84h: authentication acknowledgement telegram<br>85h: disconnect telegram<br>86h: idle telegram<br>87h: not used and reserved (send disable telegram)<br>88h: not used and reserved (send enable telegram)<br>89h: data telegram<br>8Ah: not used and reserved (redundancy switchover telegram)<br>8Bh: not used and reserved (redundancy switchover acknowledgement)<br>8Ch: not used and reserved   |
|------------|---|-----------------|---|
| 2 Co       | ommand  | 80h.BFh         | Command for <b>SL 4</b> data transmission with error detection:<br>80h: connect request telegram<br>81h: not used and reserved<br>82h: connect confirm telegram<br>83h: authentication telegram<br>84h: authentication acknowledgement telegram<br>85h: disconnect telegram<br>86h: idle telegram<br>87h: not used and reserved (send disable telegram)<br>88h: not used and reserved (send enable telegram)<br>89h: data telegram<br>8Ah: not used and reserved (redundancy switchover telegram)<br>8Bh: not used and reserved (redundancy switchover acknowledgement)   |
|            |   | 00h3Fh          | 8Dh: multicast data telegram<br>8Eh9Fh: reserved for future extension of the Safe Link Layer<br>A0hBFh: reserved<br>Command for <b>SL 2</b> data transmission with error detection:<br>00h: connect request telegram<br>01h: not used and reserved<br>02h: connect confirm telegram<br>03h: authentication telegram<br>04h: authentication acknowledgement telegram<br>05h: disconnect telegram<br>06h: idle telegram<br>07h: not used and reserved (send disable telegram)<br>08h: not used and reserved (send enable telegram)<br>09h: data telegram<br>0Ah: not used and reserved (redundancy switchover telegram)<br>0Bh: not used and reserved (redundancy switchover telegram)<br>0Ch: not used and reserved<br>0Dh: not used not used and reserved<br>0Dh: not used not used not used not used not |
| SUBSET-057 |   |                 | ocument is the property of<br>IGNAL * BOMBARDIER * INVENSYS RAIL * SIEMENS<br>STM FFFIS Safe Link Layer 13/54   |

| Byte         | Designation | Range of values     | Meaning   |
|--------------|-------------|---------------------|---|
|              |             | C0hFFh              | Command for <b>SL 0</b> data transmission with error detection:<br>C0h: connect request telegram<br>C1h: not used and reserved<br>C2h: connect confirm telegram<br>C3h: not used and reserved (authentication telegram)<br>C4h: not used and reserved (authentication acknowledgement telegram)<br>C5h: disconnect telegram<br>C6h: idle telegram<br>C7h: not used and reserved (send disable telegram)<br>C8h: not used and reserved (send enable telegram)<br>C9h: data telegram<br>CAh: not used and reserved (redundancy switchover telegram)<br>CBh: not used and reserved (redundancy switchover acknowledgement)<br>CCh: not used and reserved<br>CDh: not used and reserved<br>CEhDFh: reserved for future extension of the Safe Link Layer<br>F0h. FEh: reserved |
| 3<br>(n-l)   | Net_data    |                     | In the data telegram the application data is transferred here. In internal telegrams, e.g. connect request telegrams, the structure of the net data is command-specific (see sections below).<br>n = < 244; for 'l' see below   |
| (n-l+1)<br>n | CRC         | as per safety level | I: length of CRC checksum:  |

- 5.1.1.5.1 Note: Reserved command numbers are for use of the upper layers. If this is the Safe Time Layer, the Safe Time Layer commands (ranges 20h..3Fh, A0..BFh and E0h..FFh) shall be transmitted here.
- 5.1.1.6 **The format of the data added by the Safe Link Layer is the little endian format low-order byte first**; i.e. 16- and 32-bit values for example are transferred bytewise, and the bytes are transferred as follows:

16-bit values: lowbyte, highbyte

32-bit values: lowword.lowbyte, lowword.highbyte, highword.lowbyte, highword.highbyte

#### 5.1.2 Sequence Number

- 5.1.2.1 The sequence number is a 32-bit value which is incremented with each telegram. The sequence number is connection-specific, i.e. separate sequence numbers are maintained for each connection. Distinct, independent sequence numbers are used for the send and receive directions.
- 5.1.2.2 Sequence numbers are used for authentication in order to meet relevant requirements of /1/.

#### 5.1.3 Formation of CRC Checksum

- 5.1.3.1 The CRC checksum length in bits, the polynomial and the initial value must satisfy the requirements of the safety case for the transmission path.
- 5.1.3.2 The checksum is formed by means of the following data areas:
  - implicit data
  - telegram header for data transmission with error detection
  - net data
- 5.1.3.3 The implicit data used to generate the checksum is not contained in the datatransmission-with-error-detection telegram. PROFIBUS FDL supplies the following implicit data:
  - data length
  - receive address
  - send address
  - partner's service access point
  - local service access point
- 5.1.3.4 Structure of the implicit data:

| Byte | Designation                                    | Range of values   | Meaning   |
|------|--|-------------------|---|
| 1    | Data_length                                    | 0244              | The length of the user data bytes (from the PROFIBUS FDL viewpoint) in the telegram, i.e. length of telegram header for data transmission with error detection + length of net data + length of telegram trailer for data transmission with error detection |
| 2    | Receiver_address                               | 0126              | The receiver's address can be transmitted as implicit data because it is available on the PROFIBUS FDL.   |
| 3    | Sender_address                                 | 0126              | The sender's address can be transmitted as implicit data because it is available on the PROFIBUS FDL.   |
| 4    | DSAP   | 062               | The partner's service access point can be transmitted as implicit data because it is available on the PROFIBUS FDL.   |
| 5    | SSAP   | 062               | The local service access point can be transmitted as implicit data because it is available on the PROFIBUS FDL.   |
| 68   | Higher_order_24bits<br>_of_sequence_numb<br>er | 000000h<br>FFFFFh | Except for the lowest-order byte, the sequence number can be transmitted implicitly. The receiver then adds the remainder of the sequence number to the lowest-order byte transferred.  |

5.1.3.5 The specified sequence of the data must be observed when forming the CRC checksum.

#### 5.1.4 Authentication

- 5.1.4.1 An authentication is required to start an SL 4 or an SL 2 connection. The authentication is used to check that the connection has the required Safety Level (SL). This implies that computers of at least the required Safety Integrity Level (SIL) are being used at either end of the connection for data transmission. Random numbers modified by an algorithm known only to computers with the respective Safety Integrity Level are exchanged in separate telegrams (see 5.2.3 and 5.2.4).
- 5.1.4.2 Algorithm for authentication for SL 4 communication
- 5.1.4.2.1 The random number received during connection set-up is incremented by 1h.
- 5.1.4.3 Algorithm for authentication for SL 2 communication
- 5.1.4.3.1 The random number received during connection set-up is incremented by 2h.
- 5.1.4.4 Authentication is not performed for SL 0 connections.

#### 5.2 Telegram Structure

#### 5.2.1 Connect Request Telegram

- 5.2.1.1 Connection set-up is initiated by a connect request telegram.
- 5.2.1.2 A Connect Request Telegram is sent on request from upper layer (the Safe Time Layer).
- 5.2.1.3 Structure of the Connect Request Telegram, net data:

| Byte       | Designation                           | Range of values        | Meaning  |
|------------|---------------------------------------|------------------------|--|
| 1          | Lowest_order_byte_<br>sequence_number | 0255                   | Of the 32-bit sequence number only the 8 lowest-order bits are transferred (lowest byte). The 24 higher-order bits are used for CRC formation only. The sequence number of this telegram is the same as the random number field below. |
| 2          | Command                               | 80h or 00h or C0h      | Command for data transmission with error detection:<br>Connect request telegram  |
| 36         | Random_number                         | 00000000h<br>FFFFFFFh  | A random number representing the sequence number for the telegram is created randomly and transmitted.   |
| 78         | Idle_cycle_timeout                    | 065500                 | Max. time between the reception of two telegrams that the<br>receiver of this message has to check according to chapter 5.2.6<br>in ms:0:no supervision of the idle_cycle_timeout<br>idle_cycle_timeout in steps of 100 ms.            |
| 9          | Version no X                          | 0255                   | Compatibility version X.Y.Z (see chapter 8) implemented in the node.   |
| 10         | Version no Y                          | 0255                   | Compatibility version X.Y.Z (see chapter 8) implemented in the node.   |
| 11         | Version no Z                          | 0255                   | Compatibility version X.Y.Z (see chapter 8) implemented in the node.   |
| 12         | Dual bus                              | 0255                   | 0 : The node is not equipped with dual busses<br>1 : The node is equipped with dual busses<br>2255 : not used (reserved)   |
| 13<br>n-l  | net_data                              |                        | Data from upper layers<br>n <= 244 bytes: length of this Telegram<br>l: length of CRC checksum   |
| n-l+1<br>n | CRC                                   | As per Safety<br>Level | CRC as per Safety Level  |

- 5.2.1.3.1 Note: In case the upper layer is the Safe Time Layer, the Safe Time Layer Configuration Data shall be transmitted as "net\_data".
- 5.2.1.4 After the connect request telegram has been sent, the connect confirm telegram is expected within the acknowledgement timeout period. If the acknowledgement fails to arrive in time, or if the acknowledgement is faulty, the connection is identified as having been erroneous and a disconnection is performed.

#### 5.2.2 Connect Confirm Telegram

- 5.2.2.1 The connection set-up is acknowledged by the partner by means of a connect confirm telegram if the connection set-up has been accepted. Conditions for acceptance are:
  - a connection does not already exist
  - the connect request telegram is formally correct (no CRC error)
  - the Compatibility Version X, Y and Z are compliant

#### 5.2.2.2 Structure of the connect confirm telegram, net data:

| Byte       | Designation                           | Range of values       | Meaning   |  |  |  |  |  |  |
|------------|---------------------------------------|-----------------------|---|--|--|--|--|--|--|
| 1          | Lowest_order_byte_<br>sequence_number | 0255                  | Of the 32-bit sequence number only the 8 lowest-order bits are transmitted. The 24 higher-order bits are used for CRC formation only. The sequence number of this telegram is the same as the random number below.                            |  |  |  |  |  |  |
| 2          | Command                               | 82h or 02h or C2h     | Command for data transmission with error detection: connect confirm telegram  |  |  |  |  |  |  |
| 36         | Random_number                         | 00000000h<br>FFFFFFFh | A random number representing the sequence number of the telegram is created randomly and transferred.   |  |  |  |  |  |  |
| 78         | Idle_cycle_timeout                    | 065500                | Max. time between the reception of two telegrams that the<br>receiver of this message has to check according to chapter 5.2.6<br>in ms:0:no supervision of the idle_cycle_timeout<br>10065500:10065500:idle cycle timeout in steps of 100 ms. |  |  |  |  |  |  |
| 9          | Version no X                          | 0255                  | Compatibility version X.Y.Z (see chapter 8) implemented in the node.  |  |  |  |  |  |  |
| 10         | Version no Y                          | 0255                  | Compatibility version X.Y.Z (see chapter 8) implemented in the node.  |  |  |  |  |  |  |
| 11         | Version no Z                          | 0255                  | Compatibility version X.Y.Z (see chapter 8) implemented in the node.  |  |  |  |  |  |  |
| 12         | Dual bus                              | 0255                  | 0 : The node is not equipped with dual busses<br>1 : The node is equipped with dual busses<br>2255 : not used (reserved)  |  |  |  |  |  |  |
| 13<br>n-l  | Net_data                              |                       | Data from upper layer<br>n <= 244 bytes: length of this Telegram<br>l: length of CRC checksum   |  |  |  |  |  |  |
| n-l+1<br>n | CRC                                   |                       | CRC as per Safety Level   |  |  |  |  |  |  |

- 5.2.2.2.1 Note: In case the upper layer is the Safe Time Layer, the Safe Time Layer Configuration Data shall be transmitted as "net\_data".
- 5.2.2.3 After the connect confirm telegram has been sent, the authentication telegram is expected for SL 4 and SL 2 connections within a response timeout period (= acknowledgement timeout period). If the authentication telegram does not arrive in time, or the authentication is faulty, a disconnect telegram is issued (final disconnection).

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

#### 5.2.3 Authentication Telegram

- 5.2.3.1 Besides the connection establishment proper, successful connection set-up of SL 4 and SL 2 connections also includes authentication. The authentication is used to check that the connection has the required Safety Level (SL). This implies that the two nodes wishing to establish the connection are at least of the required Safety Integrity Level (SIL). To detect this, a random number is used as the initial sequence number in the connect request telegram as specified above. Secondly, the random number in the authentication received with the connect confirm telegram is processed using the algorithm specified in section 5.1.4. The processed random number (= authentication number) is exchanged with the authentication telegram/authentication acknowledgement and checked at the receiving end.
- 5.2.3.2 Authentication is used to improve the distinction between communication of different safety levels.
- 5.2.3.3 The Authentication Telegram is not transmitted in case of an SL 0 connection.

| Byte     | Designation                           | Range of values       | Meaning  |
|----------|---------------------------------------|-----------------------|--|
| 1        | Lowest_order_byte_<br>sequence_number | 0255                  | Of the 32-bit sequence number only the 8 lowest-order bits are transferred. The 24 higher-order bits are used for CRC formation only. This sequence number is incremented by one with respect to the connect request telegram. |
| 2        | Command                               | 83h or 03h            | Command for data transmission with error detection: authentication telegram  |
| 36       | Authentication_num ber                | 00000000h<br>FFFFFFFh | The authentication number is the received random number after processing by the defined algorithm (see chapter 5.1.4).   |
| 7<br>7+l | CRC                                   |                       | CRC as per Safety Level<br>I: length of CRC checksum   |

5.2.3.4 Structure of the authentication telegram, net data:

5.2.3.5 After the authentication telegram has been sent, the authentication acknowledgement is expected within an acknowledgement timeout period. If the authentication acknowledgement fails to arrive in time, or the authentication acknowledgement is faulty, a disconnect telegram is issued (final disconnection).

#### 5.2.4 Authentication Acknowledgement Telegram

- 5.2.4.1 The Authentication Acknowledgement telegram is an Acknowledgement Telegram necessary to establish an SL 4 or an SL 2 connection. In the authentication acknowledgement the partner passes the received random number after modification by the defined algorithm.
- 5.2.4.2 On successful completion of the authentication procedure, data communication can begin.
- 5.2.4.3 The Authentication Acknowledgement Telegram is not transmitted in case of an SL 0 connection.
- 5.2.4.4 Structure of the authentication acknowledgement, net data:

| Byte     | Designation                           | Range of values       | Meaning  |
|----------|---------------------------------------|-----------------------|--|
| 1        | Lowest_order_byte_<br>sequence_number | 0255                  | Of the 32-bit sequence number only the 8 lowest-order bits are transmitted. The 24 higher-order bits are used for CRC formation only. This sequence number is incremented by one with respect to the connect confirm telegram. |
| 2        | Command                               | 84h or 04h            | Command for data transmission with error detection:<br>authentication acknowledgement  |
| 36       | Authentication_numb<br>er             | 00000000h<br>FFFFFFFh | The authentication number is the random number received after processing by the defined algorithm.   |
| 7<br>7+l | CRC                                   |                       | CRC as per Safety Level<br>I: length of CRC checksum   |

#### 5.2.5 Disconnect Telegram

- 5.2.5.1 A connection is actively closed
  - after a disconnect request from an upper layer or
  - when an error is detected in the Safe Link Layer.
- 5.2.5.2 A disconnect telegram is transmitted from the node which actively closes the connection to inform the other node.
- 5.2.5.3 In the disconnect telegram, information is passed indicating whether the disconnection is final or if the connection may be established again (non-final disconnection).
- 5.2.5.4 Following a non-final disconnection, the connection may be established again.
- 5.2.5.5 Following a final disconnection, the connection cannot be established again.
- 5.2.5.5.1 Note: After a final disconnection it is necessary to restart the respective node(s).

- 5.2.5.6 For an SL 0 connection all reasons for a disconnection detected by the Safe Link Layer except for the final disconnect request from the upper layer shall lead to a non-final disconnection.
- 5.2.5.7 Reasons for a non-final disconnection:
- 5.2.5.7.1 A request for non final disconnection is received from the upper layer ("disconnect request from application").
- 5.2.5.7.2 A time-out occurred during establishing the connection ("error during connection setup"):
  - Connect Confirm Telegram not received within the specified time (acknowledgement timeout).
  - Error upon transmission of the Connect Request Telegram or the Connect Confirm Telegram.
- 5.2.5.7.3 A first telegram with a general error is received ("1<sup>st</sup> incorrect receive"):
  - Incorrect sequence number
  - CRC error
- 5.2.5.7.4 No Idle or Data Telegram received within idle\_cycle\_timeout ("idle cycle time-out").
- 5.2.5.7.5 An unexpected telegram is received on an existing connection ("connection set-up for existing connection")
  - A Connect Request Telegram is received on an existing connection.
  - A Connect Confirm Telegram is received on an existing connection.
  - An Authentication Telegram is received on an existing connection.
  - An Authentication Acknowledgement Telegram is received on an existing connection.
- 5.2.5.8 Reasons for a final disconnection:
- 5.2.5.8.1 A request for final disconnection is received from the upper layer ("disconnect request from application").
- 5.2.5.8.2 An error was detected in the verification of the versions of the Safe Link Layer ("bad version error"): The implemented versions of the Safe Link Layer are incompatible.

- 5.2.5.8.3 An error was detected during Authentication ("authentication error"):
  - Authentication Telegram or Authentication Acknowledgement Telegram not received within the specified time (acknowledgement timeout)
  - Authentication number incorrect
  - Error upon transmission of the Authentication Telegram or the Authentication Acknowledgement Telegram
  - Unexpected telegram received during Authentication
- 5.2.5.8.4 A second telegram with a general error is received within a defined period, obtained from the safety case ("2<sup>nd</sup> incorrect receive"):
  - Incorrect sequence number
  - CRC error
- 5.2.5.9 Structure of the disconnect telegram, net data:

| Byte               | Designation                           | Range of values   | Meaning  |  |  |  |  |  |
|--------------------|---------------------------------------|-------------------|--|--|--|--|--|--|
| 1                  | Lowest_order_byte_<br>sequence number | 0255              | Of the 32-bit sequence number only the 8 lowest-order bits are transmitted. The 24 higher-order bits are used for CRC formation only.  |  |  |  |  |  |
| 2                  | Command                               | 85h or 05h or C5h | Command for data transmission with error detection:<br>Disconnect telegram   |  |  |  |  |  |
| 3                  | New_setup_desired                     | 1,<br>0           | This indicates whether the disconnection is final (0) or a new connection may be established (1).  |  |  |  |  |  |
| 4                  | Disconnect_reason                     | 0FFh              | Reason for disconnection:         00h:       disconnect request from application         01h:       bad version error         02h:       error during connection set-up         03h:       authentication error         04h:       connection set-up for existing connection         05h:       idle cycle time-out         06h:       1 <sup>st</sup> incorrect receive         07h:       2 <sup>nd</sup> incorrect receive         08h1Eh:       not used (reserved for future extension)         1Fh:       Other (not in this list) reason for disconnect issued by Safe Link Layer         20h40h:       not used and reserved         41hFFh:       not used (for future extension) |  |  |  |  |  |
| 5<br>5+m           | Disconnect_reason_<br>text            | Text              | Vendor specific text, amend for diagnostics.<br>The text format to be used is specified by the application layer.<br>M: 040; the length of the text in byte.   |  |  |  |  |  |
| 5+m+1<br><br>5+m+l | CRC                                   |                   | CRC as per Safety Level<br>I: length of CRC checksum   |  |  |  |  |  |

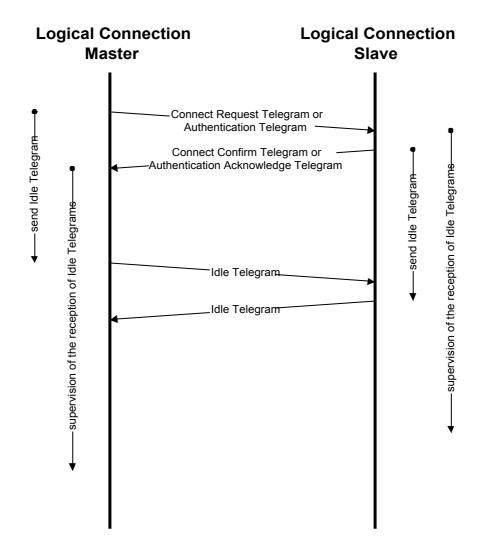
5.2.5.9.1 Note: In case the upper layer is the Safe Time Layer, the 'not used and reserved' values (20h .. 40h) are those defined by the Safe Time Layer (see /4/).

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

#### 5.2.6 Idle Telegram

- 5.2.6.1 For data transmission with error detection, not only does the connection set-up need to be protected (authentication for SL 2 and SL 4) but also the connection itself. For this purpose, idle telegrams are exchanged when no data telegrams (application data) are being sent.
- 5.2.6.2 The sending and the monitoring of the idle telegram starts after successfully establishment of the connection.
- 5.2.6.2.1 The monitoring of the reception of telegrams starts when the last telegram of the connection establishment procedure has been received (For SL 2 and SL 4 it is the authentication acknowledgement telegram for the connection master, and the authentication telegram for connection slave. For SL 0 it is the connect request telegram for connection slave, and connect confirm telegram for connection master.).
- 5.2.6.2.2 The sending of idle telegrams starts after the last telegram of the connection establishment procedure has been sent (For SL 2 and SL 4 it is the authentication acknowledgement telegram for the connection slave, and the authentication telegram for connection master. For SL 0 it is the connect request telegram for connection master, and connect confirm telegram for connection slave.).





#### Figure 3 Supervision of Idle Telegrams

- 5.2.6.3 The reception of the idle/data telegram is monitored by the Connection Slave using the idle\_cycle\_timeout received in the Connect Request Telegram from the Connection Master. This period is reset each time an idle telegram or data telegram is received. If idle\_cycle\_timeout expires a failure is detected.
- 5.2.6.4 The reception of the idle/data telegram is monitored by the Connection Master using the idle\_cycle\_timeout received in the Connect Confirm Telegram from the Connection Slave. This period is reset each time an idle telegram or data telegram is received. If idle\_cycle\_timeout expires a failure is detected.
- 5.2.6.5 The sending interval of the idle telegram is timed to fulfil the idle\_cycle\_timeout at the receiving node. This period is reset each time an idle telegram or data telegram is sent.

#### 5.2.6.6 Structure of the idle telegram, net data:

| Byte     | Designation                           | Range of values   | Meaning   |
|----------|---------------------------------------|-------------------|---|
| 1        | Lowest_order_byte_<br>sequence_number | 0255              | Of the 32-bit sequence number only the 8 lowest-order bits are transmitted. The 24 higher-order bits are used for CRC formation only. |
| 2        | Command                               | 86h or 06h or C6h | Command for data transmission with error detection: idle telegram   |
| 3<br>3+l | CRC                                   |                   | CRC as per Safety Level<br>I: length of CRC checksum  |

#### 5.2.7 Data Telegram

- 5.2.7.1 The receiver does not acknowledge data telegrams on this protocol level.
- 5.2.7.1.1 Note: the Application protocol may implement acknowledge.
- 5.2.7.1.2 Note: For point-to-point telegrams acknowledge is performed by the PROFIBUS FDL.
- 5.2.7.2 Structure of the data telegram, net data:

| Byte       | Designation                           | Range of values  | Meaning   |
|------------|---------------------------------------|--|---|
| 1          | Lowest_order_byte_<br>sequence_number | 00hFFh   | Of the 32-bit sequence number only the 8 lowest-order bits are transferred. The 24 higher-order bits are used for CRC formation only. |
| 2          | Command                               | 89h or 09h or C9h,<br>20h 3Fh,<br>A0h BFh and<br>E0h FFh | Command for data transmission with error detection:<br>Data telegram  |
| 3<br>(n-l) | net_data                              |  | Application data<br>N <= 244 bytes: length of this Telegram<br>I: length of CRC checksum  |
| n-l+1<br>n | CRC                                   |  | CRC as per Safety Level   |

# 5.3 Telegram Sequences (SL 4 and SL 2 Point-to-Point Connections)

#### 5.3.1 Establish a Connection

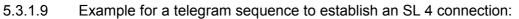
- 5.3.1.1 Before data can be transferred between two nodes, a connection must be established. The connection set-up takes place in two steps:
  - connection set-up
  - authentication
- 5.3.1.2 In the connection set-up, the sequence numbers to be used at the start of the data transfer are exchanged. In accordance with the CENELEC standard /1/, the initial sequence numbers must be random numbers.
- 5.3.1.3 The authentication is used for SL 4 and SL 2 connections to check that the connection has the required Safety Level (SL). For this purpose, the received random numbers in each partner are modified using a defined algorithm (see section 5.1.4) and exchanged via the authentication telegrams.
- 5.3.1.4 Following successful authentication the connection is considered to be set up and data exchange can begin. At the same time cyclical monitoring of the connection is initiated by means of additional idle telegram.
- 5.3.1.5 Implementation should at least make probable that the random numbers used for authentication on different connections are different.
- 5.3.1.6 In the diagrams of connection set-up below the following is assumed as an example:
- 5.3.1.6.1 Node 01 begins with sequence number 01234567h.
- 5.3.1.6.2 Node 02 begins with sequence number 89ABCDEFh.
- 5.3.1.6.3 Both nodes select 500 ms (= 1F4h) as the idle\_cycle\_timeout.

5.3.1.7 Example of the computation of the CRC of an SL 4 point-to-point connection using the implicit (marked 'i') and transmitted data (marked 't'):

|   | Authentication<br>Telegram | Authentication<br>Acknowledge<br>Telegram |
|---|----------------------------|---|
| Data_length   | 0Ch (i)                    | 0Ch (i)                                   |
| Receive_address   | 01h (i)                    | 08h (i)                                   |
| Send_address  | 08h (i)                    | 01h (i)                                   |
| Partner_SAP   | 03h (i)                    | 03h (i)                                   |
| Local_SAP   | 03h (i)                    | 03h (i)                                   |
| Higher_order_24bits_of_sequence_number (low word, high byte)  | 45h (i)                    | CDh (i)                                   |
| Higher_order_24bits_of_sequence_number (high word, low byte)  | 23h (i)                    | ABh (i)                                   |
| Higher_order_24bits_of_sequence_number (high word, high byte) | 01h (i)                    | 89h (i)                                   |
| Lowest_order_byte_sequence number                             | 68h (t)                    | F0h (t)                                   |
| Command   | 83h (t)                    | 84h (t)                                   |
| Authentication number (low word, low byte)                    | F0h (t)                    | 68h (t)                                   |
| Authentication number (low word, high byte)                   | CDh (t)                    | 45h (t)                                   |
| Authentication number (high word, low byte)                   | ABh (t)                    | 23h (t)                                   |
| Authentication number (high word, high byte)                  | 89h (t)                    | 01h (t)                                   |
| CRC   | 20h (t)                    | A6h (t)                                   |
| CRC   | 2Ah (t)                    | 2Ah (t)                                   |
| CRC   | 5Dh (t)                    | 71h (t)                                   |
| CRC   | E9h (t)                    | 97h (t)                                   |
| CRC   | BAh (t)                    | C5h (t)                                   |
| CRC   | 6Dh (t)                    | 85h (t)                                   |

## 5.3.1.8 Example of the computation of the CRC of an SL 2 point-to-point connection using the implicit (marked 'i') and transmitted data (marked 't'):

|   | Idle Telegram |
|---|---------------|
| Data_length   | 08h (i)       |
| Receive_address   | 01h (i)       |
| Send_address  | 08h (i)       |
| Partner_SAP   | 09h (i)       |
| Local_SAP   | 09h (i)       |
| Higher_order_24bits_of_sequence_number (low word, high byte)  | 49h (i)       |
| Higher_order_24bits_of_sequence_number (high word, low byte)  | 34h (i)       |
| Higher_order_24bits_of_sequence_number (high word, high byte) | 60h (i)       |
| Lowest_order_byte_sequence number                             | 31h (t)       |
| Command   | 06h (t)       |
| CRC   | 23h (t)       |
| CRC   | 67h (t)       |
| CRC   | 90h (t)       |
| CRC   | C6h (t)       |



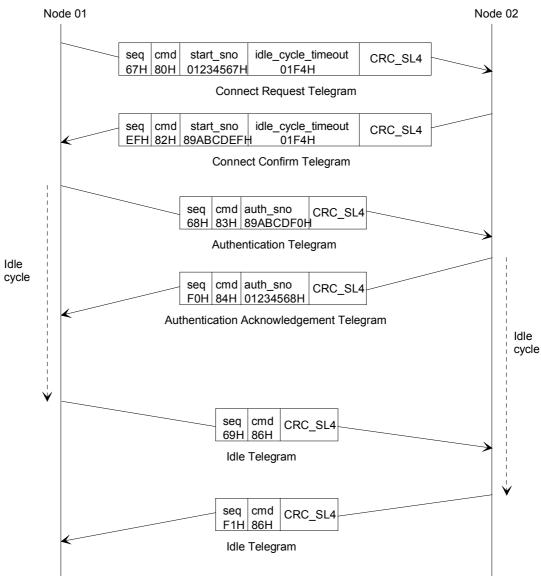


Figure 4 Telegram sequence to establish an SL 4 connection (connection set-up and authentication)

5.3.1.10 Example for a telegram sequence for a fault at establishing a connection (SL 4 connection):

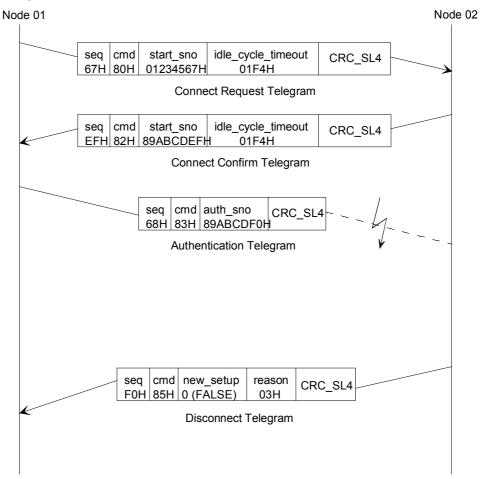
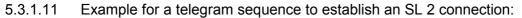


Figure 5 Telegram sequence of a faulty authentication due to an authentication telegram error



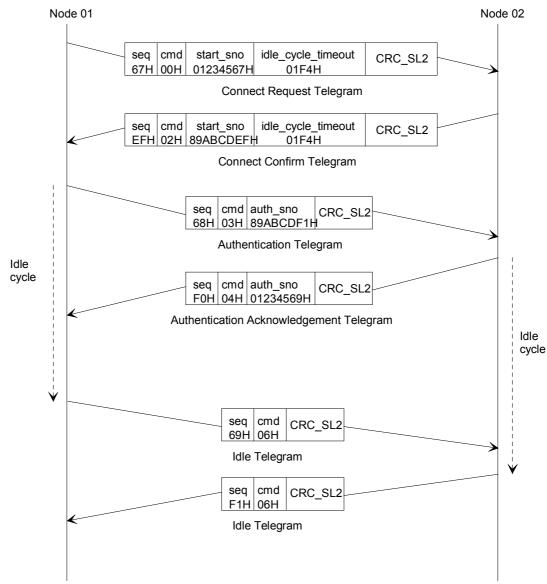


Figure 6 Telegram sequence to establish an SL 2 connection (connection set-up and authentication)

#### 5.3.2 Data Exchange

5.3.2.1 Example for a telegram sequence of an SL 4 connection after the connection is established:

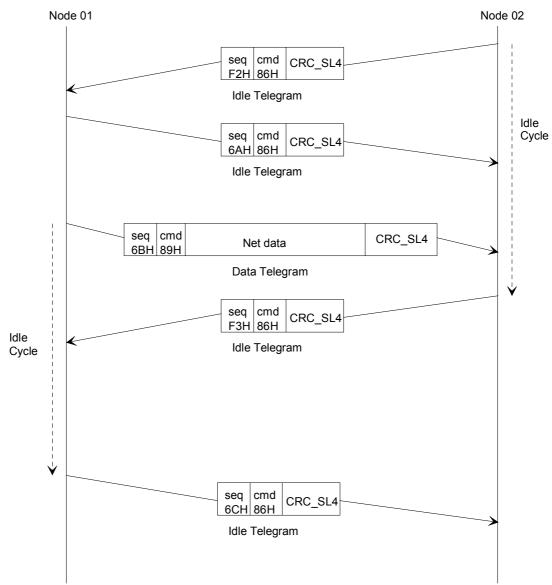
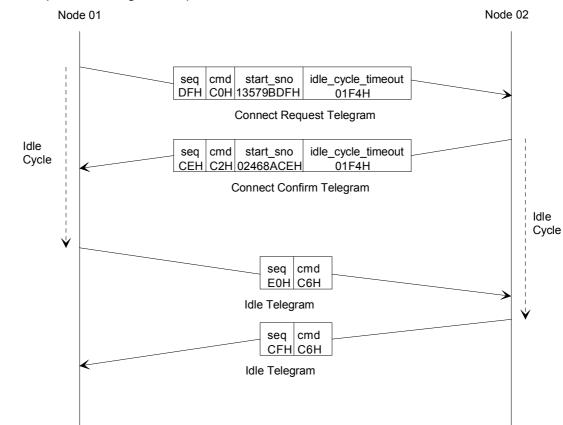


Figure 7 Telegram sequence for data exchange (SL 4 connection)

#### 5.4 Telegram Sequences (SL 0 Point-to-Point connections)

#### 5.4.1 Establish a Connection

- 5.4.1.1 Before data can be transferred between two nodes, a connection must be established. This is done by performing the connection set-up.
- 5.4.1.2 During connection set-up, the sequence numbers to be used at the start of the data transfer are exchanged. The initial sequence numbers shall be random numbers.
- 5.4.1.3 Implementation should at least make probable that the random numbers for the sequence numbers on different connections are different.
- 5.4.1.4 Following successful connection set-up the connection is considered to be established and data exchange can begin. At the same time cyclical monitoring of the connection is initiated by means of additional idle telegram.



5.4.1.5 Example for a telegram sequence to establish an SL 0 connection:

Figure 8 Telegram sequence to establish an SL 0 connection (connection set-up)

#### 5.4.2 Data Exchange

5.4.2.1 Example for a telegram sequence of an SL 0 connection after the connection is established:

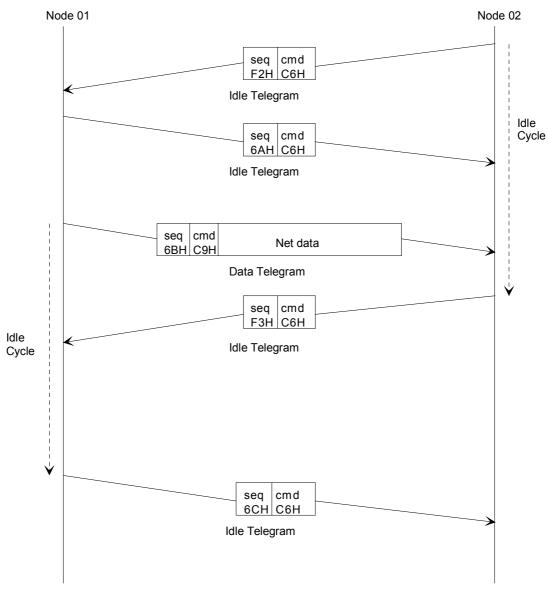


Figure 9 Telegram sequence for data exchange (SL 0 connection)

#### 5.5 Transition Tables

- 5.5.1.1 The Safe Link Layer shall fulfil the transition tables defined in 5.5.1.5 for an SL 4 and an SL 2 connection and 5.5.1.7 for an SL 0 connection.
- 5.5.1.2 The table below defines the states:

| State Name | Description  |  |  |  |  |  |  |  |
|------------|--|--|--|--|--|--|--|--|
| Idle       | Wait for connection set-up (Connect Request Telegram (Slave) or Connect    |  |  |  |  |  |  |  |
|            | Request from application (Master))   |  |  |  |  |  |  |  |
| wConAck    | Wait for Connection Acknowledge (Connect Confirm Telegram) (Only for       |  |  |  |  |  |  |  |
|            | Master)  |  |  |  |  |  |  |  |
| wAuthReq   | Wait for Authentication Request (Authentication Telegram) (Only for Slave) |  |  |  |  |  |  |  |
| wAuthAck   | Wait for Authentication Acknowledge (Authentication Acknowledgement        |  |  |  |  |  |  |  |
|            | Telegram) (Only Master)  |  |  |  |  |  |  |  |
| Data       | "Application" data exchange phase  |  |  |  |  |  |  |  |
| Defect     | Connection is permanently closed   |  |  |  |  |  |  |  |

5.5.1.3 The table below defines the events:

| Event         | Description  |
|---------------|--|
| Connect_snd   | Connect request from "application"                                     |
| Data_snd      | Data from "application"  |
| Timeout_snd   | Expiration of Idle cycle interval timer                                |
| Timeout_rcv   | Expiration of Idle cycle timeout timer                                 |
| Timeout_brk   | Expiration of acknowledgement timeout timer (Value see chapter 7.2)    |
| Data_rcv      | Data Telegram received   |
| Idle_rcv      | Idle Telegram received   |
| Incorrect_rcv | Incorrect telegram received (single and multiple fault, see 5.5.1.3.1) |
| ConReq_rcv    | Connect Request Telegram received                                      |
| ConAck_rcv    | Connect Acknowledge Telegram received                                  |
| AuthReq_rcv   | Authentication Telegram received                                       |
| AuthAck_rcv   | Authentication Acknowledge Telegram received                           |
| Discon_snd    | Disconnect request from upper layer                                    |
| FDiscon_snd   | Final Disconnect request from upper layer                              |
| Discon_rcv    | Disconnect request received  |

5.5.1.3.1 Incorrect telegram received; as laid out in the specification there is a single and a double fault possible. A double fault occurs if a corrupted telegram occurs two times within a defined period (see chapter 5.2.5.8.4).

5.5.1.4 The table below defines the actions (SL 4 and SL 2 connections):

| ID   | Operation  |
|------|--|
| f_00 | No operation   |
| f_01 | Send Connect Request Telegram & trigger acknowledgement timeout timer  |
|      | Send Connect Acknowledge Telegram & trigger acknowledgement timeout timer  |
| f_03 | Send Authentication Telegram & trigger acknowledgement timeout timer & trigger idle cycle interval timer   |
| f_04 | Send Authentication Acknowledge Telegram & trigger idle cycle timeout timer (reception supervision)& trigger idle cycle interval timer (sending interval) & stop acknowledge timer |
| f_05 | Send Data Telegram & trigger idle cycle interval timer (sending interval)  |
| f_06 | Send Idle Telegram & trigger idle cycle interval timer (sending interval)  |
|      | Send Disconnect Telegram   |
| f_08 | Send final Disconnect Telegram   |
| f_09 | Pass data to "application" & trigger idle cycle timeout timer (reception supervision)  |
| f_10 | Trigger idle cycle timeout timer (reception supervision)   |
| f_11 | Save current time & Send Disconnect Telegram   |
| f_12 | Trigger idle cycle timeout timer (reception supervision) & stop acknowledge timer  |
| f_13 | Save current time  |

| 5.5.1.5 | The  | table   | below | defines | the | transitions | between | the | states | (SL 4 | and | SL 2 |
|---------|------|---------|-------|---------|-----|-------------|---------|-----|--------|-------|-----|------|
|         | conn | ections | s):   |         |     |             |         |     |        |       |     |      |

|       | Action          | State     |           |           |           |           |           |
|-------|-----------------|-----------|-----------|-----------|-----------|-----------|-----------|
|       | Next State      | Idle      | wConAck   | wAuthReq  | wAuthAck  | Data      | Defect    |
|       | Connect_snd     | f_01      | f_00      | F_00      | f_00      | f_00      | f_00      |
|       |                 | wConAck   | no change |
|       | Data_snd        | f_00      | f_00      | F_00      | f_00      | f_05      | f_00      |
|       |                 | no change |
|       | Timeout_snd     | f_00      | f_00      | F_00      | F_06      | f_06      | f_00      |
|       |                 | no change |
|       | Timeout_rcv     | f_00      | f_07      | F_07      | f_07      | f_07      | f_00      |
|       |                 | no change | Idle      | Idle      | ldle      | ldle      | no change |
|       | Timeout_brk     | f_00      | f_07      | F_08      | f_08      | f_07      | f_00      |
|       |                 | no change | Idle      | Defect    | Defect    | Idle      | no change |
|       | Data_rcv        | f_00      | f_00      | F_08      | f_08      | f_09      | f_00      |
|       |                 | no change | no change | Defect    | Defect    | no change | no change |
| Event | ldle_rcv        | f_00      | f_00      | F_08      | f_08      | f_10      | f_00      |
|       |                 | no change | no change | Defect    | Defect    | no change | no change |
|       | 1 <sup>st</sup> | f_13      | f_11      | F_11      | f_11      | f_11      | f_00      |
|       | Incorrect_rcv   | no change | Idle      | Idle      | Idle      | Idle      | no change |
|       | 2 <sup>nd</sup> | f_00      | f_08      | F_08      | f_08      | f_08      | f_00      |
|       | Incorrect_rcv   | Defect    | Defect    | Defect    | Defect    | Defect    | no change |
|       | ConReq_rcv      | f_02      | f_00      | F_08      | f_08      | f_07      | f_00      |
|       |                 | wAuthReq  | no change | Defect    | Defect    | Idle      | no change |
|       | ConAck_rcv      | f_00      | f_03      | F_08      | f_08      | f_07      | f_00      |
|       |                 | no change | wAuthAck  | Defect    | Defect    | Idle      | no change |
|       | AuthReq_rcv     | f_00      | f_00      | F_04      | f_08      | f_07      | f_00      |
|       |                 | no change | no change | Data      | Defect    | Idle      | no change |
|       | AuthAck_rcv     | f_00      | f_00      | F_08      | f_12      | f_07      | f_00      |
|       |                 | no change | no change | Defect    | Data      | ldle      | no change |
|       | Discon_snd      | f_00      | f_07      | f_07      | f_07      | f_07      | f_00      |
|       |                 | no change | Idle      | Idle      | Idle      | ldle      | no change |
|       | FDiscon_snd     | f_00      | f_08      | f_08      | f_08      | f_08      | f_00      |
|       |                 | no change | Defect    | Defect    | Defect    | Defect    | no change |
|       | Discon_rcv      | f_00      | f_00      | f_00      | f_00      | f_00      | f_00      |
|       |                 | no change | ldle      | Idle      | ldle      | ldle      | no change |

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

#### 5.5.1.6 The table below defines the actions (SL 0 connections):

| ID   | Operation  |
|------|--|
| f_00 | No operation   |
| f_01 | Send Connect Request Telegram & trigger acknowledgement timeout timer & trigger idle<br>cycle interval timer (sending interval)                        |
|      | Send Connect Acknowledge Telegram & trigger idle cycle interval timer (sending interval)<br>& trigger idle cycle timeout timer (reception supervision) |
| f_05 | Send Data Telegram & trigger idle cycle interval timer (sending interval)  |
| f_06 | Send Idle Telegram & trigger idle cycle interval timer (sending interval)  |
|      | Send Disconnect Telegram   |
|      | Pass data to "application" & trigger idle cycle timeout timer (reception supervision)  |
| f_10 | Trigger idle cycle timeout timer (reception supervision)   |
| f_14 | Stop acknowledge timer & trigger idle cycle timeout timer (reception supervision)  |

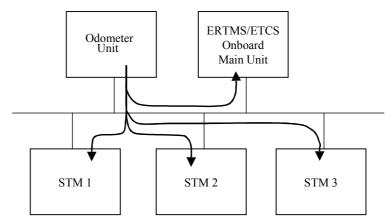
5.5.1.7 The table below defines the transitions between the states (SL 0 connections):

|       | Action          | State     |           |           |
|-------|-----------------|-----------|-----------|-----------|
|       | Next State      | Idle      | wConAck   | Data      |
|       | Connect_snd     | f_01      | f_00      | f_00      |
|       |                 | wConAck   | no change | no change |
|       | Data_snd        | f_00      | f_00      | f_05      |
|       |                 | no change | no change | no change |
|       | Timeout_snd     | f_00      | f_00      | f_06      |
|       |                 | no change | no change | no change |
|       | Timeout_rcv     | f_00      | f_00      | f_07      |
|       |                 | no change | no change | Idle      |
|       | Timeout_brk     | f_00      | f_07      | f_00      |
|       |                 | no change | Idle      | no change |
|       | Data_rcv        | f_00      | f_00      | f_09      |
|       |                 | no change | no change | no change |
| Event | ldle_rcv        | f_00      | f_00      | f_10      |
|       |                 | no change | no change | no change |
|       | 1 <sup>st</sup> | f_00      | f_07      | f_07      |
|       | Incorrect_rcv   | no change | Idle      | Idle      |
|       | ConReq_rcv      | f_02      | f_00      | f_07      |
|       |                 | Data      | no change | Idle      |
|       | ConAck_rcv      | f_00      | f_14      | f_07      |
|       |                 | no change | Data      | Idle      |
|       | Discon_snd      | f_00      | f_07      | f_07      |
|       |                 | no change | ldle      | Idle      |
|       | Discon_rcv      | f_00      | f_00      | f_00      |
|       |                 | no change | ldle      | ldle      |

# 6. MULTICAST

# 6.1 General Aspects

6.1.1.1 Example of the data flow for a Multicast message:



#### Figure 10 Example of the data flow for Multicast messages

- 6.1.1.1.1 Note: In this example the Odometer Node is separated from ERTMS/ETCS Onboard Main Node. This is only to clarify the two roles, but they may coincide in the same bus node.
- 6.1.1.2 Note: Safe multicast messages do not use Logical connection Masters nor Logical connection Slaves.
- 6.1.1.3 Only SIL 4 equipment is allowed to implement the procedures to send Multicast Telegrams.
- 6.1.1.3.1 Note: The receiver of Multicast Telegrams may be of any SIL (SIL 0 to SIL 4).
- 6.1.1.4 The receiver of a Multicast Telegram has to reject telegrams which do not come from a SIL 4 equipment.
- 6.1.1.5 Multicast is separated from other logical connections by a SAP of it's own.
- 6.1.1.6 Since multicast is a 1-to-N transmission any data flow from receiver to sender on any level cannot be performed. As a conclusion the receiver does not acknowledge any Multicast Data Telegram.
- 6.1.1.7 Multicast messages are allowed to be lost occasionally. The following message will provide an update, and the lost message is no longer needed. One consecutive multicast being lost or corrupted should be acceptable as a minimum. This shall be handled by the upper layer.
- 6.1.1.8 If the bus is realised redundantly all multicast messages shall be sent on the both redundant busses.

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

6.1.1.9 As the sender is expected to transmit all Multicast Telegrams twice on each bus, the function to suppress copies is mandatory also for nodes with a single bus configuration.

## 6.2 Telegram Structure

- 6.2.1.1 Safe Multicast message transmission implements the following:
  - Double transmission on each bus (time diversity)
  - Cyclic transmission
  - Sequence number
  - CRC according to SL
  - Implicit data
- 6.2.1.2 The sequence number is incremented by one with every transmitted telegram.
- 6.2.1.3 Sequence numbers with a value lower than a previously received one will not be forwarded to the upper layer.
- 6.2.1.4 Wrap around of the sequence number is not allowed. Wrap around of the sequence number shall be detected at the sender side. In this case the sender shall stop to send the Multicast messages.
- 6.2.1.4.1 Note: With a transmission cycle of 50 ms the time to a wrap around will be 6.8 years.
- 6.2.1.5 Format of Implicit Data

| Byte | Designation      | Range of values | Meaning   |  |
|------|------------------|-----------------|---|--|
| 1    | Data_length      | 0244            | The length of the user data bytes (from the PROFIBUS FDL viewpoint) in the telegram, i.e. length of telegram header for data transmission with error detection + length of net data + length of telegram trailer for data transmission with error detection |  |
| 2    | Receiver_address | 127             | The receiver's address can be processed as implicit data because it is available on PROFIBUS FDL Level.   |  |
| 3    | Sender_address   | 0126            | The sender's address can be processed as implicit data because it is available on PROFIBUS FDL Level.   |  |
| 4    | DSAP             | 062             | Destination SAP (DSAP) is equal to SSAP, see /2/.   |  |
| 5    | SSAP             | 062             | Source SAP (SSAP).  |  |

6.2.1.6 Example of the computation of the CRC using the implicit (marked 'i') and transmitted data (marked 't'):

|  | Multicast Data<br>Telegram |
|--|----------------------------|
| Data_length                            | 0Eh (i)                    |
| Receiver_address                       | 7Fh (i)                    |
| Sender_address                         | 53h (i)                    |
| DSAP                                   | 21h (i)                    |
| SSAP                                   | 21h (i)                    |
| Compatibility no. X                    | 03h (t)                    |
| Compatibility no. Y                    | 00h (t)                    |
| Compatibility no. Z                    | 0Ah (t)                    |
| Command                                | 8Dh (t)                    |
| Sequence_Number (low word, low byte)   | EFh (t)                    |
| Sequence_Number (low word, high byte)  | CDh (t)                    |
| Sequence_Number (high word, low byte)  | ABh (t)                    |
| Sequence_Number (high word, high byte) | 89h (t)                    |
| CRC                                    | 48h (t)                    |
| CRC                                    | 96h (t)                    |
| CRC                                    | 6Fh (t)                    |
| CRC                                    | 0Ch (t)                    |
| CRC                                    | 4Ah (t)                    |
| CRC                                    | D9h (t)                    |

6.2.1.7 Structure of the Multicast Telegram, net data:

| Byte       | Designation        | Range of values       | Meaning   |
|------------|--------------------|-----------------------|---|
| 1          | Compatibility no X | 0255                  | Compatibility Number X.Y.Z (see chapter 8) implemented in the node.   |
| 2          | Compatibility no Y | 0255                  | Compatibility Number X.Y.Z (see chapter 8) implemented in the node.   |
| 3          | Compatibility no Z | 0255                  | Compatibility Number X.Y.Z (see chapter 8) implemented in the node.   |
| 4          | Command            | 8Dh                   | These command have been reserved in the Point-to-Point connections.   |
| 58         | Sequence Number    | 00000000h<br>FFFFFFFh | Full sequence number must be transmitted since no multicast start-up procedure is possible. The start value shall be 00000000h. |
| 9<br>(n-l) | Net data           | 0255                  | Application data<br>n <= 244 bytes<br>I: length of CRC checksum   |
| n-l+1<br>n | CRC                |                       | CRC for Multicast messages  |

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

# 7. LIST OF CONSTANTS

7.1.1.1 This chapter gives a list of the constants used within the Safe Link Layer together with their definition.

# 7.2 Acknowledgement timeout period

7.2.1.1 See chapter 5.2.1.4 and 5.2.5.7.2: Best estimated value 5 seconds.

# 8. **CONFIGURATION MANAGEMENT**

## 8.1 General

#### 8.1.1 Aim and Objectives

- 8.1.1.1 During the life time of the Safe Link Layer there will be several versions of the specification.
- 8.1.1.2 The objective of the interoperability configuration is to define principles to be applied in situations where different nodes have been certified to different versions.
- 8.1.1.3 Note: The handling of different software versions is out of the scope of the system configuration management.

#### 8.1.2 Evolution of the versions

- 8.1.2.1 The evolution of the versions of the Safe Link Layer shall be sequential, i. e. there shall only be a direct upgrade of an existing version and no branch is accepted.
- 8.1.2.2 The versions of the Safe Link Layer shall be identified by a compatibility number which complies with the following:
- 8.1.2.2.1 Each Compatibility Number will have the following format: X.Y.Z, where X, Y and Z are any number between 0 and 255 (examples: 1.12.0, 6.8.203, 65.0.15).
- 8.1.2.2.2 The first number (X) distinguishes not compatible versions.
- 8.1.2.2.3 Note: Value "0" of the first number (X) is reserved to indicate other protocol than the Safe Link Layer.
- 8.1.2.2.4 The second number (Y) indicates compatibility within a version X.
- 8.1.2.2.5 If the first number of two versions is the same, that indicates that those versions are compatible, independently of the second number (e. g. version 3.5 is compatible with 3.3, 3.14).
- 8.1.2.2.6 The third number (Z) is a vendor-specific (version) number that indicates the implemented version X.Y.

# 8.2 Compatibility Numbers

- 8.2.1.1 All nodes which have implemented the Safe Link Layer shall transmit the Compatibility Number (5.2.1.3, 5.2.2.2, 6.2.1.7) according to 8.2.1.3.
- 8.2.1.2 The Compatibility Number shall be changed with every official release of this document.
- 8.2.1.3 Compatibility Table

| Safe Link Layer                            |                         |  |
|--|-------------------------|--|
| Version of the<br>Document<br>(SUBSET-057) | Compatibility<br>Number | Difference to previous version (overview)  |
| 2.0.0                                      | X=2,<br>Y=0,<br>Z=0     | Initial Revision.  |
| 2.2.0                                      | X=3,<br>Y=0,<br>Z       | General revision of the specification:<br>Multicast Connections included, SL 0 SL 4 specified.<br>Z is vendor specific |
|  |                         |  |

# 9. **REDUNDANCY SUPERVISOR**

- 9.1.1.1 The redundancy supervisor is a non-safe function.
- 9.1.1.2 The redundancy supervisor is required if the node is equipped with dual busses.
- 9.1.1.3 The redundancy supervisor is not required if the node is equipped with one bus.

# 9.2 Function at sending a telegram

- 9.2.1.1 The redundancy supervisor shall send a telegram received from the Safe Link Layer on both busses.
- 9.2.1.2 Note: Exactly the same telegram is sent on both busses.

### 9.3 Function at receiving a telegram

- 9.3.1.1 The redundancy supervisor shall collect all telegrams received form both busses. All copies except one of a telegram shall be discarded. Only one copy of the telegram shall be sent to the Safe Link Layer.
- 9.3.1.2 Note: It is enough to look at the SAP and sequence\_number of a telegram and compare them with previous telegrams to identify if a received telegram is a copy or not of a previous received one.

# 10. APPENDIX A: CRC GENERATOR POLYNOMIAL AND APPLICATION RULES

## 10.1 General

- 10.1.1.1 The communication may occur between nodes of different Safety Levels.
- 10.1.1.2 For point-to-point connections the communication shall achieve a safe communication at the level of the node supporting the lowest Safety Level (see 3.3.1.4).
- 10.1.1.2.1 Example: Communication between an SIL 4 and an SIL 2 equipment shall use the SL 2 communication.
- 10.1.1.3 For multicast connections the communication shall achieve a safe communication between the sender (SIL 4, see 6.1.1.3) and receivers with different safety levels.
- 10.1.1.4 Two different polynomials are defined for SL 2 and SL 4 respectively.
- 10.1.1.5 For SL 4 point-to-point communication the CRC\_SL4 polynomial shall be used.
- 10.1.1.6 For SL 2 point-to-point communication the CRC\_SL2 polynomial shall be used.
- 10.1.1.7 For SL 0 point-to-point communication no CRC shall be used.
- 10.1.1.8 For multicast communication the CRC\_SL4 shall be used by the sender.
- 10.1.1.9 For multicast communication the receivers shall use the CRC listed in 10.1.1.5 and 10.1.1.6 according to their safety level.
- 10.1.1.10 Before calculating a CRC the initial value of all bits of the register shall be set to 0.
- 10.1.1.11 The checksum of a message shall not be used to determine the Safety Level of the message.
- 10.1.1.12 The CRC\_SL2 polynomial is a factor in the CRC\_SL4 polynomial. The checksums created by CRC\_SL4 are the results of compound polynomials with several factors. Therefore a message generated with CRC\_SL4 polynomial can be checked with the CRC\_SL2 polynomial. The achieved error detection capability corresponds to that of CRC\_SL2.
- 10.1.1.13 This factorisation between the polynomials of different Safety Levels allows any SL 4 Multicast message to be verified by nodes supporting SL 2 and SL 4. As no CRC verification is performed by SL 0 nodes, the Multicast message can also be received by SL 0 equipments.
- 10.1.1.13.1 Note: There is a non-zero probability that the end of a message match the checksum of a higher Safety Level. This hazard has a low probability, but is mitigated by additional protection mechanism of the Safe Link Layer.

#### 10.1.2 SL 2 Communication

10.1.2.1 The generator polynomial is:

CRC\_SL2

 $= x^{32} + x^{30} + x^{27} + x^{25} + x^{22} + x^{20} + x^{13} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^6 + x^5 + x^4 + 1$ 

10.1.2.1.1 Note: This polynomial is already used for a safe communication via the Profibus.

#### 10.1.3 SL 4 Communication

- 10.1.3.1 For the Safety Level 4 the defined polynomial comes from the multiplication of the polynomial CRC\_SL2 and an additional polynomial.
- 10.1.3.2 The generator polynomial is:

CRC\_SL4

 $= x^{48} + x^{47} + x^{46} + x^{44} + x^{41} + x^{39} + x^{35} + x^{34} + x^{32} + x^{31} + x^{29} + x^{28} + x^{25} + x^{24} + x^{23} + x^{22} + x^{21} + x^{20} + x^{19} + x^{17} + x^{14} + x^{11} + x^{9} + x^{7} + x^{5} + x^{3} + x^{2} + 1$ 

 $= (x^{16} + x^{15} + x^{13} + x^{12} + x^4 + x^3 + x^2 + 1) * CRC_SL2$ 

 $= (x^{16} + x^{15} + x^{13} + x^{12} + x^4 + x^3 + x^2 + 1) * (x^{32} + x^{30} + x^{27} + x^{25} + x^{22} + x^{20} + x^{13} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^6 + x^5 + x^4 + 1)$ 

# **10.2** Checksum validation (non-normative)

- 10.2.1.1 The checksums are to be validated as for normal cyclic checksums, also when validating a message generated with a higher Safety Level polynomial. At least two principles are applicable.
- 10.2.1.2 One method is to divide the whole message including checksum and verify that the remainder is zero.
- 10.2.1.2.1 Example 1: Sender: SIL 4 / Receiver: SIL 4 (based on the example in chapter 6.2.1.6)

Telegram: 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9h

 Input \*):
 0Eh 7Fh 53h 21h 21h 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9h

 Polynomial:
 D2h 8Dh B3h FAh 4Ah ADh

 Result:
 00h 00h 00h 00h 00h

\*) The input for the checksum validation is the received telegram plus the *implicit data*.

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

10.2.1.2.2 Example 2: Sender: SIL 4 / Receiver: SIL 2 (based on the example in chapter 6.2.1.6)

Telegram: 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9h

Input \*):0Eh 7Fh 53h 21h 21h 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9hPolynomial:4Ah 50h 3Dh F1hResult:00h 00h 00h

\*) The input for the checksum validation is the received telegram plus the *implicit data*.

- 10.2.1.3 The other method compares the message checksum with the computed checksum, and they shall be equal for a valid message. Remove the last bits of the message corresponding to the length of the polynomial used for verification. Divide the message (except the removed last bits) with the verification polynomial and compare with the removed bits. They shall be equal.
- 10.2.1.3.1 Note: In the above paragraph, the message is considered to include its checksum, so the removed part is (part of) checksum but not application data.
- 10.2.1.3.2 Example 1: Sender: SIL 4 / Receiver: SIL 4 (based on the example in chapter 6.2.1.6)

Telegram: 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9h

Input \*):0Eh 7Fh 53h 21h 21h 03h 00h 0Ah 8Dh EFh CDh ABh 89hPolynomial:D2h 8Dh B3h FAh 4Ah ADhResult:48h 96h 6Fh 0Ch 4Ah D9h

- \*) The input for the checksum validation is the received telegram plus the *implicit data*, but the last bits are removed (in this case, because of the 48 bit polynomial: 48).
- 10.2.1.3.3 Example 2: Sender: SIL 4 / Receiver: SIL 2 (based on the example in chapter 6.2.1.6)

Telegram: 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h 6Fh 0Ch 4Ah D9h

 Input \*):
 0Eh 7Fh 53h 21h 21h 03h 00h 0Ah 8Dh EFh CDh ABh 89h 48h 96h

 Polynomial:
 4Ah 50h 3Dh F1h

 Result:
 6Fh 0Ch 4Ah D9h

\*) The input for the checksum validation is the received telegram plus the *implicit data*, but the last bits are removed (in this case, because of the 32 bit polynomial: 32).

# 11. APPENDIX B: CALCULATION OF THE CRC LENGTH

- 11.1.1.1 This appendix gives the calculation for the length of the CRC for the STM bus according to the CENELEC norm for Safety-related communication in closed transmission systems (/1/, Annex A):
- 11.1.1.1 A safety code with 32 digits is sufficient for a SIL 4 connection, if the conditions listed in chapter 11.3.5 are met!
- 11.1.1.1.2 A safety code with 24 digits is sufficient for a SIL 2 connection, if the conditions listed in chapter 11.4.5 are met!

# **11.2** Safety target for the transmission (CENELEC EN 50129)

11.2.1.1

| Safety Integrity Level<br>SIL | Tolerable Hazard Rate THR per<br>hour and per function | Tolerable Hazard Rate THR per<br>hour and per part of function * |
|-------------------------------|--|--|
| 4                             | 10 <sup>-9</sup> ≤ THR <10 <sup>-8</sup>               | 10 <sup>-11</sup> ≤ THR <10 <sup>-10</sup>                       |
| 2                             | 10 <sup>-7</sup> ≤ THR <10 <sup>-6</sup>               | 10 <sup>-9</sup> ≤ THR <10 <sup>-8</sup>                         |

\* The transmission is only a part of a function. The safety target for this function is estimated to be 1% of the safety target for the function.

# 11.3 Calculation of the CRC length (SIL 4)

- 11.3.1.1  $R_{H1} + R_{H2} + R_{H3} \le R_{H}$
- 11.3.1.2 R<sub>H</sub>: Tolerable failure rate of the complete transmission channel
- 11.3.1.2.1 =>  $R_H = 10^{-11}$

#### 11.3.2 Hardware faults

- 11.3.2.1  $R_{HW} \ge p_{US} \ge k_1 \le R_{H1}$
- 11.3.2.2 R<sub>H1</sub>: Tolerable failure rate of the complete transmission channel due to hardware failures
- 11.3.2.2.1 Assumption(s):

- 1/3 of the tolerable failure rate of the complete transmission channel  $\mathsf{R}_{\!\mathit{H}}$ 

11.3.2.2.2 =>  $R_{H1} = (1/3)x10^{-11}$ 

- 11.3.2.3 p<sub>US</sub>: Probability of undetected failure due to the performance of the safety code
- 11.3.2.3.1 Assumption(s): - Estimated number of digits for the safety code: 32
- 11.3.2.3.2 =>  $p_{US} = 2^{-32} \approx 2.3 \times 10^{-10}$
- 11.3.2.4 k<sub>1</sub>: Factor for hardware faults including safety margin
- 11.3.2.4.1 Assumption(s):
  2 consecutive corrupted messages (n = 2)
   safety factor 5 (m = 5)
- 11.3.2.4.2 =>  $k_1 \ge n \ge m = 2 \ge 5 = 10$
- 11.3.2.5 This leads to the tolerable failure rate of the non-trusted transmission system (in this case the Profibus) R<sub>HW</sub>:

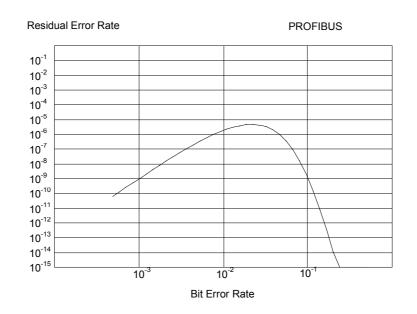
<u>**R**<sub>HW</sub></u> ≤ R<sub>H1</sub> / (p<sub>US</sub> x k<sub>1</sub>) = (1/3)x10<sup>-11</sup> h<sup>-1</sup> / (2<sup>-32</sup> x 10) ≈ <u>**1.4x10<sup>-3</sup> h<sup>-1</sup>**</u>

- 11.3.2.6 This gives an MTBF of 714 h which has to be reached by the complete transmission system (STM Bus).
- 11.3.3 EMI
- 11.3.3.1  $p_{US} \ge p_{UT} \ge f_W \le R_{H2}$
- 11.3.3.2 R<sub>H2</sub>: Tolerable failure rate of the complete transmission channel due to EMI
- 11.3.3.2.1 Assumption(s):
   1/3 of the Tolerable failure rate of the complete transmission channel R<sub>H</sub>
- 11.3.3.2.2 =>  $R_{H2} = (1/3)x10^{-11}$
- 11.3.3.3 p<sub>US</sub>: Probability of undetected failure due to the performance of the safety code
- 11.3.3.3.1 =>  $p_{US} = 2^{-32} \approx 2.3 \times 10^{-10}$  (see 11.3.2.3.2)
- 11.3.3.4 p<sub>UT</sub>: Probability of undetected failure due to the performance of the transmission code
- $11.3.3.4.1 \Rightarrow p_{UT} \le 5 \times 10^{-6}$

#### 11.3.3.4.2 Note:

It is not allowed to use the formula  $p_{UT} = 2^{-b}$  as given in EN 50159-1, because the Profibus uses a combined code: horizontal parity and arithmetic sum (see EN 50170-2)!

An analysis of the probability of undetected failures states that assuming common bit error rates of less than ( $p = 10^{-6}$ ) as well as bit error rate due to noise (p = 0,5) is less than  $5x10^{-6}$  (see /5/):



#### Figure 11 Probability of undetected failures

11.3.3.5 This leads to the frequency of wrong (corrupted) messages f<sub>w</sub>:

<u>**f**\_W</u> ≤ R<sub>H2</sub> / (p<sub>US</sub> x p<sub>UT</sub>) = (1/3)x10<sup>-11</sup> h<sup>-1</sup> / (2<sup>-32</sup> x 5x10<sup>-6</sup>) ≈ <u>**0.8 s**<sup>-1</sup></u>

11.3.3.6 This states that within 1,25 seconds the reception of more than one corrupted telegram is not allowed.

#### 11.3.4 Transmission code faults

- 11.3.4.1  $k_2 \ge p_{us} \ge 1/T \le R_{H3}$
- 11.3.4.2 R<sub>H3</sub>: Tolerable failure rate of the complete transmission channel due to transmission code faults
- 11.3.4.2.1 Assumption(s):

- 1/3 of the tolerable failure rate of the complete transmission channel  $\mathsf{R}_{\mathsf{H}}$ 

- 11.3.4.2.2 =>  $R_{H3} = (1/3) \times 10^{-11}$
- 11.3.4.3  $p_{US}$ : Probability of undetected failure due to the performance of the safety code
- 11.3.4.3.1 =>  $p_{US} = 2^{-32} \approx 2.3 \times 10^{-10}$  (see 11.3.2.3.2)

© This document is the property of

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

- 11.3.4.4 k<sub>2</sub>: Factor which describes the percentage of hardware faults that result in undetected disabling of transmission decoding
- 11.3.4.4.1 =>  $k_2 = 10^{-4}$
- 11.3.4.5 This leads to the time span after which the safe fall back state will be entered, if within this time more than a defined number of corrupted messages were received (T):

<u>**T**</u>≤  $(k_2 x p_{US}) / R_{H3} = (10^{-4} x 2^{-32}) / ((1/3)x10^{-11} h^{-1}) \approx 25 s$ 

11.3.4.6 This value gives the minimum time between two corrupted messages of 25 seconds.

#### 11.3.5 Result

- 11.3.5.1 This calculation shows that a safety code with 32 digits is sufficient for a SIL 4 connection if the following conditions are met:
- 11.3.5.1.1 The MTBF of the STM Bus is better than 714 h.
- 11.3.5.1.2 The safe fall back state shall be entered, when two corrupted messages are received within 25 seconds.
- 11.3.5.1.3 A "good" (proper) polynomial is used.

# 11.4 Calculation of the CRC length (SIL 2)

- 11.4.1.1  $R_{H1} + R_{H2} + R_{H3} \le R_{H}$
- 11.4.1.2 R<sub>H</sub>: Tolerable failure rate of the complete transmission channel

11.4.1.2.1 =>  $R_H = 10^{-9}$ 

#### 11.4.2 Hardware faults

- 11.4.2.1  $R_{HW} \times p_{US} \times k_1 \leq R_{H1}$
- 11.4.2.2 R<sub>H1</sub>: Tolerable failure rate of the complete transmission channel due to hardware failures
- 11.4.2.2.1 Assumption(s):
   1/3 of the tolerable failure rate of the complete transmission channel R<sub>H</sub>

11.4.2.2.2 =>  $R_{H1} = (1/3)x10^{-9}$ 

- 11.4.2.3 p<sub>US</sub>: Probability of undetected failure due to the performance of the safety code
- 11.4.2.3.1 Assumption(s): - Estimated number of digits for the safety code: 23
- 11.4.2.3.2 =>  $p_{us} = 2^{-24} \approx 5.9 \times 10^{-8}$

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

11.4.2.4 This leads to the tolerable failure rate of the non-trusted transmission system (in this case the Profibus) R<sub>HW</sub>:

<u>**R**<sub>HW</sub></u> ≤ R<sub>H1</sub> / (p<sub>US</sub> x k<sub>1</sub>) = (1/3)x10<sup>-9</sup> h<sup>-1</sup> / (2<sup>-24</sup> x 10) ≈ <u>5.6x10<sup>-4</sup> h<sup>-1</sup></u>

- 11.4.2.5 This gives an MTBF of 1788 h which has to be reached by the complete transmission system (STM Bus).
- 11.4.3 EMI
- 11.4.3.1  $p_{US} \ge p_{UT} \ge f_W \le R_{H2}$
- 11.4.3.2 R<sub>H2</sub>: Tolerable failure rate of the complete transmission channel due to EMI
- 11.4.3.2.1 Assumption(s):
   1/3 of the tolerable failure rate of the complete transmission channel R<sub>H</sub>
- 11.4.3.2.2 =>  $R_{H_2} = (1/3)x10^{-9}$
- 11.4.3.3 p<sub>US</sub>: Probability of undetected failure due to the performance of the safety code

11.4.3.3.1 => 
$$p_{us} = 2^{-24} \approx 5,9x10^{-8}$$
 (see 11.4.2.3.2)

11.4.3.4 This leads to the frequency of wrong (corrupted) messages f<sub>w</sub>:

<u>**f**\_W</u> ≤ R<sub>H2</sub> / (p<sub>US</sub> x p<sub>UT</sub>) = (1/3)x10<sup>-9</sup> h<sup>-1</sup> / (2<sup>-24</sup> x 5x10<sup>-6</sup>) ≈ <u>**0.31 s**<sup>-1</sup></u>

- 11.4.3.5 This states that within 3.2 seconds the reception of more than one corrupted telegram is not allowed.
- 11.4.4 Transmission code faults
- 11.4.4.1  $k_2 \ge p_{us} \ge 1/T \le R_{H3}$
- 11.4.4.2 R<sub>H3</sub>: Tolerable failure rate of the complete transmission channel due to transmission code faults
- 11.4.4.2.1 Assumption(s):
   1/3 of the Tolerable failure rate of the complete transmission channel R<sub>H</sub>
- 11.4.4.2.2 =>  $R_{H3} = (1/3) \times 10^{-9}$
- 11.4.4.3 p<sub>US</sub>: Probability of undetected failure due to the performance of the safety code
- 11.4.4.3.1 =>  $p_{us} = 2^{-24} \approx 5,9 \times 10^{-8}$  (see 11.4.2.3.2)
- 11.4.4.4 This leads to the time span after which the safe fall back state will be entered, if within this time more than a defined number of corrupted messages were received (T):

**T**≤ 
$$(k_2 x p_{US}) / R_{H3} = (10^{-4} x 2^{-24}) / ((1/3)x10^{-9} h^{-1}) \approx 64 s$$

© This document is the property of

ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS

11.4.4.5 This value gives the minimum time between two corrupted messages of 64 seconds.

#### 11.4.5 Result

- 11.4.5.1 This calculation shows that a safety code with 24 digits is sufficient for a SIL 2 connection if the following conditions are met:
- 11.4.5.1.1 The MTBF of the STM Bus is better than 1788 h.
- 11.4.5.1.2 The safe fall back state shall be entered, when two corrupted messages are received within 64 seconds.
- 11.4.5.1.3 A "good" (proper) polynomial is used.

# 12. APPENDIX C: SERVICES PROVIDED BY THE SAFE LINK LAYER

- 12.1.1.1 This appendix is informal and shall be read as an example.
- 12.1.1.2 The Safe Link Layer may provide the following services for upper layers or application:

| Service              | Description                   | Parameters   | Return  |
|----------------------|-------------------------------|--|---|
| Connect              | Initialises a<br>connection   | Multicast Sender, Multicast<br>receiver, Point-to-point<br>master or Point-to-point<br>slave;<br>Safety Level of the<br>connection (SL 0, SL 2 or<br>SL 4);<br>Sequence_number;<br>Receive_address;<br>Send_address;<br>partner_SAP;<br>Local_SAP;<br>Idle_Cycle_interval;<br>Idle_Cycle_timeout | Connection ID or Fail;<br>The other node is using<br>dual busses or Not   |
| Send Data            | Send net data                 | Connection ID;<br>Command;<br>Net data   | Send time stamp or Fail   |
| Get Data             | Returns received net data     | Connection ID  | Net Data, No data or Fail;<br>Reception time stamp  |
| Disconnect           | Disconnect a connection       | Connection ID;<br>Reason for disconnection;<br>Reason for disconnection<br>text  | OK or Fail  |
| Connection<br>active | Ask if a connection is active | Connection ID  | Connected or Reason for<br>Disconnection;<br>Reason for disconnection<br>text;<br>The other node is using<br>dual busses or Not |

- 12.1.1.2.1 Point-to-point master indicates that the Connect Request Telegram is sent from this partner.
- 12.1.1.2.2 Point-to-point slave indicates that this partner receives the Connect Request Telegram.
- 12.1.1.2.3 The Idle Telegram is sent with the Idle\_cycle\_interval time.
- 12.1.1.2.4 The Safe Link Layer disconnects a connection that has not received a telegram within Idle\_cycle\_timeout time.

© This document is the property of ALCATEL \* ALSTOM \* ANSALDO SIGNAL \* BOMBARDIER \* INVENSYS RAIL \* SIEMENS