

Making the railway system
work better for society.

SPD 2020 – Amendments

Justification note

Section 2 – Multi-annual work programme	Amended text	Justification
Activity 2, p.11	Beginning of 2020, the Agency should receive from the European Commission a request regarding the next revision of the TSIs planned to be adopted by the Commission by mid-2022. This request will focus to address the strategic objectives a European Green Deal, an economy that works for people, a Europe fit for digital age and a stronger Europe in the world.	

Section 3 – Annual work programme 2020	Amended text			Justification
Objective	List of the main projects/services	Output indicator	Target for 2020	
Objective 1.4 Implementing the EUMedRail project	Workshops and technical visits	No. of national workshops involving one beneficiary	6 – planned activities if possible will be realised as online meetings or postponed to a later date (if possible)	Due to COVID-19 impact on travel between Europe and the region, the number of national workshops as well as bilateral meetings will be reduced in 2020, depending on the duration of travel restrictions.

Section 3 – Annual work programme 2020	Amended text			Justification
Objective	List of the main projects/services	Output indicator	Target for 2020	
Objective 1.4 Implementing the EUMedRail project	Traineeship arrangements for experts of the region	No. of trainees from the beneficiaries at the Agency	6 – reduced, depending of duration of COVID-19 restrictions	Due to COVID-19 impact on travel between Europe and the region, the number of traineeships of experts from the region at ERA premises need to be reduced in 2020, depending on the duration of travel restrictions.
		All beneficiaries represented	Yes – as far as possible	
Objective 1.4 Implementing the EUMedRail project	Experts participation to meetings organized by the Agency Support to Cooperation with DG NEAR / UfM	No. of meetings/events organized by the Agency, where the experts from the region attend	6 – as far as possible physical meetings will be replaced by online meetings e.g. via Skype for business and other tools, in case that physical meetings e.g. technical visits will not be possible online they might be postponed to a later date (if possible)	Due to COVID-19 impact on travel between Europe and the region, the number of physical workshops and meeting organized by ERA in 2020 need to be reduced in 2020, depending on the duration of travel restrictions.
Objective 1.5 Strengthening cooperation with neighbouring countries in the Western Balkans and Turkey				The project covers not only IPA, but also the cooperation with TCT. This should be covered by the correct name.

Section 3 – Annual work programme 2020	Amended text			Justification
	Objective	List of the main projects/services	Output indicator	
Objective 1.5 Strengthening cooperation with neighbouring countries in the Western Balkans and Turkey	Participation of IPA reps to NSA network meetings and NIB plenaries	No. of reps invited 7		Set up of participation the NSA network delayed due to COVID crisis.
Objective 1.5 Strengthening cooperation with neighbouring countries in the Western Balkans and Turkey	Participation of IPA professionals to NSA Monitoring and NIB peer-review	No. of reps invited 7	to be reviewed	This depends on the continuation of the Audits and Peer-reviews
Activity 2, p.18	In Activity 2 , after the alignment of the TSIs with the 4RWP in 2019, the development of the TSIs will mainly be dedicated to the EC request on digital age and green freight. The Agency Recommendation on the TSI revision package is planned to be delivered by December 2021 in order to allow the Commission for an adoption of the corresponding Regulation by mid-2022.			
Objective 2.4 Disseminating and Training to support implementation	Creating content for internal and external training and dissemination (FWC included)	Training modules on Risk Assessment & Monitoring	Training modules for E-learning are created.	Unforeseen shortage of staff due to sickness.

Section 3 – Annual work programme 2020	Amended text			Justification
	Objective	List of the main projects/services	Output indicator	
of the EU framework				
	Organising ERA events (Innotrans, IRSC, ETCR, Safety Conference, Maintenance Conference, HOF automation Seminar)	Events organised according to 2020 priorities	, venue and date identification for the IRSC 2022	Due to COVID19 pandemic, the following events are not taking place in 2020: <ul style="list-style-type: none"> - Innotrans and Safety Conference (combined with Maintenance conference) - ETCR 2020 - IRSC 2020 HOF automation seminar
	Delivering dissemination through NSAs	Dissemination activities organised according to 2020 priorities	5 workshops delivered (2 online and 3 onsite)	Travel restrictions due to COVID19 pandemic
	Delivering trainings to PoE	Dissemination activities according to 2020 priorities	3 trainings delivered	Due to COVID19 impact
	Delivering training on SMS, Safety Culture, Safety Leadership and HOF	Training activities according to 2020 priorities	4 training sessions delivered	Due to COVID19 impact
Objective 3.1 Support to the Fourth Railway	Negotiation of the Cooperation Agreements with NSAs	Proportion of signed Cooperation Agreements by 31 st of October 2020	100%	Following the amendment of Directive (EU) 2016/797 and Directive (EU) 2016/798, Member States that have committed to transpose by 16

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Package implementation				June 2020 may extended this deadline till 31 October
Objective 3.1 Support to the Fourth Railway Package implementation	Revision ERTMS Trackside approval guide and procedures (including the follow up of funded projects)	Proportion of major problems assessed with a decision following the change control process	95%	Proposal of a more meaningful indicator than the existing one.
Objective 3.5	RINF (development and operation)	Analysis on the way to display the accurate geometry and to allow IMs to directly update their data and decision on the future RINF architecture	October 2020 (instead of July 2020)	Due to extra workload, discussion with the Commission, notably on the IA, and reprioritization of activities.
Objective 4.1	Economic Steering Group and Task Forces	Task Force draft Report shared with ESG	Shared by December 2020	Task Force works starting in June 2020 remotely under COVID-19 circumstances.
Objective 4.2 Monitoring	NSA Annual Safety report analysis	Qualitative analysis of NSA Annual Safety reports to be presented to the NSAs	1 summary analysis presented at the workshop or delivered to NSAs in case of impossibility to hold the workshop	Workshop is planned on 1-2 December 2020 in Valenciennes. It is at present unknown whether it will be possible to keep the workshop due to COVID pandemic evolution, so we propose to slightly modify the text in the SPD to take into account the possibility that the Workshop won't be held. Qualitative Analysis will, in any case, be provided to NSAs by alternative means.

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Objective 4.2 Monitoring	Review of NIB accident investigation reports	Qualitative analysis of NIB accident investigation reports	draft summary analysis delivered (publication will be done in Q1 2021)	To align the SPD target with the current IMS procedure of the Service that envisages the delivery of the report in the first months of the following year.
Objective 4.2 Monitoring	NSA monitoring	No. of audit reports sent to NSA for comments after the onsite visit No. of follow – up audits	At least 5 At least 1	Depending on the evolution of the COVID 19 Pandemic, it is most likely that not all 10 planned audits will be finalized in 2020. Almost all exit meeting will have to happen in 2021 and, therefore, the likelihood to meet the initial target is low and it makes sense to adjust it.
Objective 4.2 Monitoring	NoBos monitoring	No. of reports for NoBos audit/inspection sent to NoBos for comments after the onsite visit	4	Depending on the evolution of the COVID 19 Pandemic, it is most likely that not all 6 planned audits/inspections will be finalized in 2020. 1 inspection was performed in February and the report has been delivered (A Slovak NoBo). However, the likelihood to meet the initial target is low and it makes sense to adjust it.
Objective 4.2 Monitoring	Support the NIB Peer review system	ERA to attend as observer to the NIB Peer Reviewed	Attend at least remotely, if possible, at least two-thirds of the NIBs Peer review meetings by Q4	The output indicator is being revised to adapt it to the reality of the Service. All Peer Reviews are planned between the end of September and November. 2020 and might be cancelled or held remotely due to COVID_19.
Objective 5.1	ICC services	% of ERA staff re-trained on antifraud and ethics	At least 15%	The rate of participation so far is 4%. The trainings are carried in classroom. Skype trainings are

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				envisaged and rescheduled in 2020. Still, likelihood to reach the initial target is low. It is more reasonable to adjust it.
Objective 5.1	ICC services	% of updated declarations of interest of staff submitted	90%	Notwithstanding the legal obligation, due to objective reasons outside Agency's control (i.e. Staff on leave pursuant to MB DEC 182, C(2013)9051 and C(2013)9020 as well as inherent staff turnover), the result of this indicator last year (96%) the target is adapted.
Objective 5.5 Ensuring efficient and effective communication (internal and corporate)	Women in transport	Scholarship set up to be awarded as of 2021	Achieved	Due to COVID19 impact
NEW Objective 3.6 European coordination of safe and secure intra-EU traffic	Steps <ul style="list-style-type: none"> › Establish a platform for sharing information and best practices relating to COVID-19 › Issue non-binding guidelines and position paper during summer 2020, jointly with ECDC and taking account of OSHA advice, together with best practices from EASA and EMSA › Develop an ERA Extranet page, complemented with a functional mailbox › Organise skype meetings and webinars 			<ul style="list-style-type: none"> › To help the coordination among Member States and with EU institutions and agencies in order to ensure: <ul style="list-style-type: none"> ○ a short term response (e.g. business continuity; reducing the risk of infections at transport hubs and on vehicles, including the corresponding set of measures etc.) ○ a medium/long term response (e.g. to the gradual re-opening of borders;

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	<p>Target</p> <ul style="list-style-type: none"> › Short/medium term platform set up by the end of May 2020. › Non-binding Guidance on COVID rail health measures in place (including necessary updating) by no later than September 2020. 			<p>service restoration; varying the set of measures according to the change in the reality of the situation, including the assessment of cost-effectiveness; optimising capacity and traffic management; improving electronic workflows and the data governance around them etc.)</p> <ul style="list-style-type: none"> › To benefit from ERA’s proven track of running effective working groups, expert groups, task forces, involving a wide range of relevant railway stakeholders and being the organizer of the NSA network and NRB. › Ensure mutual acceptance of the measures in place between countries, regions and areas, including between European and third countries › Ensure, to the best extent possible, a consistent approach between transport modes so as to foster seamless transport recovery and restoration of mobility as a service also in crisis periods.