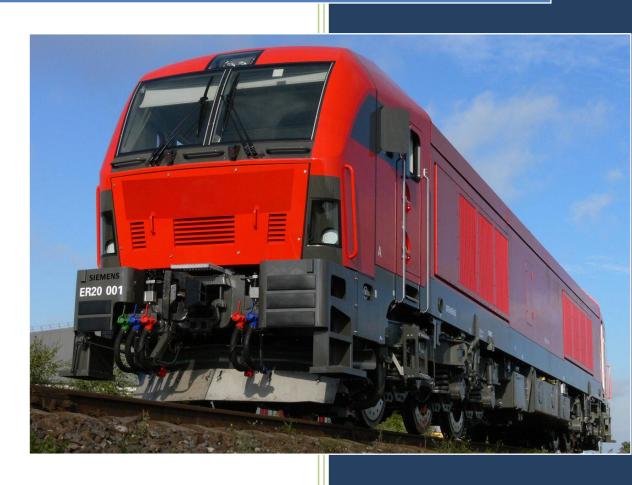
2013

Traffic safety report



Translation Centre 3/23/2015

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A. Introduction

A.1. Objectives and scope of the Annual Railway Traffic Safety Report

Pursuant to the provisions of Article 23 of Directive 2004/49/EC of the European Parliament and of the Council on safety on the Community's railways¹, the national safety institutions of the European Union must draw up annual railway traffic safety reports ('Traffic Safety Report') by 30 September every year.

The objectives of the annual traffic safety report are the following:

- To provide summarised information on the safety condition of the railway system of the Republic of Lithuania in 2013 and to compare it with the data provided in 2007 to 2012;
- 2. To present the trends in the improvement of railway transport traffic safety in 2013;
- 3. To give an overview of the problems encountered by the State Railway Inspectorate under the Ministry of Transport and Communications ('Inspectorate') in ensuring railway transport traffic safety.

The Annual Railway Traffic Safety Report provides the following information:

- Improvement of traffic safety and the improvement of Common Safety Indicators set by the Minister of Transport and Communication of the Republic of Lithuania;
- 2. Changes in legal acts related to traffic safety;
- Improvement of the procedures of the issuance of safety certificates for railway undertakings (carriers) and provision of safety authorisations for railway infrastructure managers;
- 4. Results of traffic safety audits of railway infrastructure managers and railway

- undertakings (carriers) and experience in the area of traffic safety;
- 5. Service of freight wagons used in 1,520mm gauge railway network.

Pursuant to Article 6(18) of the Law of the Republic of Lithuania on Railway Traffic Safety, the Inspectorate publishes the Annual Traffic Safety Report on its website² and provides this Report to the European Railway Agency³ (the 'ERA').

This Report covers information on traffic safety throughout the whole railway network of the Republic of Lithuania.

The Annual Safety Report has been provided for eight consecutive years and is drawn up in accordance with the recommendations provided in the guide for the pattern and use of tables in annual traffic safety reports of National Safety Authorities issued by the ERA.

The Annual Railway Transport Traffic Safety Report has been drawn up on the basis of the statistical data collected and systemised by the Inspectorate and results of the traffic safety reports of railway infrastructure managers and railway undertakings (carriers) for 2013.

A.2. Changes in the structure of the National Safety Authority in 2013

The goals, tasks, and functions of the activities of the Inspectorate as the National Safety Authority are set forth in the regulations of the Inspectorate approved by Order No 3-509 of 27 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the State Railway Inspectorate under the Ministry of Transport and Communications'.

² http://www.vgi.lt/lt/veikla/saugos-ataskaita

¹ Directive 2004/49/EC of the European Parliament and of the Council on safety on the Community's railways (OL L 220, 2004 6 21, p. 16)

³ Regulation (EC) No 881/2004 of the European Parliament and of the Council of 29 April 2004 establishing the European Railway Agency (Agency Regulation)

ADMINISTRACIJA

Viršininkas

Viršininko
pavaduotojas

Vyriausioji
buhalterė

Viršininko
pavaduotojas

Saugos ir
sąveikos skyrius

Administracinių
paslaugų skyrius

Strateginio
planavimo
skyrius

Teisės skyrius

Figure 1: Organisational chart of the State Railway Inspectorate under the Ministry of Transport and Communications

Administracija	Administration
Viršininkas	Manager
Viršininko pavaduotojas	Assistant Manager
Vyriausioji buhalterė	Chief Accountant
Viršininko pavaduotojas	Assistant Manager
Saugos ir sąveikos skyrius	Safety and Interoperability Division
Administracinių paslaugų skyrius	Administrative Services Division
Strateginio planavimo skyrius	Strategic Planning Division
Teisės skyrius	Legal Division

The structure of the Inspectorate was changed in 2013 by Order No 3-442 of 21 August 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the structure of the State Railway Inspectorate under the Ministry of Transport and Communications'. These structural rearrangements were introduced with the purpose of improving administrative services provided by the Inspectorate.

The key change in the Inspectorate's structure was the transfer of all its administrative services to a single division called the Administrative Services Division. This Division takes care of the timely provision of quality services to the customers of the Inspectorate and strives to ensure that all customers are offered 'one-stop shop' services.

The Traffic Safety Control Division has been renamed the Safety and Interoperability Division.

The new name reflects the functions performed by the Division in a more accurate way. This Division is responsible for supervising economic entities, traffic accident prevention, traffic accident investigation, and assurance of safety and interoperability of railway transport in Lithuania.

The Strategic Planning Division is responsible for strategic planning, international collaboration, and communications.

The Legal Division takes care to ensure that all decisions passed by the State Railway Inspectorate are compliant with applicable legal acts and the legal regulation of railway transport activities is clear and transparent.

At the end of 2013, the Inspectorate employed 30 employees.

B. General safety level in 2013

B.1. General conclusions about 2013

The safety materials of the Lithuanian railway transport system for the period of 2013 allow for the conclusion that the traffic safety level improved in 2013 compared to previous years:

- the total number of traffic accidents in 2013 as compared to the number of traffic accidents during in 2012 decreased by 3.2%;
- the total number of major traffic accidents in 2013 as compared to the number of major traffic accidents during in 2012 decreased by 7.69%;
- the number of fatalities in 2013 as compared to the number of fatalities during 2012 went down by 10.53%;
- the number of fatalities in 2013 as compared to the average number of fatalities during 2010-2012 went down by 42.70%.

It must be noted that this positive trend is further corroborated by the National Reference Values (NRV) at the end of 2013:

- The NRV of danger to passengers is 100% less than the permissible NRV established by the European Commission (EC) by Commission Decision 2012/226/EU.
- The NRV of danger to employees is 96% less than the permissible NRV established by Commission Decision 2012/226/EU.
- The NRV of danger to users of railway crossings is 68% less than the permissible NRV established by Commission Decision 2012/226/EU.
- The NRV of danger to persons classified as 'Other' is 100% less than the permissible NRV established by Commission Decision 2012/226/EU.
- The NRV of danger to unauthorised persons is 34% less than the permissible NRV established by Commission Decision 2012/226/EU.

 The NRV of danger to society is 32% less than the permissible NRV established by Commission Decision 2012/226/EU.

Table 1: General 2013 NRV Table after Q4

National Reference Values	Permissible NRV established by 2012/226/EU X 10 ⁹	Annual NRV forecast X 10 ⁹	Change
Passengers	97.20	0.00	-100%
Employees	41.00	1.59	-96%
Crossing users	522.00	167.24	-68%
Unauthoris ed person	2050.00	1343.01	-34%
Other	11.60	0.00	-100%
Society	2590.00	1758.85	-32%

These data corroborate the compliance of the safety level of the Lithuanian railway transport system with the long-term objectives of the European Union (EU).

As mentioned above, the total number of major traffic accidents in Lithuanian in 2013 as compared to the number of major traffic accidents during in 2012 decreased by 7.69%. Specifically, 24 major traffic accidents occurred in Lithuania in 2013. See Appendix D for the list of these accidents.

Detailed information on the condition of traffic safety in Lithuania is provided in Section D of this Report.

B.2. National safety strategies, programmes and initiatives

The Lithuanian government is planning to approve the National Programme for Development of Transport and Communications in 2014-2022 in 2014. The estimated strategic goal of this programme will be the development of a harmonised, environment-friendly, and competitive Lithuanian transport and communication system that would generate high added value.

The key objectives of this programme will be the following:

- Increase freight and passenger mobility by improving main grid corridors of the EU Trans-European transportation grid and their connections to national and local transportation grids, as well as increasing the efficiency of interoperability between different types of transportation.
- 2. Improve competitiveness of the transport sector, improve the quality of transportation and logistics services by applying active transportation policies.
- 3. Facilitate harmonisation of local (urban and suburban) transportation system.
- 4. Improve energy efficiency in transportation and reduce the impact of transportation on the environment.
- 5. Improve safety and security of traffic including railway traffic.

The Inspectorate started developing the Inspectorate Safety Strategy in 2013. This strategy will contain measures for implementing the mission of the Inspectorate, this mission being to assure a secure and interoperable railway transport system.

The strategy is to have three parts, each of which will be aimed at reducing the number of fatalities, injuries, and traffic accidents.

The planned scope of these measures will include, besides community education and active communication activities, the implementation of specific projects.

From the perspective of the existing safety programmes and initiatives, the Inspectorate implemented a 'Creation of Public E-Services for Railway Transport Risk Management and Supervision' project (gervis.vgi.lt) in 2013, within the scope of which the following features have been transposed into electronic domain: railway transport noise control, traffic-related risk management, legal entities business checks, and structure service control.

The Inspectorate has plans to implement multiple projects between 2014 and 2020 aimed at improving the safety level of the Lithuanian railway transport system. The following projects are planned:

- 1. Upgrade and extension of the railway transport risk management and supervision information system and the service of electronic monitoring of public railway infrastructure.
- 2. Transposition of all administrative services provided by the State Railway Inspectorate into the electronic domain, and their upgrade.
- 3. Development of an information system enabling the simulation of a railway transport traffic accident, incident, or serious accident.
- 4. Development of a multipurpose interactive information system for the safe support of railway transport.
- 5. Procurement of mobile technical equipment for railway infrastructure diagnostics.
- 6. Installation of safeguards at railway crossings.

The Inspectorate is also planning to implement a project aimed at determining which public awareness and education measures are the most effective in terms of minimising the number of injuries and fatalities within the railway protection areas across each social group. The Inspectorate intends to implement a publicity programme promoting safe behaviour within the railway

protection areas based on the results of this study.

The Lithuanian railway infrastructure manager (Lietuvos Geležinkeliai AB) has installed video surveillance cameras at high-risk railway crossings, performed internal audits of the traffic safety management system, and prepared plans for the elimination of identified deficiencies, all in 2013. Regular certification programmes and personnel training on traffic safety have also been held.

Lietuvos Geležinkeliai AB has also implemented a Traffic Safety Assurance Programme (Upgrade of Extreme and Critical Railway Situation Management System), including the procurement of new technical equipment to enable faster clearing up following traffic accidents.

B.3. Background of 2013

61 traffic accidents occurred in Lithuania in 2013, including 24 major traffic accidents; 17 people were killed.

In 2013, the Inspectorate issued three Safety Certificates - Part A, three Safety Certificates - Part B, three Safety Authorisations - Part A, and three Safety Authorisations - Part B.

During the course of supervising railway undertakings (carriers) and railway infrastructure managers in 2013, the Inspectorate performed 36 inspections of railway undertakings (carriers) and railway infrastructure managers. In 2013, the Inspectorate, in performing inspections of railway undertakings (carriers) and railway infrastructure managers, detected violations of legal acts regulating traffic safety in 17 cases.

Detailed information on the supervision of railway undertakings (carriers) and railway infrastructure managers carried out by the Inspectorate in 2013 is provided in Section D of this Report.

An evaluation of the activities and skills of the Inspectorate was carried out in 2013. The

following activities and skills of the Inspectorate were evaluated: implementation of priorities set by the government and the Minister of Transport and Communications and the governmental programme; ability to carry out the assigned tasks, organise internal activities, meet the customers' expectations, and perform the assigned functions.

On a 5-point scale, the highest score was awarded to the Inspectorate's collaboration and partnership (5 points) and performance of functions (4.9 points). Lower scores were awarded in the areas of leadership and direction of activities (4.4 point) and management of finances and other resources (4.4- points). The overall score for the activities and skills of the Inspectorate was 4.5 points.

According to the results of a customer satisfaction survey performed at the end of 2013, the majority of companies contacted the Inspectorate regarding the examination service (42.9%); 20.6% customers contacted the Inspectorate regarding issuance of permits, 17.5% regarding safety certification, 17.5% regarding consulting and methodology support, and 36.5% regarding other services. The respondents who specified other services mostly required certificate issuance/registration and rolling stock registration services.

This survey also showed that all activities by the Inspectorate were evaluated as very good or excellent. In total, six activities received more than 9.5 point (i.e. 'excellent') and seven received 9.0 to 9.5 point (i.e. 'very good').

34.9% of customer companies stated that the quality of the services provided by the Inspectorate was improving and 25.4% stated that it had remained the same. It should be noted that no respondents stated that the quality of the services was worse.

B.4. Next Year's Priorities

The Inspectorate, seeking to implement the functions commissioned to it, envisages the following for 2014:

- To ensure that the reference values for the Republic of Lithuania for 2014 established by Commission Decision No 2012/226/EU⁴ are not exceeded.
- To perform a control of the market supply of the interoperability constituents of the rail system and ensure that only interoperability constituents compliant with the core requirements are used in the implementation of the Rail Baltica project.
- To implement the following additional eservices in the course of the project 'Creation of Public E-Services for Railway Transport Risk Management and Supervision', financed by the EU:
 - E-service for the rail system change risk management, and
 - Service for electronic monitoring of the infrastructure of the railway system of the Republic of Lithuania.
- To implement typical safety management systems in collaboration between the State Railway Inspectorate and infrastructure managers to improve the safety of railway undertakings and reduce the number of traffic accidents in the area of railway transport.
- To implement a declaration model in order to speed up the provision of administrative services and simplify procedures.
- To perform an internal evaluation of the Inspectorate according to cross-audit procedures prepared by the European Railway Agency and harmonised with the national safety authorities.

⁴ Commission Decision 2012/226/EU on the second set of common safety targets as regards the rail system (<u>OL L 115, 2012 p. 27</u>)

C. Development of Safety

C.1. Trend Analysis

61 traffic accidents including 24 major traffic accidents occurred in 2013: train collisions - 0; train derailments - 0; level-crossing accidents - 4; traffic accidents involving unauthorised persons - 20; fires in rolling stock - 0; other traffic accidents - 0. Furthermore, five incidents were recorded in 2013.

activities). The most frequent violations remain the same: crossing of railways in places not intended for that purpose, overtaking of other vehicles stopping at a crossing to allow the passing of a train, entering a crossing despite a restrictive traffic light signal, when the attendant at the crossing indicates not to cross, when the barrier is down or has started to be lowered, unauthorised lifting of the barrier or passing around it, entering a crossing when the thoroughfare beyond the

Table 2: Statistical data on accidents that occurred in 2013, financial costs of the accidents and the number of working hours spent

Sno	lents		jor traffic ccidents	Other traffic accidents	ents	Numbe	er of injuries	ber of ities	Cost/losses (in Euro) and working time spent on
Serious	accide	Total	In train kilometres	Other	Incidents	Total	In train kilometres	Number of	traffic accidents
	0	24	1.72×10 ⁻⁶	37	5	8	0.57×10 ⁻⁶	17	€ 283 870.12 93 hours and 33 minutes

The number of major traffic accidents decreased between 2007 and 2012. This trend continued in 2013. The number of traffic accidents in 2013 decreased by 7.6% as compared to the previous year. Train collisions have been avoided for four consecutive years. The number of train derailments causing significant losses is equal to zero for a third consecutive year. The number of level-crossing accidents has seen a downward trend since 2008. In 2013, the number did not change as compared with 2012 and decreased by nearly 43.4% as compared with the average value of the previous three years.

The number of accidents involving unauthorised persons has seen a downward trend since 2010. This decrease was minimal (by one accident, or 4.5%) in 2013 as compared to 2012. Fires causing severe losses or personal injury have been avoided for four consecutive years.

The most frequent cause of traffic accidents in 2013, as in previous years, is unlawful acts by users of crossings and unauthorised persons (i.e. persons not involved in railway transport

crossing is obstructed by an obstacle that forces the driver to stop at the crossing, passage through a crossing by agricultural, road, construction, and other machinery not specially designed for transporting, failure to stop at a 'stop' sign when it is installed before a railway crossing, as well as the unauthorised presence of persons in restricted areas of protected zones of railway tracks and facilities thereof. Other traffic accidents occur as a result of shortcomings in the condition of railway infrastructure or rolling stock and traffic organisation and management. The most common traffic accident risk indicators are failure to observe a restrictive signal and breakage of rails, although the latter did not occur in 2013.

Since 2008, the number of fatalities in railway traffic accidents has been decreasing. 2013 was not an exception. Changes in the number of fatalities in level-crossing traffic accidents do not display any clear trends, but it is possible to observe a decrease in fatalities since 2010 as compared with the period from 2006 to 2009. The number of fatalities among unauthorised persons, as with the total number of fatalities, had been

decreasing since 2008. However, the number of fatalities was the same in 2013 as in 2012, The number of injuries has decreased and was lower by 27.3% in 2012 than in 2011, but it remained the same in 2013 as in 2012. The number of persons injured in level-crossing traffic accidents decreased consistently during the period from 2006 to 2010 and stabilised at a level of two injuries per year from 2011 onwards. The number of injuries at crossings also remained unchanged in 2013. The number of injuries caused to

unauthorised persons had been changing without clear trends; however, it is possible to notice a lower average number of injuries when comparing the periods from 2006 to 2008 and from 2009 to 2013. In 2013, the number of injuries increased by one as compared with 2012.

C.2. Results of traffic safety measures (recommendations)

The Inspectorate received no safety recommendations from the Head of Accident

Table 3: Key safety measures for improvement of safety performance and their implementation by the Inspectorate

Characteristic of the traffic safety recommendation	Characteristic of the traffic safety measure	Implementation status
Install lighting at the crossing of Kaunas Railway Station Aleksotas railway and Lakūnų Road pursuant to the provisions of point 65 and 66 of the Rules for Equipping and Using Crossings approved by Order No 3-36 of 27 January 2005 of the Minister of Transport and Communications of the Republic of Lithuania.	Install lighting. Until lighting is installed STOP signs are to be installed to ensure safety.	In progress
Lietuvos Geležinkeliai AB shall prepare training material about the traffic accident that has occurred and organise a training course for speed controllers of wagon traffic at the station. The scope of this training shall include examination of the circumstances of the traffic accident and an additional briefing of speed controllers of wagon traffic in compliance with provisions of point 2 of Annex 2 to the Rules of Railway Traffic approved by Order No 452 of 30 December 1999 of the Minister of Transport and Communications of the Republic of Lithuania.	Organise an additional training course for speed controllers of wagon traffic, familiarise them with the circumstances of the traffic accident that took place.	Complete
Prepare a project for amendment of the Rules of Railway Traffic (RRT) approved by Order No 452 of 30 December 1999 of the Minister of Transport and Communications of the Republic of Lithuania: 1. Amend E-21 Train Traffic Certificate Form specified in Annex 5A to RRT by including the requirement that the person being issued the certificate and their phone number must be specified in the certificate. 2. Add the following provision to the RRT: 'Should any changes occur in the traffic status specified in the E-21 certificate form, the station watcher shall notify the person issued with the certificate about such changes'.	Amend legal acts regulating traffic safety.	In progress
Prepare a note to Kauno Geležinkelių Infrastruktūra, a subsidiary of Lietuvos Geležinkeliai AB, regarding the construction of a pedestrian walkway at the railway crossing between Kėdainiai and Šilainiai stations at 126+085km in compliance with the provisions of point 49 of the Rules for Equipping and Using Crossings approved by Order No 3-36 of 27 January 2005 of the Minister of Transport and Communications of the Republic of Lithuania, which states that pedestrian walkways must be constructed by the decision of the municipality and the owner/manager of public railway infrastructure at all railway crossings that are located in settlements and have a pavement along them, regardless of the number of pedestrians crossing this railway crossing.	Construct a pedestrian walkway	Complete
Require Lietuvos Geležinkeliai AB to determine if the parameters (lengths of platforms) of railway infrastructure located in the Republic of Lithuania match the lengths of trains operated on railway lines.	Lietuvos Geležinkeliai AB has evaluated platform lengths along the routes of passenger trains and determined that three platforms are shorter than the passenger trains. To ensure the safety of passengers, passengers' exit from the train is enabled only through doors located within the boundaries of the platform.	Complete

Investigation in 2013. In 2013, the Inspectorate provided five key traffic safety measures (recommendations) aimed at improving future safety performance.

C.3. Measures not related to traffic safety recommendations

The Inspectorate did not submit measures unrelated to traffic safety recommendations in 2013.

D. Supervision of Railway Undertakings and Railway Infrastructure Managers

D.1. Strategy and plans

The supervision priorities and target activities of railway undertakings (carriers) and railway infrastructure managers are determined by:

- 1. Legal acts of the Republic of Lithuania. Types of scheduled inspections to be performed by the Inspectorate as specified in Order No V-604 of 27 December 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for carrying out routine and non-routine inspections by the State Railway Inspectorate under the Ministry of Transport and Communications'. Inspection intervals are specified in the legal acts regulating activities performed by legal entities.
- 2. The existing information on activities performed by legal entities that might violate the legal provisions and therefore pose risks to the public.

The strategy and inspection schedules for supervising legal entities shall be developed:

- In compliance with the Lithuanian legal acts specifying the intervals for inspection of activities by legal entities.
- Acting upon a request by a legal entity to perform activity inspection/provide consultation.
- With the purpose of determining whether the legal entity complies with the requirements specified during previous inspections by the Inspectorate.
- Acting upon personal notifications or complaints about violations committed by legal entities.
- Following up data on traffic incidents (investigations of conclusions, recommendations, report results).
- According to information collected during the evaluation of railway transport traffic safety management systems operated by railway

undertakings (carriers) and railway infrastructure managers.

• Acting upon reports submitted to the Inspectorate by the legal entity.

The inspection schedule can be changed in the following cases:

- Changes in the legal status of a legal entity or area(s) of activities thereof.
- Changes in the provisions of legal acts governing the performance of inspections.

Based on experience in supervision activities, the Inspectorate carries out monthly reviews of the inspection plan to ensure the suitability of the target activities determined, use of data and/or information from various sources, supervision results and resource allocation, and changes priorities as required.

D.2. Human Resources

Two types of inspections were carried out in 2013:

- 1. Inspections of a dangerous object.
- 2. Inspections of the operational maintenance of structures.

Inspection in details: an inspection of a dangerous object (including preparation of the inspection protocol) takes about five hours. In total, about 70 hours was spent on inspections of dangerous objects in 2013. About 160 hours was spent on inspections of operational maintenance of structures in 2013. In total, about 230 hours was spent on inspections in 2013.

Five employees of the Inspectorate were involved in inspections in 2013. Each employee spent an average of 46 hours on inspections.

There were 251 working days (2008 working hours) in Lithuania in 2013. Each employee spent on average 2.3% of their working time on inspections/audits.

D.3. Competences

Each employee must have the required competences before carrying out activities regarding the supervision of legal entities. This competence shall be set out in the job description of each employee of the Inspectorate and tested upon hiring the employee and during annual evaluations.

Each inspection shall be performed by two employees. The more experienced employee shall be assigned the manager of the inspection. The less experienced employee shall learn from the manager of the inspection by performing the tasks assigned to them and observing the actions of the manger of the inspection.

Before starting the inspection, the employees must be familiar with the Rules for Inspections, legal acts regulating the activities of the legal entity being inspected, and be aware of the rights and duties of legal entities.

Before starting the inspection, the employee shall identify the purpose thereof and determine which documents are to be inspected.

D.4. Decision-making

Legal compliance is monitored and assured by inspecting legal entities and providing them with consultations. If a violation is identified the Inspectorate evaluates its scale, potential effect on society and other circumstances of the violation and makes a decision regarding the measures to be applied.

The common practice is to resort to consulting as much as possible and help the legal entities understand the legal requirements and follow them. If violations are identified, the Inspectorate orders the legal entity to prepare and implement a plan for correcting the legal violations identified. The goal is a collaboration, based on goodwill,

with the undertakings and to minimise any penalties imposed on the legal entities.

It is worth noting that the Inspectorate has received no comments or complaints from railway undertakings (carriers) and railway infrastructure managers regarding the inspections performed and decisions made by the Inspectorate in 2013.

D.5. Collaboration

Within the scope of implementing the provisions of Article 8 of Commission Regulation (EU) 1077/2012 on a common safety method for supervision by national safety authorities after issuing a safety certificate or safety authorisation, the Inspectorate plans to conclude collaboration agreements with Polish and Latvian NSAs in 2014-2015.

It is envisaged that such agreements shall be prepared according to the ERA guide in applying Article 8 of Commission Regulation (EU) 1077/2012 on a common safety method for supervision by national safety authorities after issuing a safety certificate or safety authorisation⁵.

D.6. Implementation of measures

The Inspectorate supervises the implementation of measures put forward for traffic safety. All measures for traffic safety that have been put forward in 2013 are now implemented or being implemented.

⁵ A guide for national safety authorities in applying Article 8 of Commission Regulation on a common safety method for supervision by national safety authorities after issuing a safety certificate or safety authorisation.

E. Issuance of safety certificates and safety authorisation in the area of railway transport traffic safety

E.1. Information on the procedure of issuance of safety certificates and safety authorisation in the area of railway transport traffic safety

Safety certificates and safety authorisation in the area of railway transport traffic ('authorisation') are issued under the procedure established by the Rules for the Certification of Safety Certification of Railway Undertakings (Carriers) and Railway Infrastructure Managers approved by Order No 3-37 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania. Interested parties can find the legal acts regulating the issuance of safety certificates and authorisation in the database of the Seimas (Parliament) of the Republic of Lithuania⁶ and the Inspectorate's website⁷. The information on the issuance of safety certificates and safety authorisation in the area of railway transport traffic safety (legal grounds, guides, application forms, etc.) is published on the website⁸. The list all Inspectorate's undertakings issued with safety certificates and/or safety authorisation is also published there.

E.2. Communication with foreign national safety authorities regarding issuance of safety certificates

 In 2013, there were no queries from foreign NSAs regarding information on Safety Certificates
 Part A issued in Lithuania when a railway undertaking (carrier) applies for the issuance of Safety Certificates - Part B in another country.

2. In 2013, the Inspectorate submitted no queries to foreign NSAs regarding information on Safety Certificates - Part A issued in another country when a railway undertaking (carrier) applies for the issuance of Safety Certificates - Part B in Lithuania.

E.3. Issues related to procedural aspects of issuance of safety certificates or safety authorisation

In 2013, there were no issues related to issuance of safety certificates or safety authorisation.

E.4. Feedback

At the end of 2013, an Inspectorate consumer satisfaction survey was conducted, during which railway undertakings (carriers) could present their observations and complaints regarding the services provided by the Inspectorate.

This survey showed that 95% of those surveyed were satisfied with the services provided by the Inspectorate and no complaints regarding the quality of services provided were received. Railway undertakings (carriers) have the right to present complaints regarding activities carried out by the Inspectorate at any time.

The Inspectorate received no complaints in 2013 concerning improperly rendered services.

⁶ http://www3.lrs.lt/dokpaieska/forma l.htm

⁷ http://www.vgi.lt/lt/teisine-informacija/teises-aktai

⁸ http://www.vgi.lt/lt/paslaugos/sertifikavimas

F. Changes in traffic safety regulating legislation introduced in 2013

F.1. Railway Safety Directive

In 2013, there were 33 legal acts in force in Lithuania transposing the provisions of Directive 2004/49/EC of the European Parliament and of the Council on safety on the Community's railways (Railway Safety Directive) into the national legal system.

See Appendix C for the complete list of these legal acts.

See Table 1, Appendix B for information related to the transposition of amendments to the Railway Safety Directive into the national legal system at the end of 2013.

F.2. Changes in legislation introduced in 2013

Essential changes in legal acts and details thereof (the name of the legal acts, adoption date, contents of the adoption or amendment of legal acts, and reasons for the adoption or amendment of legal acts) are set forth in Table 2, Appendix B.

G. Application of a common safety method (CSM) on risk evaluation and assessment

G.1. NSAs' experience

The common safety method on risk evaluation and assessment is applied in accordance with the requirements established in Commission Regulation (EC) No 352/2009 of 24 April 2009 on the adoption of a common safety method on risk evaluation and assessment as referred to in Article 6(3)(a) of Directive 2004/49/EC of the European Parliament and of the Council ('Regulation 352/2009'). Regulation 352/2009 in respect of Article 10(2) has been applied in full since 1 July 2012; however, by that date, it had been applied to:

- 1. All important technical changes influencing transport vehicles as defined in Article 2(c) of Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (the 'Directive').
- 2. All important changes of structural subsystems required in accordance with Article 15(1) of the Directive or in accordance with the Technical Specifications for Interoperability (TSI).

Pursuant to Article 6(3)and (4)of Regulation 352/2009, in 2013 the Inspectorate participated as an independent assessment body in the processes aimed at management of risks related to railway system changes, these being carried out by railway processes undertakings (carriers) and railway infrastructure managers. By analysing the results of processes aimed at management of risks related to railway system changes the Inspectorate determines their compliance with the requirements set out in Regulation 352/2009 and issues Evaluation Report. In this railway system change safety evaluation report the Inspectorate provides information on the change performed on the railway system, the entity implementing the change, implementation of stages of the risk management process, risk acceptability level, related proof, and the conclusion regarding compliance of the implementation of the risk management process with the requirements set out in Regulation 352/2009.

In 2013, the Inspectorate participated as an independent evaluating organisation only in technical processes aimed at the management of risks related to railway system changes. The railway system changes were related to:

- 1. Construction of new railway infrastructure.
- 2. Renovation of existing railway infrastructure.
- 3. Implementation of specific engineering solutions.

Railway undertakings (carriers) and railway infrastructure managers ('Applicants'), following the provisions of Article 4(1) of Regulation 352/2009, performed a preliminary evaluation to determine if the change would affect the safety of the railway system. If it was determined that the change would affect the safety of the railway system, the Applicants substantiated the solution and provided the proof thereof.

In the following stage the Applicants, following the criteria set out in Article 4(2) of Regulation 352/2009, determined if the change affecting the safety of the railway system should be considered significant.

To determine whether the change should be considered significant, the Applicants usually employed the following criteria:

- 1. Consequences of a fault in the railway system change.
- 2. Innovation of the railway system change.
- 3. Complexity level of the railway system change.

The Applicants set no criteria for establishing the complexity level of the change other than those set out in Article 4(2) of Regulation 352/2009.

According to the decisions made by the Applicants regarding the significance level of the change, the following stages were then performed:

- 1. If a decision is made that the change in the railway system is not significant then the State Railway Inspectorate familiarises itself with the decision while evaluating the documentation evidencing the decision.
- 2. If a decision is made that the change in the railway system is significant then a risk management process is started according to the requirements set out in Appendix I to Regulation 352/2009. Pursuant to Article 6 of Regulation 352/2009, the State Railway Inspectorate carries out an independent process evaluation and issues a safety evaluation report.

In 2013, there was no separate independent operating assessment body which would comply with Appendix II to Regulation 352/2009 and issue safety assessment reports.

G.2. Communication and feedback

In order to speed up the implementation of Regulation 352/2009 in this country, Inspectorate organised a training seminar in 2013 on the application of a common safety method (CSM) on risk evaluation and assessment. The trainers were representatives from the European Railway Agency (ERA) and the participants were representatives from the largest railway undertakings (carriers) and infrastructure managers, business enterprises, and the Latvian NSA.

During the seminar, the ERA representatives familiarised the participants with the potential for CSM application, the course of change risk management process, and answered all questions put by the participants.

A survey was carried out during the seminar regarding the way in which information was presented and its contents. The analysis of responses led to the following conclusion: the

information that was presented was useful; the introduced risk management process is too complex for a new user, there is a lack of examples from good practice of application of Regulation 352/2009 that could be reviewed and analysed.

The Inspectorate, acting as an independent evaluation institution, organised working meetings with the applicants. During these meetings, questions were answered and the stages of the process aimed at management of risks related to railway system changes were explained. During joint working meetings with representatives of the Inspectorate, the applicants could present their experiences and results in applying the risk management process. In this way, the Inspectorate evaluated their working results.

G.3. Review of national rules

The Inspectorate started the development of describing the procedure for an independent evaluation of the risk management process in 2013. The purpose of this document is to establish the procedure for an entire independent evaluation performed by the Inspectorate. The National Safety Rules on Regulation 352/2009 were not amended.

H. Alternative measures in certifying entities in charge of maintenance

Lithuania, with regard to the fact that a large proportion of the railway infrastructure in the Republic of Lithuania is 1,520mm gauge, has adopted the exception provided for in Article 14a(8)(b) of Directive 2008/110/EC.

Pursuant to Paragraph 2 of the Rules for the Certification of Entities in Charge of Technical Maintenance approved by Order No 3-431 of 11 July 2011 of the Minister of Transport and Communications of the Republic of Lithuania, technical maintenance entities in charge of the technical maintenance of freight wagons used within the 1,435mm gauge railway network shall be certified in accordance with Commission Regulation (EU) No 445/2011 of 10 May 2011 on a system of certification of entities in charge of maintenance for freight wagons and amending Regulation (EU) No 653/2007 (OJ 2011 L 122, p. 22)

Technical maintenance entities in charge of freight wagons used within the 1,520mm gauge railway network (the `technical maintenance entity') shall be certified in accordance with the aforementioned rules.

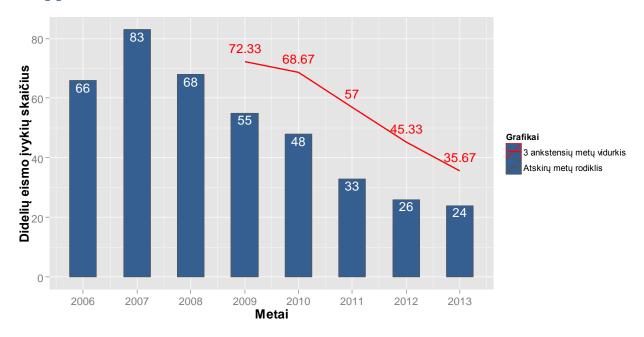
If a freight wagon's technical maintenance entity is a railway infrastructure manager or railway undertaking (carrier), the compliance of freight wagons with the established requirements shall be inspected by the national safety authority, which issues Safety Authorisations and Safety Certificates to railway undertakings (carriers). Railway rolling stock managers may obtain a technical maintenance entity certificate when registering rolling stock in the Register of Railway Rolling Stock of the Republic of Lithuania.

Technical maintenance entities willing to obtain a technical maintenance entity certificate shall establish a freight wagon technical maintenance system which should include technical maintenance organisational measures, assurance measures, control measures and technical maintenance performance measures. This certification system ensures that the entity in charge of maintenance has created its own technical maintenance system and is able to adhere to the requirements established in legal acts to ensure safe operating conditions of freight wagons, for the technical maintenance of which it is responsible.

By December 31 2013, two 1,520mm gauge freight wagon technical maintenance entities, Lietuvos Geležinkeliai AB (technical maintenance entity certificate issued on November 16 2011) and Skinest Baltija UAB (technical maintenance entity certificate issued on March 7 2013), were certified.

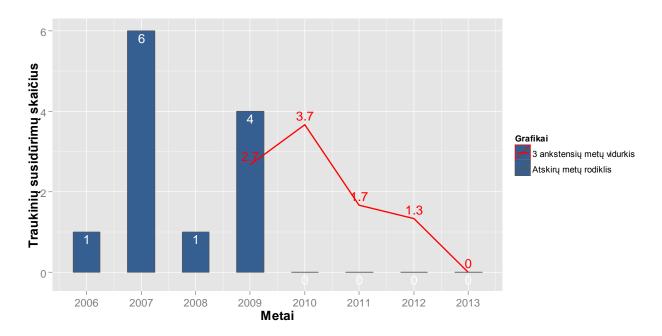


A. Appendix A.



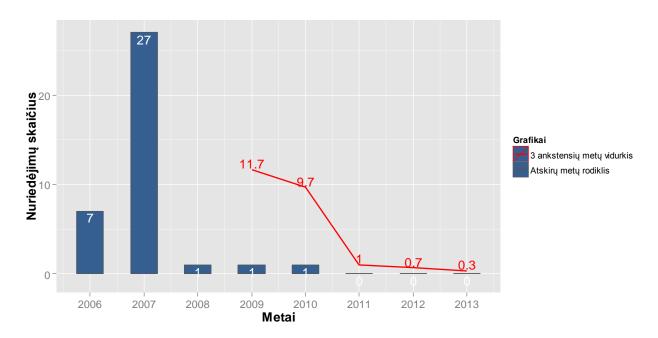
Didelių eismo įvykių skaičius	Number of major traffic accidents
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 1: Number of major traffic accidents from 2006 to 2013



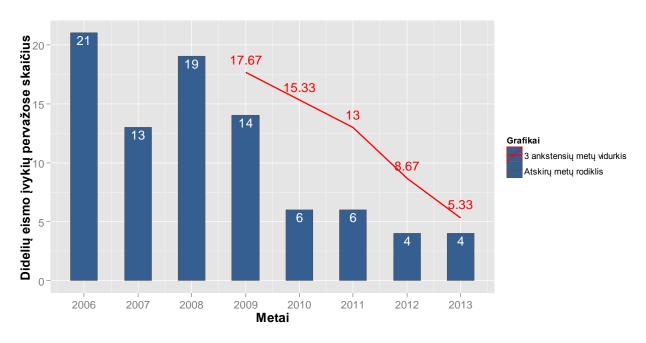
Traukinių susidūrimų skaičius	Number of train collisions
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 2: Number of train collisions from 2006 to 2013



Nuriedėjimų skaičius	Number of derailments
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 3: Number of derailments from 2006 to 2013



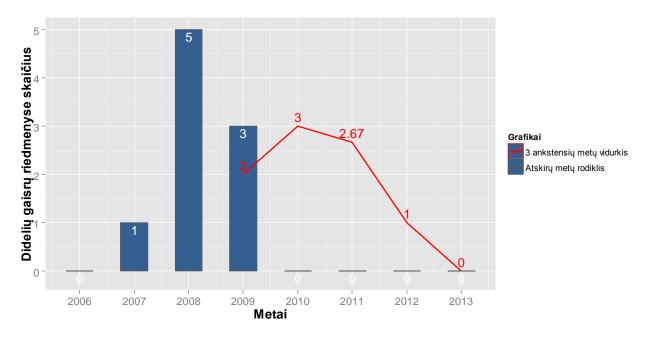
Didelių eismo įvykių pervažose skaičius	Number of major traffic accidents on level-crossings
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Didelių eismo įvykių dėl pašalinių asmenų skaičiu 38.67 38.33 33.67 Grafikai 3 ankstensių metų vidurkis Atskirų metų rodiklis Metai

Figure 4: Number of major traffic accidents on level-crossings from 2006 to 2013

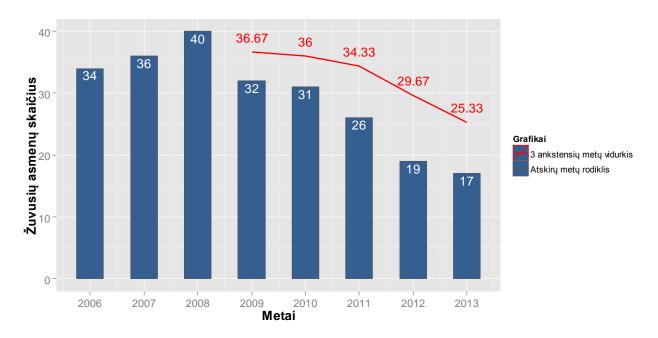
Didelių eismo įvykių pervažose skaičius	Number of major traffic accidents on level-crossings
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 5: Number of major traffic accidents caused by unauthorised persons from 2006 to 2013



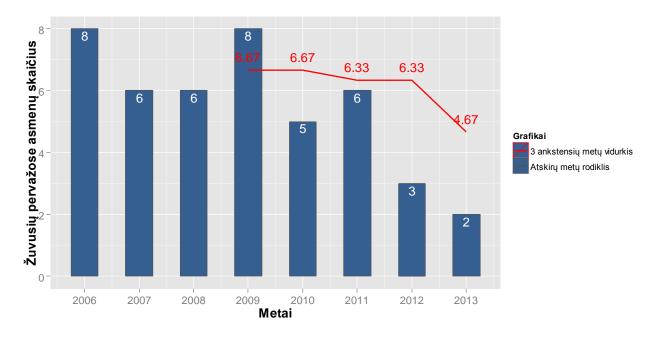
Didelių gaisrų riedmenyse skaičius	Number of major fires in rolling stock
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 6: Number of major fires from 2006 to 2013



Žuvusių asmenų skaičius	Number of fatalities
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

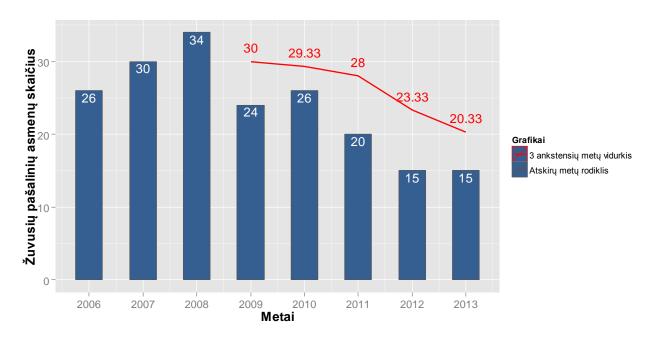
Figure 7: Number of Fatalities from 2006 to 2013



Žuvusių pervažose asmenų skaičius	Number of fatalities in level-crossing traffic accidents
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years

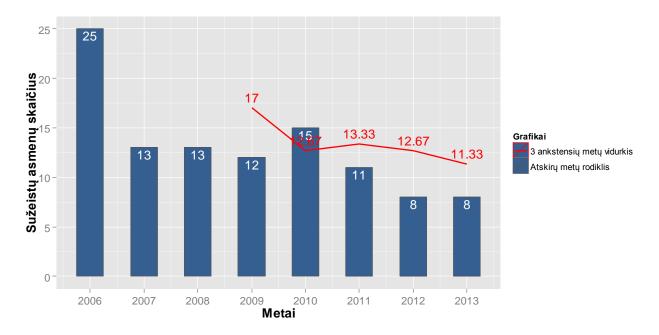
Metai	Year
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Figure 8: Number of fatalities in level-crossing traffic accidents from 2006 to 2013



Žuvusių pašalinių asmenų skaičius	Number of fatalities among unauthorised persons
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

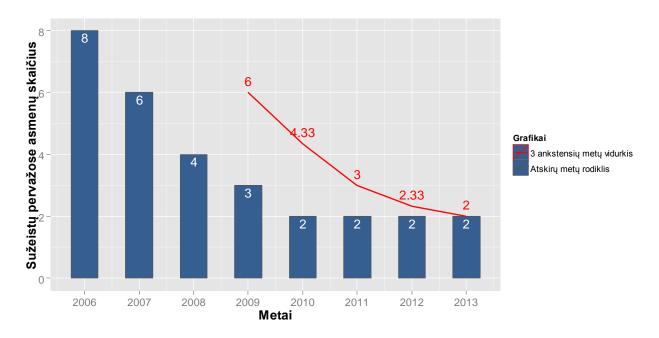
Figure 9: Number of fatalities among unauthorised persons from 2006 to 2013



Sužeistų asmenų skaičius	Number of injuries
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years

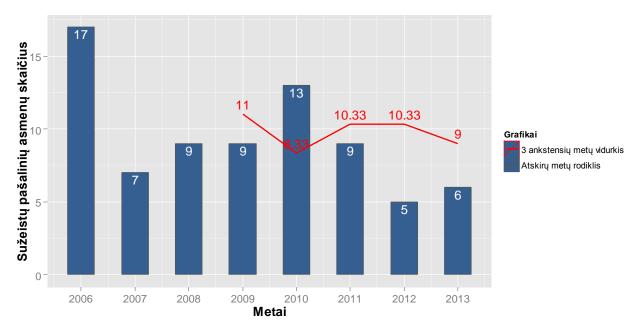
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 10: Number of injuries from 2006 to 2013



Sužeistų pervažose asmenų skaičius	Number of injuries in level-crossing traffic accidents
Grafikai	Legend
3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

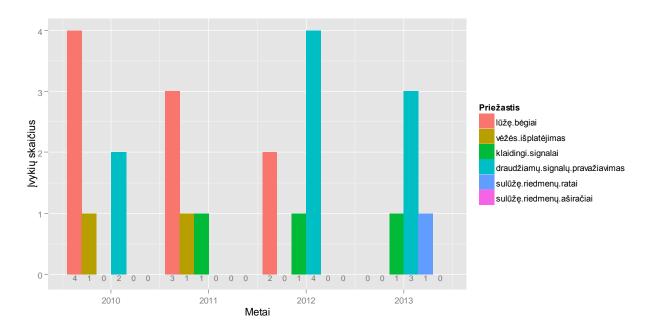
Figure 11: Number of injuries in level-crossing traffic accidents from 2006 to 2013



Sužeistų pašalinių asmenų skaičius	Number of injuries to unauthorised persons
Grafikai	Legend

3 ankstesnių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Metai	Year

Figure 12: Number of injuries to unauthorised persons from 2006 to 2013



Įvykių skaičius	Number of accidents
Priežastis	Cause
lūžę. bėgiai	Broken track
vėžės.išplatėjimas	Widening of gauge
klaidingi.signalai	Wrong signals
draudžiamų.signalų prawiavimas	Ignoring restrictive signals
sulūžę. riedmenų. Ratai	Broken wheels on rolling stock
sulūžę. riedmenų.aširačiai	Broken wheel pairs on rolling stock

Figure 13: Other traffic accidents from 2010 to 2013

B. Appendix B.

•	into national law of	amendments to the Railway Safety Directive at the end of 2013	
Amendments to Railway Safety Directive	Transposed (Y/N)	Legal reference	Date of entry into force
		Law No XI-642 amending the Law on Railway Traffic Safety of the Republic of Lithuania	30-01-2010
		Law amending and supplementing Articles 2, 5, 6, 9, 12 and 22 and Annex of the Law on Railway Traffic Safety of the Republic of Lithuania	03-05-2011
		Law amending and supplementing Articles 3, 7, 10, 11, 13, 16, 21, 22, 23, 24, 25, 26, 28 and 31, repealing Articles 6, 30 and 30 ¹ and including Articles 10 ¹ and 23 ¹ of the Code of Railway Transport of the Republic of Lithuania	01-07-2013
		Law on Administrative Proceedings of the Republic of Lithuania	14-01-1999
		Law on Standardisation of the Republic of Lithuania	28-04-2000
Directive 2008/57/EC Yes	Resolution No 1468 of 22 November 2004 of the Government of the Republic of Lithuania 'On the establishment of a Register of Rolling Stock and Containers of the Republic of Lithuania, approval of its regulations and launching of its operations'	26-11-2004	
	Resolution No 743 of 16 June 2010 of the Government of the Republic of Lithuania 'On the amendment of Resolution No 1468 of 22 November 2004 of the Government of the Republic of Lithuania 'On the establishment of a Register of Rolling Stock and Containers of the Republic of Lithuania, approval of its regulations and launching of its operations'	23-06-2010	
		Resolution No 674 of 4 July 2006 of the Government of the Republic of Lithuania 'On the approval of rules for designation and notification of testing laboratories, certification and inspection bodies'	15-07-2006
		Order No 3-382 of 4 October 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of regulations for the Register of Railway Infrastructure of the Republic of Lithuania'	13-10-2006
	Order No 3-437 of 13 July 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the procedure for drafting and approving of technical rules for railway subsystems'	18-07-2010	
		Order No 3-467 of 3 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-509 of 27 December 2006 of the Minister of	08-09-2013

	1	Transport and Communications (City Dec. 11)	
		Transport and Communications of the Republic of	
		Lithuania 'On the approval of regulations for the	
		State Railway Inspectorate under the Ministry of	
		Transport and Communications'	
		Order No 3-659 of 31 December 2013 of the	
		Minister of Transport and Communications of the	
	Republic of Lithuania 'On the amendment of Order		
		No 3-586 of 23 December 2004 of the Minister of	04 04 004 4
		Transport and Communications of the Republic of	01-01-2014
		Lithuania 'On the approval of rules for the	
		establishment and application of requirements for	
		the interoperability of the trans-European rail	
		system'	
		Order No 3-658 of 31 December 2013 of the	
		Minister of Transport and Communications of the	
		Republic of Lithuania 'On the amendment of Order	
		No 3-507 of 22 December 2006 of the Minister of	04 04 004 4
		Transport and Communications of the Republic of	01-01-2014
		Lithuania 'On the approval of rules for the issue of	
		authorisations for using the structural subsystems	
		and rolling stock of the trans-European rail system	
		in the Republic of Lithuania'	
		Order No 3-660 of 31 December 2013 of the	
		Minister of Transport and Communications of the	
		Republic of Lithuania 'On the approval of rules for	01-01-2014
		supplying the market with the	
		interoperability constituents of the railway system'	
		('Order No 3-660') Law No XI-642 amending the Law on Railway Traffic	
		Safety of the Republic of Lithuania	30-01-2010
		Law No XI-1807 on Management of State	
		Information Resources	01-01-2012
		Regulations for the Register of Rolling Stock and	
		Containers of the Republic of Lithuania, approved	
		by Resolution No 1468 of 22 November 2004 of the	26-11-2004
		Government of the Republic of Lithuania	
		Resolution No 743 of 16 June 2010 of the	
		Government of the Republic of Lithuania 'On the	
		amendment of the Republic of Lithdama on the	
Directive	V	22 November 2004 of the Government of the	
2008/110/EC Yes	Yes	Republic of Lithuania 'On the establishment of	23-06-2010
		the Register of Rolling Stock and Containers of the	
		Republic of Lithuania, approval of its regulations	
		and launching of its operations"	
		Law No XI-1334 amending and supplementing	
		Articles 2, 5, 6, 9, 12 and 22 and Annex of the Law	
		on Railway Traffic Safety of the Republic of	01-05-2011
		Lithuania	
		Law No X-653 amending and supplementing	
		Article 4 of the Law on the Approval, Entry Into	20.00.2000
			28-06-2006
1		Force and Application of the Code of Railway	

Transport of the Depublic of Lithuagis are andige	
Transport of the Republic of Lithuania, amending	
and supplementing Articles 3, 4, 6, 7, 10, 11, 12, 13,	
16, 19, 28, 30 and 48 and the Annex and including	
Article 30 ⁽¹⁾ of the Code of Railway Transport of the	
Republic of Lithuania	
Law No XI-1595 amending and supplementing	
Articles 1, 3, 4, 7, 11, 12, 13, 16, 23, 24, 25, 29 and 33 and the Annex, including Articles 4 ¹ , 25 ¹ and 33 ¹ , 0	08-10-2011
and repealing Articles 8 and 27 of the Code of	76-10-2011
Railway Transport of the Republic of Lithuania	
Law No XII-235 amending and supplementing	
Articles 3, 7, 10, 11, 13, 22, 23, 24, 25, 26, 28 and	
	1-07-2013
Articles 10 ¹ and 23 ¹ of the Code of Railway	0, 2013
Transport of the Republic of Lithuania	
Order No 3-444 of 22 July 2011 of the Minister of	
Transport and Communications of the Republic of	
Lithuania 'On the amendment of Order No 3-37 of	
23 January 2003 of the Minister of Transport and	00 07 2011
Communications of the Republic of Lithuania 'On	9-07-2011
the approval of rules for safety certification of	
railway undertakings (carriers) and railway	
infrastructure managers'	
Order No 3-421 of 11 June 2012 of the Minister of	
Transport and Communications of the Republic of	
Lithuania 'On the amendment of Order 3-37 of	
23 January 2003 of the Minister of Transport and	20-06-2012
Communications of the Republic of Lithuania 'On	
the approval of rules for safety certification of	
railway undertakings (carriers) and railway	
infrastructure managers'	
Order No 3-514 of 1 October 2013 of the Minister	
of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-37	
of 23 January 2003 of the Minister of Transport and	
Communications of the Republic of Lithuania 'On	.1-10-2013
the approval of rules for safety certification of	
railway undertakings (carriers) and railway	
infrastructure managers'	
Order No 3-431 of 19 July 2011 of the Minister of	
Transport and Communications of the Republic of	
Lithuania 'On the approval of safety certification	21-12-2013
rules of technical maintenance entities'	
Order No 3-634 of 13 December 2013 of the	
Minister of Transport and Communications of the	
Republic of Lithuania 'On the amendment of Order	
	21-12-2013
Transport and Communications of the Republic of	
Lithuania 'On the approval of safety certification	
rules of technical maintenance entities'	

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		Order No 3-359 of 13 June 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the establishment of common traffic safety indicators'	19-06-2011
		Order No V-441 of 20 July 2011 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the evaluation of applications of technical maintenance entities seeking to obtain a certificate of a technical maintenance entity responsible for freight wagons used in the 1,520mm gauge railway network'	24-07-2011
		Order No 3-472 of 9 August 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-398 of 29 July 2004 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of attestation rules of undertakings engaged in the maintenance and repairs of rolling stock'	13-08-2011
		Order No 3-508 of 30 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-398 of 29 July 2004 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of attestation rules of persons engaged in the technical maintenance of rolling stock'	11-10-2013
		Law No XI-642 amending the Law on Railway Traffic	03-05-2011
		Safety of the Republic of Lithuania Order No 3-424 of 7 July 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the establishment of common traffic safety indicators'	15-07-2010
Directive 2009/149/EC Yes	Order No 3-483 of 9 August 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the establishment of common traffic safety indicators'	13-08-2010	
		Order No 3-359 of 13 June 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and	19-06-2011

Communications of the Republic of Lithuania 'On the establishment of common traffic safety indicators'	
Order No 3-685 of 23 October 2012 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the establishment of common traffic safety indicators'	28-10-2012

Legislation	n traffic safety regulating legislation introdu Reference to legal act	Date of entry	Changes	Reason for changes
Legislation related to	Reference to legar det	into force	changes	neuson for enanges
the National Safety Authority (NSA)	Law No XII-235 amending and supplementing Articles 3, 7, 10, 11, 13, 22, 23, 24, 25, 26, 28 and 31, repealing Articles 6, 30 and 30 ¹ and including Articles 10 ¹ and 23 ¹ of the Code of Railway Transport of the Republic of Lithuania	01-07-2013 (amendment and supplement)	Definitions elaborated, functions of railway transport public administration entities adjusted, amendments made related to the rights and duties of entities applying for licenses for railway transport of passengers and/or freight, rights and duties of the State Railway Inspectorate concerning the issuance of these licenses, and other amendments.	Reduction of administrative burden on legal entities, elaboration of certain provisions of transposed EU directives regulating railway transport.
	Order No 3-467 of 3 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-509 of 27 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the State Railway Inspectorate under the Ministry of Transport and Communications'	08-09-2013 (new version of the legal act)	The legal act was restated in a new version, with the functions, rights, and duties of the State Railway Inspectorate updated.	Update in line with the changes in legal acts.
	Order No V-691 of 26 November 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-604 of 27 December 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for carrying out routine and nonroutine inspections by the State Railway Inspectorate under the Ministry of Transport and Communications'	30-11-2013 (new version of the legal act)	The legal act was restated in a new version.	Reduction of administrative burden on legal entities.
	Order V-338 of 7 June 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-604 of 27 December 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for carrying out routine and non-routine inspections by the State Railway Inspectorate under the Ministry of Transport and Communications'	6-15-2013 (new version of the legal act)	The legal act was restated in a new version.	Reduction of administrative burden on legal entities.
Legislation related to designated authorities, notified bodies,	Order No 3-660 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for supplying the market with the	01-01-2014 (new)	The rules establish the conditions for supplying the market with interoperability constituents of the railway system ('interoperability constituents'); the	Implementation of Directive 2008/57/EC of the European Parliament and of the Council of

assessment bodies and third- parties	interoperability constituents of the railway system'		procedure for evaluation of compliance or suitability for use of interoperability constituents; rights and duties of railway infrastructure managers or railway undertakings (carriers) wishing to use interoperability constituents and those of the State Railway Inspectorate under the Ministry of Transport and Communication.	17 June 2008 on the interoperability of the rail system within the Community (OJ 2008 L 191, p. 1)
	Order No 3-658 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-507 of 22 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for issue of authorisations for using the structural subsystems and rolling stock of the trans-European rail system in the Republic of Lithuania'	01-01-2014 (new version of the legal act)	The legal act was restated in a new version.	Elaboration of provisions of Directive 2008/57/EC of the European Parliament and of the Council of June 17 2008 transposed into national legislation.
Legislation related to railway undertakings, railway infrastructure managers and certified technical	Law No XII-235 of 18 April 2013 amending and supplementing Articles 3, 7, 10, 11, 13, 22, 23, 24, 25, 26, 28 and 31, repealing Articles 6, 30 and 30 ¹ and including Articles 10 ¹ and 23 ¹ of the Code of Railway Transport of the Republic of Lithuania	01-07-2013 (amendment and supplement)	Definitions elaborated, functions of railway transport public administration entities adjusted, amendments made related to the rights and duties of entities applying for licenses for railway transport of passengers and/or freight, rights and duties of the State Railway Inspectorate concerning the issue of these licenses, and other amendments.	Reduction of administrative burden on legal entities, elaboration of certain provisions of transposed EU directives regulating railway transport.
maintenance entities	Resolution No 1178 of 11 December 2013 of the Government of the Republic of Lithuania 'On the amendment of Resolution No 783 of 17 June 2003 of the Government of the Republic of Lithuania 'On the approval of licensing rules for the carriage of passengers, luggage and freight by rail'	01-01-2014 (new version of the legal act)	The legal act was restated in a new version. Changes made according to the changes in the Code of Railway Transport of the Republic of Lithuania.	Update in line with the changes in legal acts.
	Resolution No 1052 of 13 November 2013 of the Government of the Republic of Lithuania 'On the approval of rules for compulsory insurance against civil liability of railway undertakings (carriers) and undertakings using public railway infrastructure'	24-11-2013 (new)	The rules establish general conditions of and the procedure for mandatory civil liability insurance for railway undertakings (carriers) and undertakings that perform shunting and/or ride to/from construction, repairs and/or service sites and use the public railway infrastructure.	Legalisation of mandatory civil liability insurance concerning the area of railway transport
	Order No 3-660 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for supplying the market with the interoperability constituents of the railway system'	01-01-2014 (new)	The rules establish the conditions of market supply of interoperability constituents of the railway system ('interoperability constituents'); the procedure for evaluation of compliance or suitability for use of interoperability constituents; rights and duties of railway infrastructure managers or railway undertakings (carriers) wishing to use interoperability constituents and those of the State Railway Inspectorate under the Ministry of Transport and Communication.	Implementation of Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (OJ 2008 L 191, p. 1)
	Order No 3-634 of 13 December 2013 of	21-12-2013 (amendment)	Amended: the procedure of issuance, change, suspension, reinstatement, and	Reduction of administrative

the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-431 of 19 July 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of technical maintenance entities'		revocation of a technical maintenance certificate.	burden on legal entities, implementation of Commission Regulation (EU) 1078/2012 of November 16 2012
Order No 3-539 of 16 October 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-297 of 17 July 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of requirements for railway traffic safety management systems'	20-10-2013 (amendment and supplement)	It has been established that requirements applied to railway undertakings (carriers) that are set out in the Descriptor shall apply mutatis mutandis to undertakings that perform shunting and/or ride to/from sites of construction, repairs and/or service of railway infrastructure objects.	Update in line with the changes in legal acts.
Order No 3-514 of 1 October 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order 3-37 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of railway undertakings (carriers) and railway infrastructure managers'	11-10-2013 (amendment and supplement)	Simplified procedure for issuance of Safety Certificates - Part A and Part B and of Safety Authorisation in the area of railway transport traffic safety - Part A and Part B. It has been established that undertakings that perform shunting and/or ride to/from sites of construction, repairs and/or service of railway infrastructure objects must also be issued with safety certificates.	Reduction of administrative burden on legal entities, update in line with the changes in legal acts.
Order No 3-507 of 30 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-611 of 5 November 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for cargo loading and securing'	11-10-2013 (amendment)	Simplified procedure for issuance of and introduction of changes in certificates granting the right to create diagrams of loading freight into railway rolling stock and securing.	Reduction of administrative burden on legal entities
Order No 3-508 of 30 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-398 of 29 July 2004 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of attestation rules of persons engaged in the technical maintenance of rolling stock'	11-10-2013 (amendment)	Simplified procedure for certification of persons performing the technical maintenance of railway rolling stock.	Reduction of administrative burden on legal entities

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Order No 3-225 of 15 April 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-3 of 3 January 2012 of the Minister of Transport and Communications of the Republic of Lithuania 'On the publication of profit / loss accounts and balance sheets of separate activities of the public infrastructure manager and railway undertakings (carriers)'	21-04-2013 (new version of the legal act)	The legal act was restated in a new version.	Update in line with the changes in legal acts.
Order No 3-32 of 18 January 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 297 of 20 September 1996 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the technical use of railways'	25-01-2013 (amendment)	Elaboration of procedure for assigning priorities to trains concerning evaluations of capacity applications and rules for establishing train passing priorities.	Update in line with the changes in legal acts.
Order No 446 of 29 July 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-30 of 14 October 2004 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for examination of managers responsible for cargo loading and securing in carriages'	08-08-2013 (new version of the legal act)	The legal act was restated in a new version.	Reduction of administrative burden on legal entities.
Order No 447 of 29 July 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-29 of 14 October 2004 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for examination of employees working in railway transport'	08-08-2013 (new version of the legal act)	The legal act was restated in a new version.	Assurance of safety in railway transport

Order No 449 of 29 July 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the conferment of powers to examination centres'	01-11-2013 (new)	Established: requirements established concerning entities wishing to obtain authorisation for examination centres (examination of persons wishing to obtain work certificates allowing them to be employed as railway transport traffic workers or foremen responsible for wagon loading and securing of freight) ('Authorisation'); requirements for question lists, procedures for application for Authorisation, issuance, amendment and revocation of Authorisation, and conditions for activities of examination centres.	Update in line with the changes in legal acts.
Order No V-329 of 28 May 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-441 of 20 July 2011 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the evaluation of applications of technical maintenance entities seeking to obtain a certificate of a technical maintenance entity responsible for freight wagons used in the 1,520mm gauge railway network'	05-06-2013 (amendment)	Amended: the procedure for the evaluation of applications of technical maintenance entities seeking to obtain a certificate of a technical maintenance entity responsible for freight wagons used in the 1,520mm gauge railway network	Reduction of administrative burden on legal entities
Order No V-263 of 30 April 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for handling a personal electronic card'	08-05-2013 (new)	Established: procedure for creation and management of a natural and legal entity's electronic card.	Update in line with the changes in legal acts.
Order No V-219 of 17 April 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-30 of 14 October 2004 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for examination of managers responsible for cargo loading and securing in carriages'	21-04-2013 (new version of the legal act)	The legal act was restated in a new version.	Reduction of administrative burden on legal entities.
Order No V-218 of 16 April 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-29 of 14 October 2004 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for examination of employees working in railway transport'	21-04-2013 (new version of the legal act)	The legal act was restated in a new version.	Assurance of safety in railway transport
Order No V-97 of 14 February 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of recommendation for the internal audit of the railway traffic safety	22-02-2013 (new)	Defined: exemplary procedure for appointment of internal auditors of railway traffic safety management systems ('SMS'), planning and performance of SMS internal audits, preparation and submission of SMS	Reduction of administrative burden on legal entities

	management system'		internal reports, monitoring of progress following an SMS internal audit.	
	Order No V-67 of 28 January 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the establishment of charges for the services of the public railway infrastructure manager'	02-02-2013 (new)	Established: charges for services rendered by a public railway infrastructure manager applicable during the validity of the working train timetable for 2014-2015.	Implementation of provisions of Directive 2001/14/EC of the European Parliament and of the Council of February 26 2001.
	Order No V-61 of 24 January 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-39 of 15 May 2007 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the form for the application for Safety Certificate Part A, form for the application for Safety Authorisation Part A, form for the application for Safety Authorisation Part B, form for Safety Certificate Part A, form for Safety Certificate Part A, form for Safety Certificate Part B, form for Safety Authorisation Part B, form for Safety Authorisation Part A, form for Safety Authorisation Part B'	02-02-2013 (amendment)	The following forms changed: Application for issuance of Traffic Safety Authorisation - Part A, Application for issuance of Traffic Safety Authorisation - Part B, Traffic Safety Authorisation - Part A, and Traffic Safety Authorisation - Part B.	Reduction of administrative burden on legal entities
	Order No V-48 of 15 January 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-440 of 20 July 2011 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the form of the Technical Maintenance Entity Certificate'	20-01-2013 (amendment)	The following certificate forms changed: technical maintenance entity in charge of freight wagons used within the 1,520mm gauge railway network; Technical maintenance entities in charge of freight wagons used within the 1,435mm gauge railway network; Execution of maintenance functions.	Reduction of administrative burden on legal entities, update in line with the changes in legal acts.
Legislation related to the implementation of other EU requirements	Law No XII-235 of 18 April 2013 amending and supplementing Articles 3, 7, 10, 11, 13, 22, 23, 24, 25, 26, 28 and 31, repealing Articles 6, 30 and 30 ¹ and including Articles 10 ¹ and 23 ¹ of the Code of Railway Transport of the Republic of Lithuania	01-07-2013 (amendment and supplement)	Definitions elaborated, functions of railway transport public administration entities adjusted, amendments made related to the rights and duties of entities applying for licenses for railway transport of passengers and/or freight, rights and duties of the State Railway Inspectorate concerning the issuance of these licenses, and other amendments.	Reduction of administrative burden on legal entities, elaboration of certain provisions of transposed EU directives regulating railway transport.
	Resolution No 329 of 17 April 2013 of the Government of the Republic of Lithuania 'On the amendment of Resolution No 84 of 22 January 2002 of the Government of the Republic of Lithuania 'On the carriage of dangerous goods by rail in the territory of the Republic of Lithuania'	01-07-2013 (amendment)	Amendment of the preamble of the legal act with indication of the legal act to be implemented.	Update in line with the changes in legal acts.
	Order No 3-635 of 13 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations	21-12-2013 (amendment)	Elaboration of the functions of the Head of Accident Investigation.	Implementation of provisions of Directive 2004/49/EC of the European Parliament and of the Council of Thursday, April 29 2004.

for the investigation of serious railway traffic accidents and incidents and emergency response"			
Order No 3-659 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-586 of 23 December 2004 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for the establishment and application of requirements for the interoperability of the trans-European rail system'	01-01-2014 (new version of the legal act)	The legal act was restated in a new version.	Elaboration of provisions of Directive 2008/57/EC of the European Parliament and of the Council of June 17 2008 transposed into national legislation.
Order No 3-658 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-507 of 22 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the issue of authorisations for using the structural subsystems and rolling stock of the trans-European rail system in the Republic of Lithuania'	01-01-2014 (new version of the legal act)	The legal act was restated in a new version.	Elaboration of provisions of Directive 2008/57/EC of the European Parliament and of the Council of June 17 2008 transposed into national legislation.
Order No 3-509 of 30 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-301 of 7 May 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the procedure for obtaining the right to manage rolling stock'	11-10-2013 (amendment and supplement)	Elaboration of the procedure for the issuance and replacement of a train driver certificate and issuance of a duplicate thereof.	Reduction of administrative burden on legal entities
Order No 3-413 of 1 August 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'	08-08-2013 (amendment and supplement)	Supplement of the procedure for initial notification about a traffic accident, serious accident, or incident; elaboration of the procedure for filling in the protocol of a railway transport traffic accident, serious accident, or incident.	Reduction of administrative burden on legal entities

Order No 3-414 of 1 August 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-274 of 4 June 2002 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the procedure for training and examination of safety specialists responsible for dangerous cargo carriage, the issue of certificates and their duplicates, their termination and the extension of their validity'	08-08-2013 (amendment)	Elaboration of the procedure for documenting examination results.	Update in line with the changes in legal acts.
Order No 3-415 of 1 August 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-32 of 21 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the procedure for the certification of trainers training persons engaged in the transportation of dangerous goods and individuals wishing to become trainers, and granting the right to train persons engaged in the transportation of dangerous goods, and repealing this right'	08-08-2013 (amendment)	Elaboration of the procedure for documenting certification results.	Update in line with the changes in legal acts.
Order No 3-26 of 14 January 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'	18-01-2013 (amendment and supplement)	Elaboration of incidents about which the railway infrastructure manager is to collect materials; a different website where the Head of Accident Investigation publishes reports on the investigation of a serious accident, accident, or incident; elaboration of a list of persons to be submitted copies of the report by the Head of Accident Investigation.	Implementation of the provisions of Directive 2004/49/EC of the European Parliament and of the Council of Thursday, April 29 2004.
Order No V-331 of 28 May 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-24 of 28 March 2007 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On railway traffic safety reports of railway infrastructure managers and railway undertakings (carriers)'	07-06-2013 (amendment)	Amendment of the Traffic Safety Report form.	Update in line with the changes in legal acts.
Order No V-220 of 17 April 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-153 of 17 May 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the recognition of train	21-04-2013 (amendment and supplement)	Procedure for issuance, replacement, suspension, renewal, and revocation of certificates of acknowledgement of train driver training centres.	Reduction of administrative burden on legal entities

driver training centres'			
Order No V-193 of 27 March 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-196 of 29 June 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the recognition of examiners of train drivers, requirements for examiners and examinations and requirements for the examination procedure'	05-04-2013 (amendment and supplement)	Changes in the procedure for issuance, replacement, suspension, renewal, and revocation of certificates of acknowledgement of train driver examiners.	Reduction of administrative burden on legal entities
Order No V-194 of 27 March 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-284 of 13 August 2010 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for recognition of psychologists carrying out the professional psychological assessment of persons wishing to obtain a train driver's licence'	05-04-2013 (amendment and supplement)	Changes in the procedure for issuance, replacement, suspension, renewal, and revocation of certificates of recognition of psychologists.	Reduction of administrative burden on legal entities
Order No V-96 of 14 February 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of the procedure for the certification of trainers training persons engaged in the transportation of dangerous goods and individuals wishing to become trainers'	22-02-2013 (new)	Established: the procedure for issuance of the following certificates to trainers: trainer training persons engaged in the transportation of dangerous goods; certification of a person wishing to become trainers and attain the right to train persons engaged in the transportation of dangerous good; safety specialist in the transportation of dangerous goods ('Certificate').	Update in line with the changes in legal acts.

C. Appendix C.

Legislation in force in Lithuania in 2003 transposing Directive 2004/49/EC on safety on the Community's railways (Railway Safety Directive) into national law:

- 1. Law No IX-2152 on the approval, entry into force and application of the Railway Transport Code
- 2. Law No XI-1595 amending and supplementing Articles 1, 3, 4, 7, 11, 12, 13, 16, 23, 24, 25, 29 and 33 and the Annex, including Articles 4¹, 25¹ and 33¹, and repealing Articles 8 and 27 of the Code of Railway Transport of the Republic of Lithuania
- 3. Law No XI-642 amending the Law on Railway Traffic Safety of the Republic of Lithuania
- 4. Law No XI-1334 amending and supplementing Articles 2, 5, 6, 9, 12 and 22 and the Annex of the Law on Railway Traffic Safety of the Republic of Lithuania
- 5. Law No X-383 amending the Law on the right to obtain information from state and municipal institutions and enterprises of the Republic of Lithuania
- Law No X-1675 amending and supplementing Articles 21, 26, 27, 29, 41(4), 41(5), 44(2), 46(1), 50, 50(4), 50(7), 51(3), 56(1), 56(2), 64, 91(8), 111, 112, 112(1), 112(2), 119, 119(1), 119(2), 120, 121, 122, 122(1), 130, 136, 138, 138(1), 141, 143, 172(2), 188(10), 188(13), 207(7), 224, 225, 225(2), 227, 229, 233, 234, 237, 241(1), 242, 244, 259(1), 261, 262, 266, 269, 270, 281 and 320, and including Articles 41(11), 51(23), 84(2), 84(3), 84(4), 112(3), 112(4), 112(5), 112(6), 112(7), 112(8), 119(3), 188(18) of the Administrative Code of the Republic of Lithuania
- 7. Law No XI-1553 amending Articles 21, 26, 27, 152, 152(1), 152(3), 152(4), 152(5), 152(10), 152(11), 152(12), 152(13), 153(10), 154, 154(1), 154(2), 246, 281, 320, 324, 325, 328(1) and 330 of the Administrative Code of the Republic of Lithuania and repealing Article 152(8)
- 8. Resolution No 1178 of 11 December 2013 of the Government of the Republic of Lithuania 'On the amendment of Resolution No 783 of 17 June 2003 of the Government of the Republic of Lithuania 'On the approval of licensing rules for the carriage of passengers, luggage and freights by rail'
- 9. Resolution No 655 of 9 July 2014 of the Government of the Republic of Lithuania 'On the amendment of Resolution No 1468 of 22 November 2004 of the Government of the Republic of Lithuania 'On the establishment of the Register of Rolling Stock and Containers of the Republic of Lithuania, approval of its regulations and launching of its operations'
- 10. Resolution No 1480 of 13 October 2010 of the Government of the Republic of Lithuania 'On the approval of regulations for the Ministry of Transport and Communications of the Republic of Lithuania'
- 11. Order No 3-467 of 3 September 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-509 of 27 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the State Railway Inspectorate under the Ministry of Transport and Communications'
- 12. Order No 3-424 of 7 July 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the establishment of common traffic safety indicators'
- 13. Order No 3-483 of 9 August 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-238 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the establishment of common traffic safety indicators'
- 14. Order No 3-578 of 29 September 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-297 of 17 July 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of requirements for railway traffic safety management systems'

- 15. Order No 3-539 of 16 October 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-297 of 17 July 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of requirements for railway traffic safety management systems'
- 16. Order No 3-444 of 22 July 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-37 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of railway undertakings (carriers) and railway infrastructure managers'
- 17. Order No 3-577 of 29 September 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-37 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of railway undertakings (carriers) and railway infrastructure managers'
- 18. Order No 3-514 of 1 October 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-37 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of railway undertakings (carriers) and railway infrastructure managers'
- 19. Order No 3-370 of 1 June 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'
- 20. Order No 3-658 of 31 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-507 of 22 December 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the issue of authorisations for using the structural subsystems and rolling stock of the trans-European rail system in the Republic of Lithuania'
- 21. Order No 3-07 of 11 January 2007 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of railway traffic safety requirements for track gauges other than of 1,435mm and 1,520mm'
- 22. Order No 3-506 of 16 August 2010 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of the list of national traffic safety rules'
- 23. Order No 3-237 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for the provision of training capacities for railway undertakings (carriers)'
- 24. Order No 3-213 of 8 April 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment and supplement of Order No 3-237 of 12 June 2006 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of rules for the provision of training capacities for railway undertakings (carriers)'
- 25. Order No 3-431 of 19 July 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of technical maintenance entities'
- 26. Order No 3-634 of 13 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-431 of 19 July 2011 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of technical maintenance entities'
- 27. Order No 3-313 of 30 April 2012 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'
- 28. Order No 3-421 of 23 January 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-37 of 23 January 2003 of the Minister of

- Transport and Communications of the Republic of Lithuania 'On the approval of safety certification rules of railway undertakings (carriers) and railway infrastructure managers'
- 29. Order No 3-26 of 14 January 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'
- 30. Order No 3-635 of 13 December 2013 of the Minister of Transport and Communications of the Republic of Lithuania 'On the amendment of Order No 3-79 of 20 February 2003 of the Minister of Transport and Communications of the Republic of Lithuania 'On the approval of regulations for the investigation of serious railway traffic accidents and incidents and emergency response'
- 31. Order No V-24 of 28 March 2007 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On railway traffic safety reports of railway infrastructure managers and railway undertakings (carriers)'
- 32. Order No V-331 of 28 May 2013 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-24 of 28 March 2007 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On railway traffic safety reports of railway infrastructure managers and railway undertakings (carriers)'
- 33. Order No V-56 of 2 July 2007 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the amendment of Order No V-29 of 14 October 2004 of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'On the approval of rules for the examination of employees working in railway transport'

D. Appendix D.

Pos	Date	Circumstances	Conclusions
No.			
1.	03-Jan-2013 4:40 AM	A passenger train fatally injured a person at the station- to-station section Paneriai-Lentvaris, 13km, 8pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
2.	11-Jan-13 12:52 PM	A freight train fatally injured a person on the pedestrian railway crossing at main track 1 of Mažeikiai Railway Station, 64km, 2pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
3.	14-Jan-13 3:47 PM	A freight train injured a person on Track 3 of Kalnėnai Railway Station.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
4.	26-Jan-13 3:36 AM	A freight train injured a person at Giruliai Railway Station, 370km 1pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
5.	30-Jan-13 7:39 PM	A passenger train fatally injured a person at the track 1 of station-to-station section Kaišiadorys-Pravieniškės, 2km, 4pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
6.	27-Feb-13 4:40 PM	A passenger train fatally injured a person at the railway crossing in station-to-station section Rūdiškės- Valkininkai, 29km, 6pk.	The victim violated the provision of Article 10(3) of the Law on Railway Traffic Safety. The team of locomotive operators violated the provisions of points 18.6.5.(a), 18.6.7.(d), and 18.6.8.(b) of Section 18.6 of TNN.
7.	14-Mar-13 10:50 AM	A passenger train collided with a car on level-crossing of Track 2 of Kaišiadorys Railway Station, 69+228km. Two persons were injured, one of them died.	The accident was caused by the driver of the car who violated the provisions of point 133 and 174 of the Road Traffic Rules.
8.	12-Apr-13 2:18 AM	A freight train fatally injured a person in station-to- station section Viduklė-Tauragė, 110km, 8pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
9.	18-Apr-13 5:02 PM	A train fatally injured a person in station-to-station section Ignalina-Dūkštas, 93km, 2pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
10.	15-May-13 3:15 PM	A freight train fatally injured a person at the railway crossing in station-to-station section Kėdainiai-Šilainiai, 126+0.85km.	The accident was caused by a pedestrian who violated the provisions of point 50 of the Road Traffic Rules.
11.	20-May-13 5:05 PM	A train fatally injured a person at Kretinga Railway Station.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety
12.	12-Aug-13 1:06 PM	A train fatally injured a person at Kaišiadorys Railway Station.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
13.	19-Aug-13 2:25 AM	A train injured a person in station-to-station section Klaipėda-Rimkai, 7km, 8pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
14.	16-Sep-13 9:39 PM	A train fatally injured a person on the odd track of station-to-station section Pravieniškės-Palemonas, 26km, 9pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
15.	30-Sep-13 9:05 PM	A train injured a person in station-to-station section Kazlų Rūda-Mauručiai, 27km, 1pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
16.	12-Oct-13	A train fatally injured a person in station-to-station	The accident was caused by the victim who violated the

	11:34 PM	section Vilkyčiai-Šilutė, 38km, 4pk.	provisions of Article 10(3) of the Law on Railway Traffic Safety.
17.	20-Oct-13 12:38 PM	A train fatally injured a person on the even track of station-to-station section Šilėnai-Radviliškis, 196km, 5pk.	-
18.	28-Oct-13 3:02 PM	A train fatally injured a person in station-to-station section Tauragė-Viduklė, 96km, 6pk.	-
19.	01-Nov-13 7:12 PM	A train fatally injured a person in station-to-station section Kazlų Rūda-Mauručiai, 61km, 6pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
20.	07-Nov-13 7:48 PM	A train injured a person in station-to-station section Lentvaris-Paneriai, 17km, 6pk.	-
21.	12-Nov-13 8:50 PM	A train fatally injured a person at Pavenčiai Railway Station.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
22.	24-Nov-13 9:27 PM	A train fatally injured a person in station-to-station section Lentvaris-Vievis, 22km, 2pk.	The accident was caused by the victim who violated the provisions of Article 10(3) of the Law on Railway Traffic Safety.
23.	07-Dec-13 11:35 AM	A locomotive fatally injured a person on a level-crossing in station-to-station section Meškučiai-Joniškis, 33km, 950m.	-
24.	16-Dec-13 6:20 PM	A train fatally injured a person on the even track of station-to-station section Naujoji Vilnia-Kyviškės, 13km, 5pk.	The accident was caused by a pedestrian who violated the provisions of point 50 of the Road Traffic Rules.