

STATE RAILWAY INSPECTORATE UNDER
THE MINISTRY OF TRANSPORT AND
COMMUNICATIONS

2012

Railway Traffic Safety Report



LITHUANIAN NATIONAL SAFETY
AUTHORITY ANNUAL SAFETY REPORT
(2012)

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A.1. SCOPE OF THE ANNUAL RAILWAY TRAFFIC SAFETY REPORT

The Annual Railway Traffic Safety Report (hereinafter referred to as “the Traffic Safety Report”) provides summarised information on the safety condition of the railway system of the Republic of Lithuania in 2012.

The Annual Railway Traffic Safety Report describes the structure of the railway system of the Republic of Lithuania in 2012, its changes and factors that triggered those changes, most important amendments in legal acts regulating traffic safety and causes of those amendments, development of traffic safety in the area of Common Safety Indicators as well as statistical data on traffic accidents. The procedures of the issuance of Safety Certificates for railway undertakings (carriers) and provision of Safety Authorisations for railway infrastructure managers are described. The Traffic Safety Report gives an overview of the activities carried out by the State Railway Inspectorate under the Ministry of Transport and Communications (hereinafter referred to as ‘the Inspectorate’ in 2012 and priorities of its activities in 2013.

The information provided in the Traffic Safety Report is based on the statistical data collected by the Inspectorate and results of the traffic safety reports of railway infrastructure managers and railway undertakings (carriers) for 2012.

A.2. SUMMARY IN ENGLISH

This Annual Railway Traffic Safety Report provides summarised information on the structure of the railway network of the Republic of Lithuania, the changes it underwent in 2012 and factors that determined these changes. It details the most important changes in legislation and regulation concerning railway safety in 2012, the development of railway safety in terms of safety indicators and statistical data on railway transport accidents, including a comparison of safety levels with those during 2007–2011. The report also gives an overview of the main activities carried out by the Inspectorate in 2012, railway safety conditions and trends in 2012 and problems encountered in the fulfilment of functions assigned to the Inspectorate.

The Inspectorate interacts with railway infrastructure managers and undertakings that apply for new Safety Certificates or Safety Authorisations, while fulfilling its main tasks aimed at ensuring a high level of railway safety, safety certification and Safety Authorisation. A total of seven Safety Certificates Part A, seven Safety Certificates Part B, five Safety Authorisations Part A and five Safety Authorisations Part B were issued during the year. Statistical data on the development of safety certification and authorisation are described in Appendix E.

The Inspectorate carried out 71 inspections of railway undertakings and railway infrastructure managers not related to the issuance of Safety Certificates and Safety Authorisations in 2012. This was in line with the exercise of control over compliance with the requirements of laws and rules regulating railway transport safety by various entities. In 2012, 59 violations of railway safety rules were detected. Detailed information on the supervision of railway undertakings and railway infrastructure managers is provided in Section 7 of this Report.

In an analysis of statistical data on railway accidents, it is notable that the railway safety level improved in 2012 compared with 2011: there were 19 fatalities (compared with 26 in 2011) and eight injuries (compared with ten in 2011) on the Lithuanian railway network.

An analysis of the causes of traffic accidents leads to the conclusion that the most frequent cause in 2012, in similarity with previous years, was unlawful acts by persons not related to railway transport activities (users of level crossings and unauthorised persons).

The target audience for the Report is any person to whom it may be of concern (the Report will be published on the website of the Inspectorate) and the ERA.

B. INTRODUCTION

B.1. Introduction to the Annual Railway Traffic Safety Report

Pursuant to the provisions of Article 23 of Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways¹, the national safety institutions of the European Union must draw up annual railway traffic safety reports by September 30 every year.

The objective of the annual traffic safety report:

1. To provide summarised information on the safety condition of the railway system of the Republic of Lithuania in 2012 and to compare it with the data provided in 2007–2011;
2. To present the trends in the improvement of railway transport traffic safety in 2013;
3. To give an overview of the problems encountered by the Inspectorate in ensuring railway transport traffic safety.

Pursuant to Article 6(8) of the Law of the Republic of Lithuania on Railway Traffic Safety (Official Gazette (Valstybės žinios), 2004, No 4-27; 2011, No 12-557), the Inspectorate publishes the Annual Traffic Safety Report on its internet site² and provides this Report to the European Railway Agency³ (hereinafter referred to as “the ERA”).

This Report covers information on traffic safety throughout the whole railway network of the Republic of Lithuania.

The Annual Safety Report has been provided already for a seventh consecutive year and is drawn up in accordance with the recommendations provided in the guide for the pattern and use of tables in annual traffic safety reports of National Safety Authorities issued by the ERA.

Annual Traffic Safety Reports, in accordance with the recommendations provided in the guide for the pattern and use of tables in annual traffic safety reports of National Safety Authorities prepared by the ERA in 2008, have been drawn up for a fifth year already. Information necessary for the preparation of the Annual Traffic Safety Report is constantly accumulated and systemised in the Inspectorate; therefore, no difficulties were faced in the course of its preparation. When preparing the Annual Traffic Safety Report of the Inspectorate as the Safety Authority of the Republic of Lithuania, the remarks provided by the ERA in regard to the Annual Safety Report presented in the Annual Traffic Safety Report for 2009 were taken into account.

Annual Railway Transport Traffic Safety Report has been drawn up on the basis of the statistical data collected and systemised by the Inspectorate and results of the traffic safety reports of railway infrastructure managers and railway undertakings (carriers) for 2012.

¹ Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways (OJ L 220, 2004 6 21, p. 16)

² <http://www.vgi.lt/lt/veikla/saugos-ataskaita>

³ Regulation (EC) No 881/2004 of the European Parliament and of the Council of 29 April 2004 establishing a European railway (Agency Regulation)

B.2. Information on the railway structure of the Republic of Lithuania

The total operating length of railways is 1 767.6 km.

Breakdown of railway lines by gauge width: 2 166.8 km are 1 520 mm wide gauge lines (including 122.00 km of electrified railway lines), and 21.9 km are 1 435 mm wide gauge lines.

Information on the structure of the railway system of the Republic of Lithuania is provided in Appendix A:

- a. Map of the railway network of the Republic of Lithuania (Chart A.1);
- b. List of railway undertakings (carriers) and railway infrastructure managers (Tables A.2.1 and A.2.2).

In 2012, the Inspectorate amended 1 licence (because of the change in the office address of an undertaking) and issued 3 licences for engaging in economic and commercial activities in railway transport. As on 31 December 2012, 34 railway undertakings (carriers) holding licences for engaging in economic activities in railway transport operated in the Republic of Lithuania. The list of railway undertakings (carriers) holding licences for engaging in economic and commercial activities in railway transport is presented in Appendix A (Table A.2.2). Furthermore, one railway undertaking (carrier) of the Republic of Lithuania operates at a narrow-gauge (750 mm) railway – the Aukštaitijos Siaurasis Geležinkelis Public Institution.

At the end of 2012, in the railway network of the Republic of Lithuania, there was one public railway infrastructure manager, AB Lietuvos Geležinkeliai (Lithuanian Railways), and 16 railway infrastructure managers authorised in the field of railway traffic safety.

B.3. Summary of the general analysis of the trends in railway traffic safety

In the course of the execution of the functions assigned to it in the issuance of Safety Certificates and Safety Authorisations, the Inspectorate consults the public railway infrastructure manager AB Lietuvos Geležinkeliai (Lithuanian Railways) and provides methodological assistance to newly founded and operating railway undertakings (carriers) and railway infrastructure managers applying for the issuance of Safety Certificates and Safety Authorisations.

In 2012, the Inspectorate issued seven Safety Certificates – Part A, seven Safety Certificates – Part B, five Safety Authorisations – Part A, and five Safety Authorisations – Part B.

In the course of executing the supervision of railway undertakings (carriers) and railway infrastructure managers in 2012, the Inspectorate performed 71 inspections of railway undertakings (carriers) and railway infrastructure managers. 59 infringements of legal acts regulating railway traffic safety were detected during the inspections performed in 2012. No statements of obligation were issued to railway undertakings (carriers) and railway infrastructure managers. Detailed information on the supervision of railway undertakings (carriers) and railway infrastructure managers carried out by the Inspectorate in 2012 is provided in Section G of the Report.

Analysis of the data on traffic accidents during 2007–2012 allows for the conclusion that the general traffic safety level improved in 2012 compared to previous years:

- the total number of traffic accidents in 2012 as compared to the average number of traffic accidents during 2009–2011 decreased by 42.2% (from 45 to 26);
- the number of fatalities in 2012 as compared to the average number of fatalities during 2009–2011 went down by 36%;
- the number of injuries in traffic accidents in 2012 as compared to that recorded in 2011 dropped by 27.3%;

- the number of injuries in traffic accidents in 2012 as compared to the average number of injuries in traffic accidents during 2009–2011 is lower by 37.0%.

Analysis of the causes of traffic accidents allows for the conclusion that the most frequent cause of traffic accidents in 2012, similarly to previous years, is unlawful acts of persons unrelated to railway transport activities (users of crossings and unauthorised persons):

- crossing of railways in places not intended for this purpose;
- overtaking of other vehicles stopping at a crossing to allow a train to pass; entering a crossing against a restrictive signal of traffic lights or of the attendant at the crossing, or when the barrier is down or starts to be put down;
- unauthorised lifting of the barrier or bypassing the barrier;
- entering a crossing when the way beyond the crossing is obstructed by an obstacle that forces the driver to stop at the crossing;
- carriage through a crossing of agricultural, road, construction, and other machinery not specially prepared for transporting;
- failure to fulfil the requirement of the 'Stop' sign when it is installed before a railway crossing;
- unauthorised presence of persons in non-intended places of protected zones of railway tracks and facilities thereof

and other traffic accidents occur as a result of shortcomings in the condition of railway infrastructure or rolling stock and traffic organisation and management. Detailed information on the condition of traffic safety is provided in Section D of the Report.

C. AUTHORITIES IN THE RAILWAY TRANSPORT SECTOR

C.1. Introduction to the National Safety Authority

The goals, tasks, and functions of the activities of the Inspectorate as the National Safety Authority (hereinafter referred to as 'the NSA') are set forth in the Regulations of the Inspectorate approved by Order No 3-509 of the Minister of Transport and Communications of the Republic of Lithuania dated 27 December 2006 'Regarding the approval of the Regulations of the State Railway Inspectorate under the Ministry of Transport and Communications'⁴ (Official Gazette (Valstybės Žinios), 2007, No 1-40; 2012, No 145-7489). The main goal of the Inspectorate is to implement the policy of the state in the field of railway transport and to service the implementation and formation of this policy.

The tasks of the activities of the Inspectorate in implementing the railway transport policy are as follows:

- 1) To ensure a high level of railway traffic safety;
- 2) To enhance the interoperability of the railway system;
- 3) To promote competition in the provision of railway transport services and to seek to improve railway transport services provided to consumers;
- 4) To established requirements related to the safety and interoperability of railway transport traffic in the cases stipulated by legal acts.

The structure of the Inspectorate did not change as compared to 2011. The organisational chart of the Inspectorate is provided in Appendix B, Table B.1.

In the implementation of the goals set for the Inspectorate, the structural divisions of the Inspectorate, i.e. its Divisions, implement the following tasks:

1) The tasks of the **Traffic Safety Control Division** are to ensure a high level of railway traffic safety, to improve the interoperability of the railway system, to promote competition in the provision of railway transport services, and to improve railway transport services provided to consumers;

2) The tasks of the **Law Division** are to ensure a high level of railway traffic safety, to improve the interoperability of the railway system, to promote competition in the provision of railway transport services, to improve railway transport services provided to consumers, and to service the formation and implementation of the railway transport policy in the management areas assigned to the Minister of Transport and Communications of the Republic of Lithuania;

3) The tasks of the **Strategic Planning Division** are to ensure the implementation of the goals and tasks set for the Inspectorate, to promote competition in the provision of railway transport services, to improve railway transport services provided to consumers, and to implement the human resource policy of the Inspectorate;

4) The tasks of the **Information Technology Division** are to optimise the operating processes of the Inspectorate, to ensure the transparency and publicity of the activities of the Inspectorate, to improve the interoperability of the railway system, and to ensure proper document management in the Inspectorate.

As of the end of 2012, the number of employees of the Inspectorate amounted to 23:

1) 12 of them worked in the areas of traffic safety, certification, licensing, and development of legal acts in the railway transport sector with a view of transposing the requirements of EU legal acts into the national legislation;

2) Two employees worked in the area of railway infrastructure and rolling stock registration;

⁴ In 2013, new Regulations of the Inspectorate were approved. Information on the changes will be provided in the Traffic Safety Report for the following year.

- 3) One employee worked in the area of strategic planning;
- 4) One employee was engaged in planning and handling financial matters of the Authority;
- 5) Two employees performed administrative work;
- 6) One employee worked in the area of the implementation of information technologies;
- 7) Three employees were responsible for the preparation and implementation of projects.

C.2. Interaction with other national institutions and railway transport entities

Relationships between the Inspectorate and other railway transport entities (the Ministry of Transport and Communications of the Republic of Lithuania; the Competition Council of the Republic of Lithuania; the Head of Accident Investigation; the public railway infrastructure manager AB Lietuvos Geležinkeliai (Lithuanian Railways); railway undertakings (carriers); railway infrastructure managers) did not change compared to 2011. The pattern of interaction with other national institutions and railway transport entities is provided on Chart 16. Interaction with other national institutions and railway transport entities.

D. DEVELOPMENT OF TRAFFIC SAFETY

D.1. Traffic safety measures (recommendations) to improve safety performances

D.1.1. Traffic safety measures (recommendations) triggered by traffic accidents or near-misses

Having investigated in the traffic accidents, in 2012 the Inspectorate provided 4 traffic safety measures (recommendations) aimed at preventing similar accidents from occurring in future:

Table 1. Traffic safety measures applied by the Inspectorate in order to prevent the occurrence of such traffic accidents in future

Item No	Date of the traffic accident	Place of the traffic accident	Description of the traffic accident	Characteristic of the traffic safety measure (recommendation)
1.	8/5/2012	Šiauliai Railway Station	A shunting locomotive fouled a truck at an approach track of Šiauliai Railway Station, at a non-guarded crossing.	To amend the Rules for the Equipping and Use of Crossings approved by Order No 3-36 of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the approval of the Rules for the Equipping and Use of Crossings', indicating therein that those crossings which are situated at approach and station tracks and have heavy intensity of road traffic should be additionally equipped with barriers acting simultaneously with the crossing traffic light signalling to be operated by the shunting master or locomotive crew.
2.	30/11/2012; 13/12/2012	Station-to-station section Marijampolė – Kalvarija	1. A passenger train collided with a car at the station-to-station section Marijampolė – Kalvarija, 26km 5pk. Nobody was hurt. 2. A passenger train collided with a car at the station-to-station section Marijampolė – Kalvarija, 26 km 5 pk. Two persons were injured.	Taking into account the fact that the traffic light regulating road transport traffic and the crossing traffic light operate synchronously, it is necessary to eliminate the supplementary section that allows turning right when the prohibiting road transport traffic regulating light signal is on.
3.	8/12/2012	Station-to-station section Radviliškis – Jonaitiškis	An infrastructure worker reported that a rail was disrupted at the station-to-station section Radviliškis –	1. To determine the expediency of the drawing-up of as-built documentation when welders perform thermit welding operations. With regard to the expediency, if necessary, to draw up the components of the as-built documentation.

			Jonaitiškis, 5 km 4 pk. Train traffic was suspended.	2. To compare the thermit welding technological process described in Methodological Instructions K/114 drawn up by AB Lietuvos Geležinkeliai (Lithuanian Railways) with the description of the thermit welding technological process drawn up by the manufacturer of the thermit mix, Elektro Thermit GmbH & Co KG. With regard to the expediency, to present proposals to AB Lietuvos Geležinkeliai (Lithuanian Railways) regarding the updating of Methodological Instructions K/114.
4.	17/12/2012	Vaidotai Railway Station	One-wheelset derailment of a railway car at Vaidotai Railway Station, Track 3.	To determine measures improving the stopping efficiency at Vaidotai Railway Station, Track 3 in the case of unfavourable meteorological conditions. After the determination of the measures, to present recommendations to Vaidotai Railway Station.

D.1.2. Traffic safety measures (recommendations) triggered by other causes

Table 2. Traffic safety measures and causes thereof

Description of the safety measure (recommendation)	Description of the causes that triggered the traffic safety measure (recommendation)
No statements of obligation were issued in 2012. A detailed description of these and other traffic safety measures (recommendations) is provided in Section D.3 of the Report.	Traffic safety measures (recommendations) are provided together with statements of obligation during inspections of railway undertakings (carriers) or railway infrastructure managers, or after the performance of inspections.

D.2. Detailed information on traffic accidents in 2012 and causes thereof and comparative analysis of traffic accidents during 2006-2012

Table 3. Statistical data on traffic accidents that occurred in 2012, financial costs of the accidents and the number of working hours spent

Number of serious accidents	Major traffic accidents	Other traffic accidents	Number of incidents	Number of injuries	Number of fatalities	Cost/losses (in Euro) and working time spent on traffic accidents
0	26	37	11	8	19	EUR 522 300.00 88 hours and 52 minutes

63 traffic accidents including 26 major traffic accidents occurred in 2012: train collisions – 0; train derailments – 0; level-crossing accidents – 3; traffic accidents involving unauthorised persons – 15; fires in rolling stock – 0; other traffic accidents – 0. Also, 11 incidents were recorded in 2012.

In Lithuania, 38.72% of the length of tracks is equipped with an automatic train signalling system. These sections account for 75.74% of the total haulage of trains.

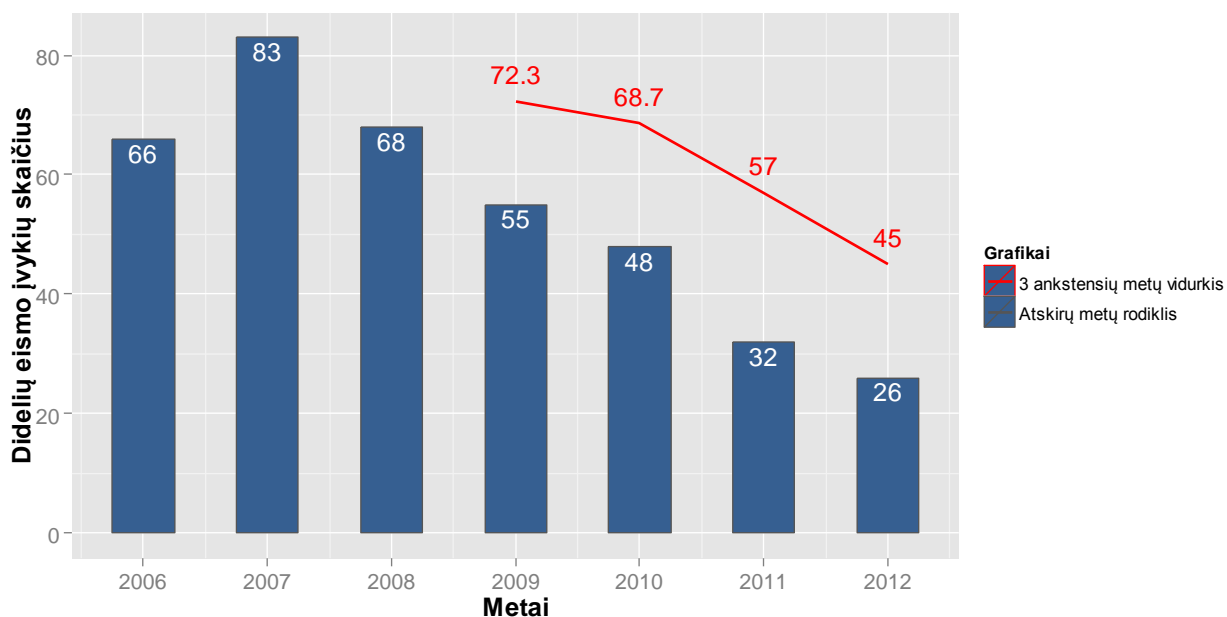


Chart 1. Number of major traffic accidents in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Didelių eismo įvykių skaičius	Number of major traffic accidents

Between 2007-2011, the number of major traffic accidents decreased. This trend also remains in 2012. Compared to the previous year, the number of traffic accidents in 2012 decreased by 18.75%.

Comparison with the previous years in separate groups of traffic accidents and incidents can be found in Charts 2 – 7.

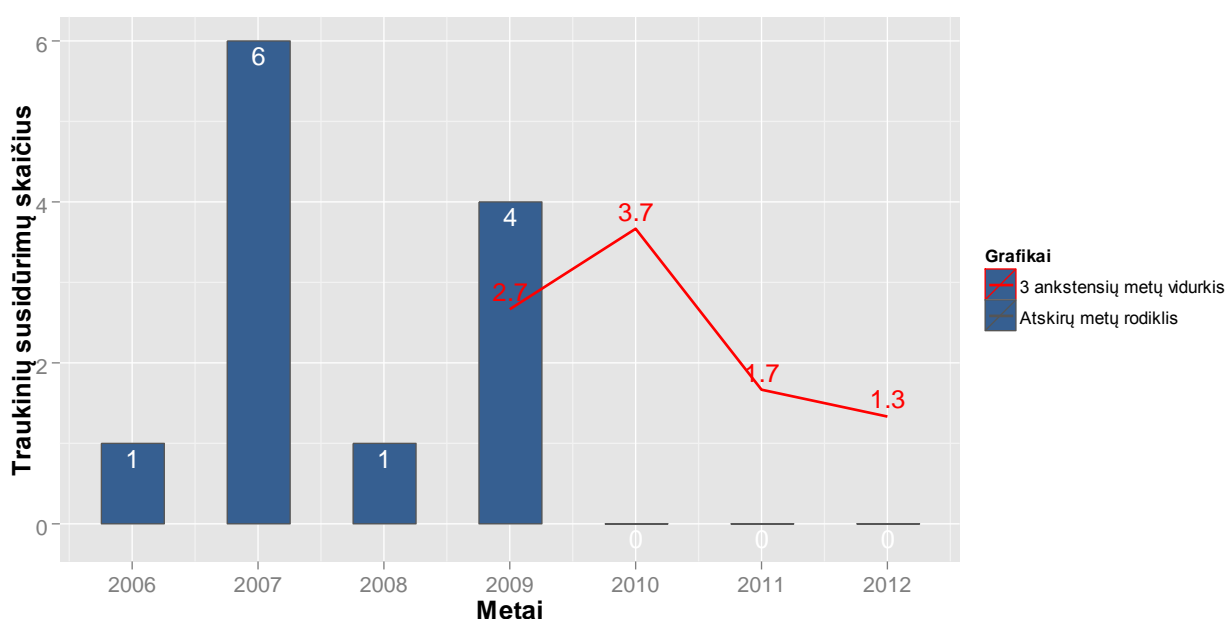


Chart 2. Number of train collisions in 2006 – 2012

Metai	Year
Grafikai	Charts

3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Traukinių susidūrimų skaičius	Number of train collisions

The number of train collisions does not have any clear trends; however, it have been possible to avoid occurrences of this type during the recent three years.

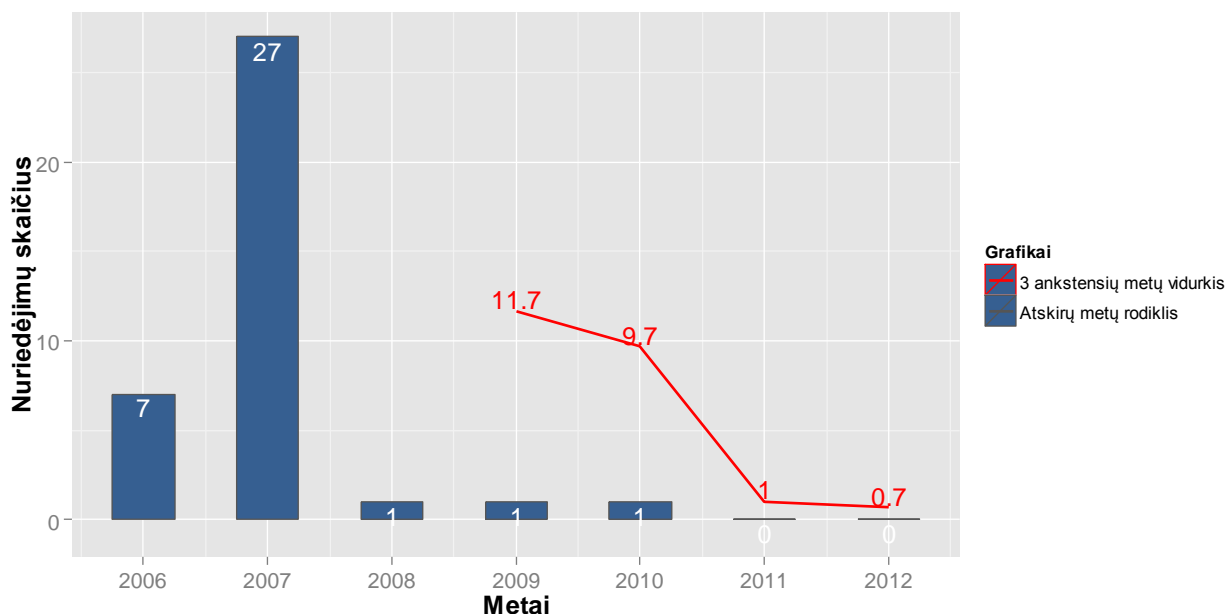


Chart 3. Number of train derailments in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Nuriedėjimų skaičius	Number of train derailments

The number of train derailments causing significant losses is equal to zero already for a second consecutive year.

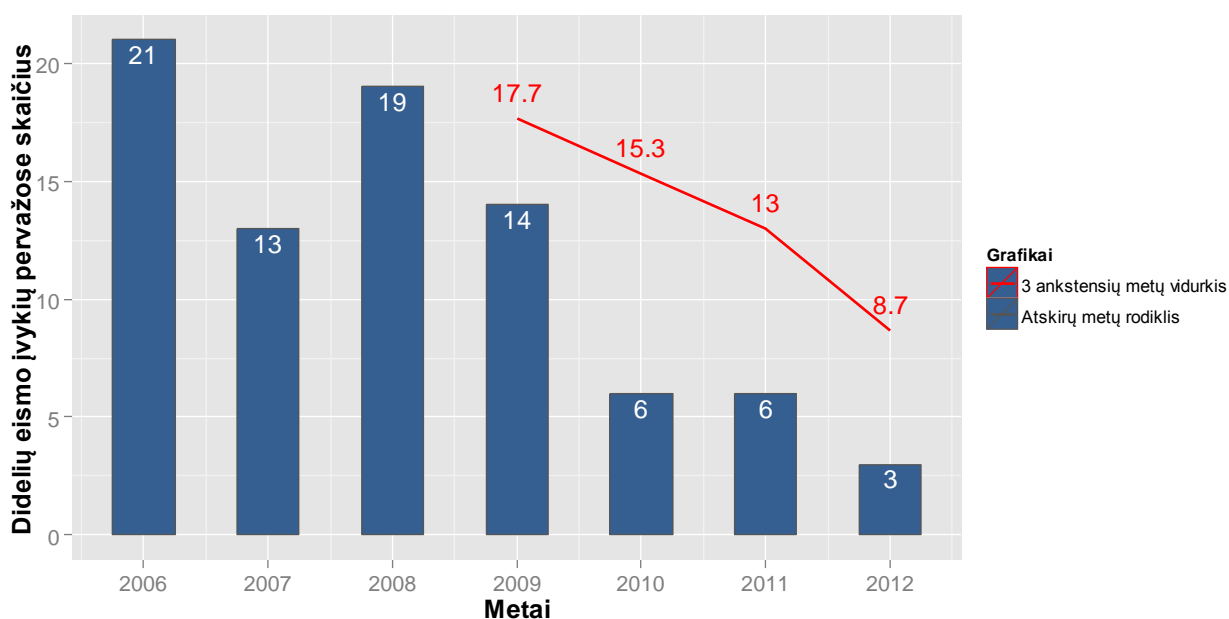


Chart 4. Number of major level-crossing traffic accidents in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Didelių eismo įvykių pervažose skaičius	Number of major level-crossing traffic accidents

The number of level-crossing accidents has a downward trend since 2008. In 2012, the number of such accidents decreased by 50% compared with 2011 and by nearly 66% when compared with the average value of the recent three years.

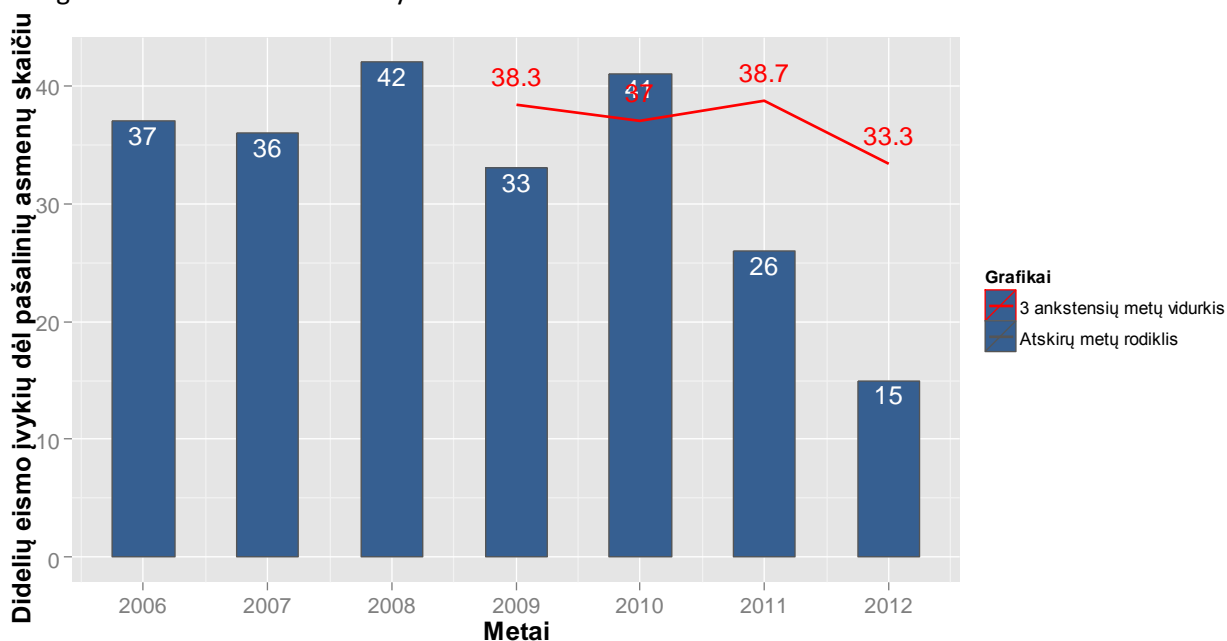


Chart 5. Number of major traffic accidents caused by unauthorised persons in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Didelių eismo įvykių dėl pašalinių asmenų skaičius	Number of major traffic accidents caused by unauthorised persons

The data show that until 2010 the number of traffic accidents involving unauthorised persons fluctuated without any obvious trend. However, a downward trend in the number of such accidents emerged after 2010. In 2012, the number of such accidents decreased by 42.3% compared to 2011.

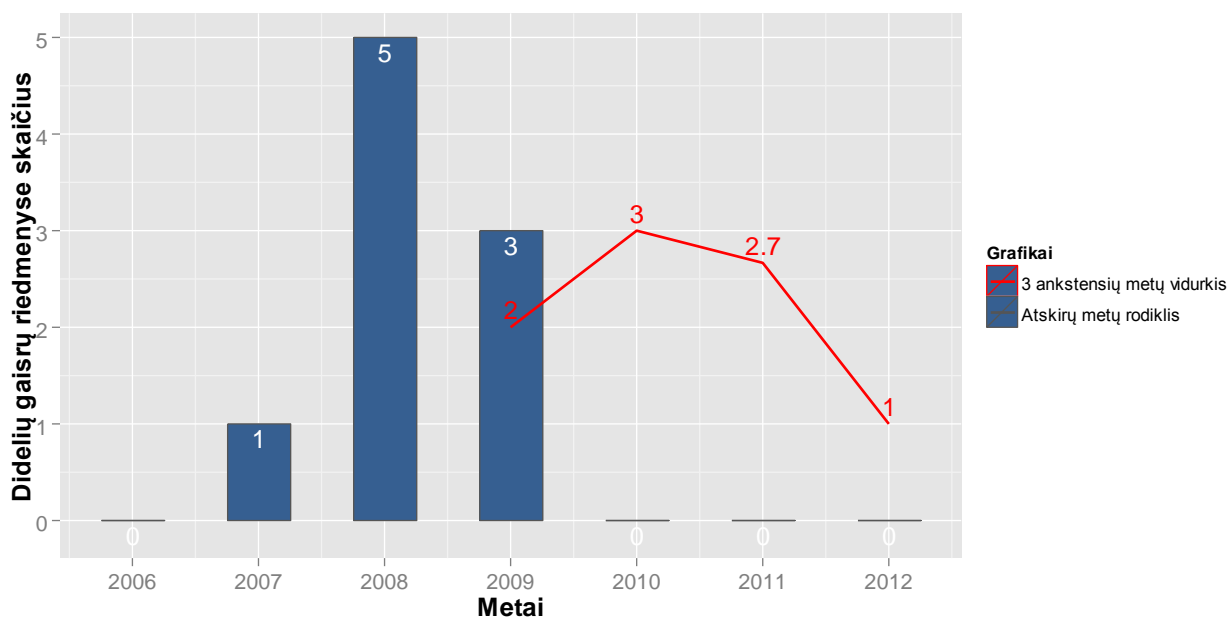


Chart 6. Number of major fires in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Didelių gaisrų riedmenyse skaičius	Number of major fires

The chart shows that fires that would cause severe losses or personal injuries were avoided already for a third consecutive year.

The most frequent cause of traffic accidents in 2012, similarly to previous years, is unlawful acts of persons unrelated to railway transport activities (users of crossings and unauthorised persons) such as crossing of railways in places not intended for this purpose, overtaking of other vehicles stopping at a crossing to allow the passing of a train, entering a crossing against a restrictive signal of traffic lights or of the attendant at the crossing or when the barrier is down or starts to be put down, unauthorised lifting of the barrier or passing by it, entering a crossing when the way beyond the crossing is obstructed by an obstacle that forces the driver to stop at the crossing, carriage through a crossing of agricultural, road, construction, and other machinery not specially prepared for transporting and failure to fulfil the requirement of the “Stop” sign when it is installed before a railway crossing as well as unauthorised presence of persons in restricted areas of protected zones of railway tracks and facilities thereof.

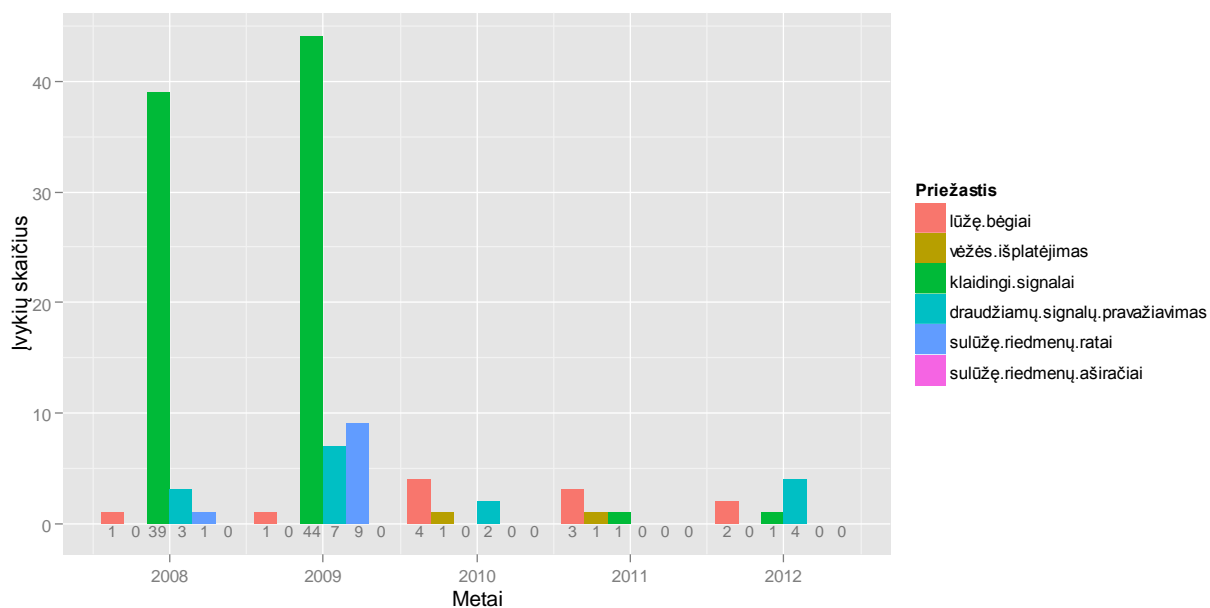


Chart 7. Other traffic accidents and incidents in 2006 – 2012

Metai	Year
Prie...	Cause
	Broken rails
	Spreading of track
	Erroneous signals
	Restrictive signal violations
	Broken rolling stock wheels
	Broken rolling stock wheelsets
Ivyk....	Number of incidents

Other traffic accidents occur as a result of shortcomings in the condition of railway infrastructure or rolling stock and traffic organisation and management (Chart 7).

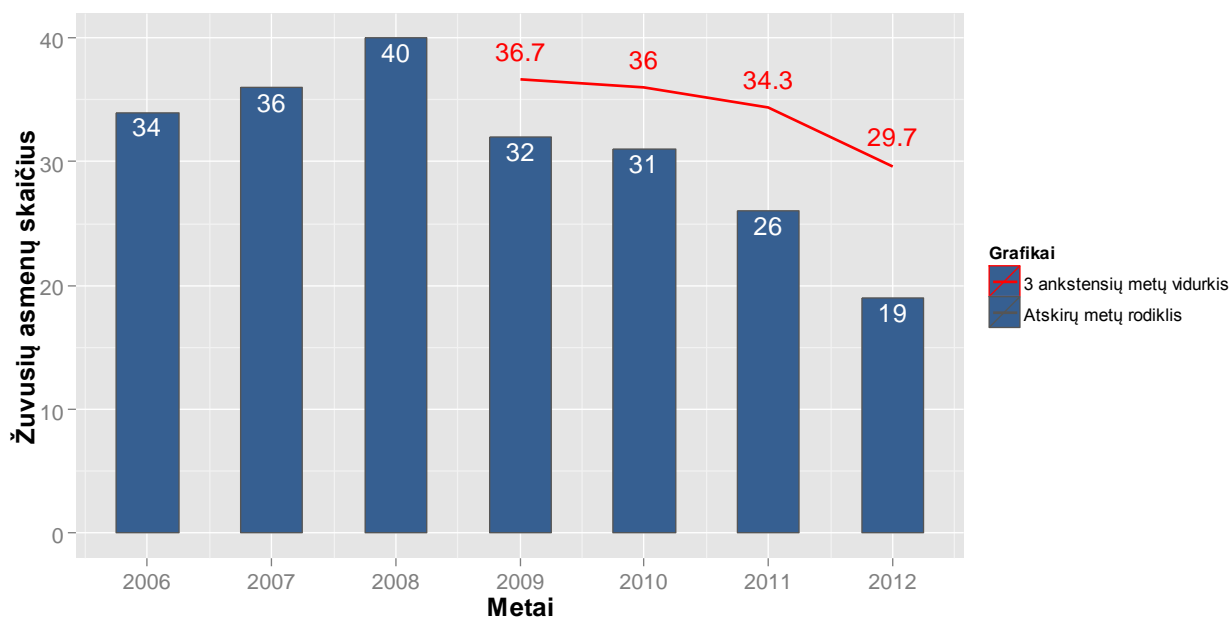


Chart 8. Number of fatalities in 2006 – 2012

Metai	Year
Grafikai	Charts
3 anksmensių metų vidurkis	Average for 3 previous years

Atskirų metų rodiklis	Indicator of separate years
Žuvusių asmenų skaičius	Number of fatalities

Since 2008, the number of fatalities in railway traffic accidents had been decreasing. 2012 was not an exception.

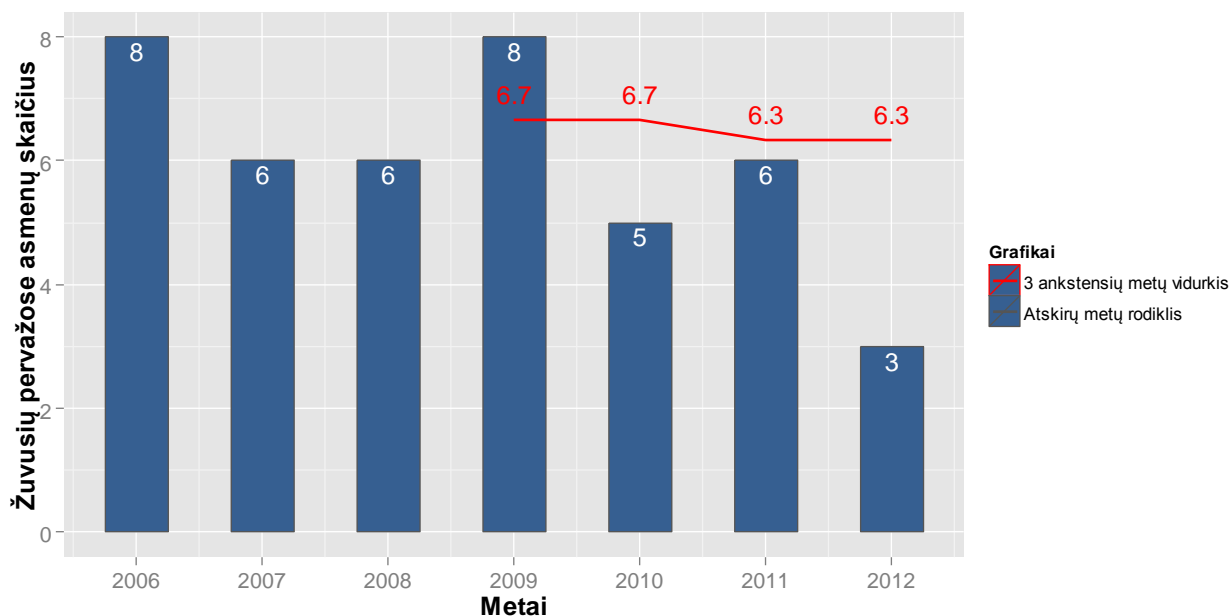


Chart 9. Number of fatalities in level-crossing traffic accidents in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Žuvusių pervažose asmenų skaičius	Number of fatalities in level-crossing traffic accidents

Changes in the number of fatalities in level-crossing traffic accidents do not have any clear trends, but it is possible to observe a decrease in fatalities after 2010 as compared to 2006 – 2009.

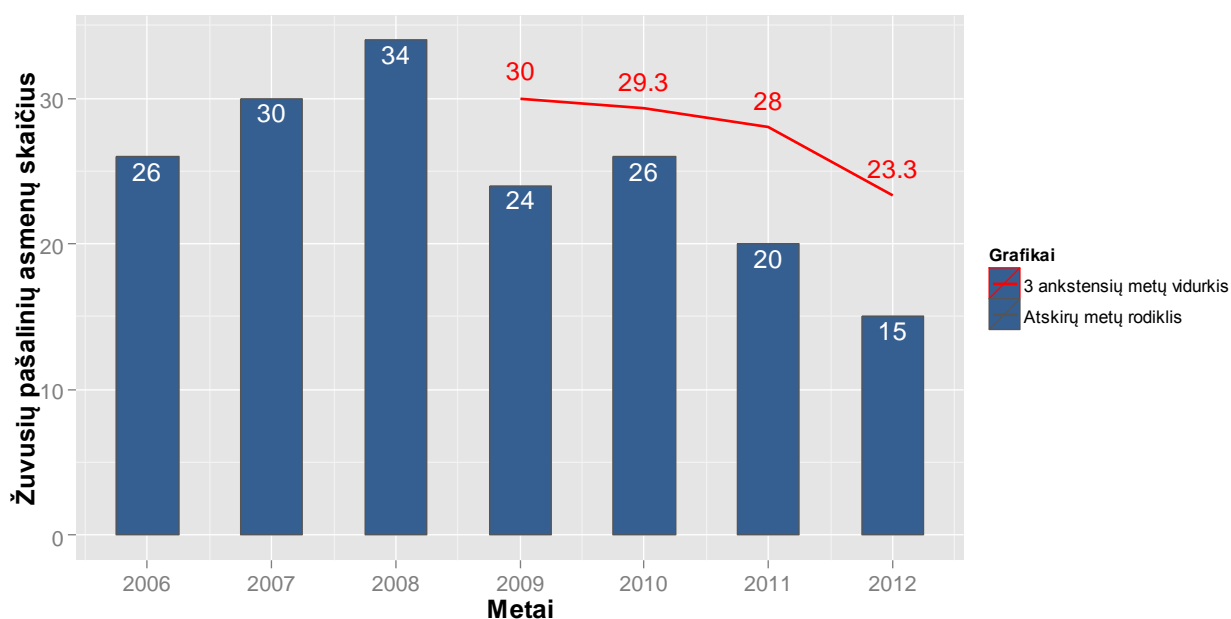


Chart 10. Number of fatalities among unauthorised persons in 2006 – 2012

Metai	Year
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Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Žuvusių pašalinių asmenų skaičius	Number of fatalities among unauthorised persons

The number of fatalities among unauthorised persons, similarly to the total number of fatalities, had been decreasing since 2008 in quite a stable manner.

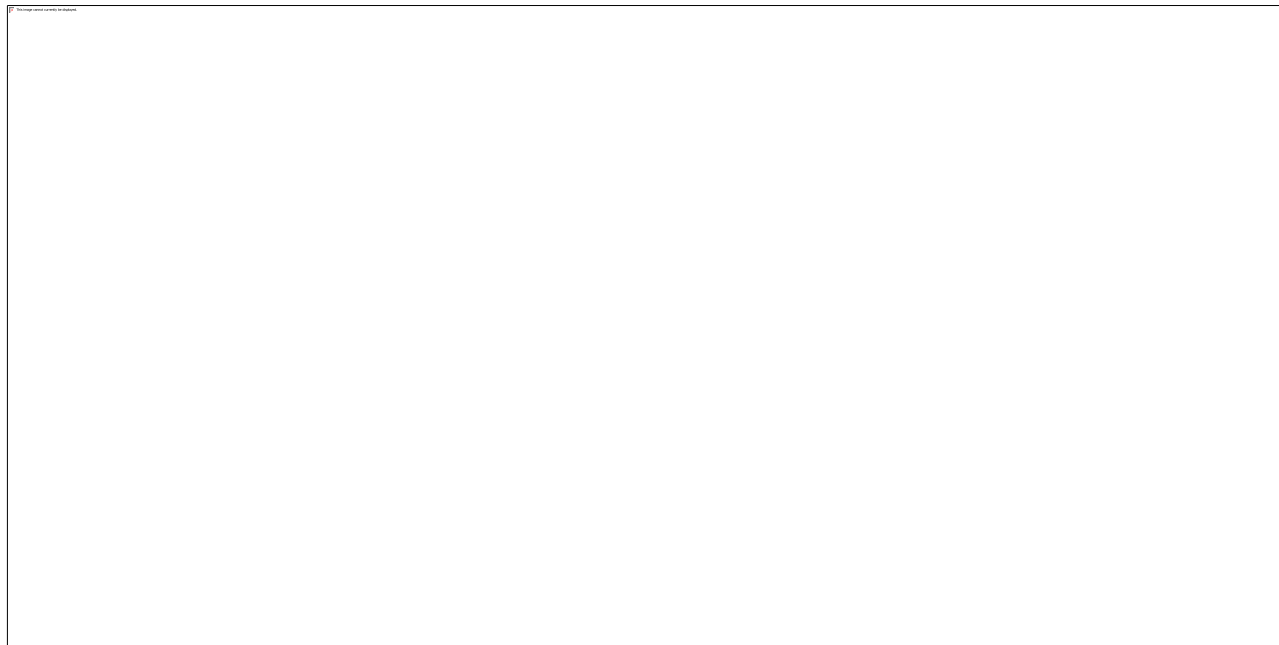


Chart 11. Number of injured persons in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Sužeistų asmenų skaičius	Number of injured persons

The number of injured persons tends to decrease and in 2012 it was by 20% lower than in 2011.

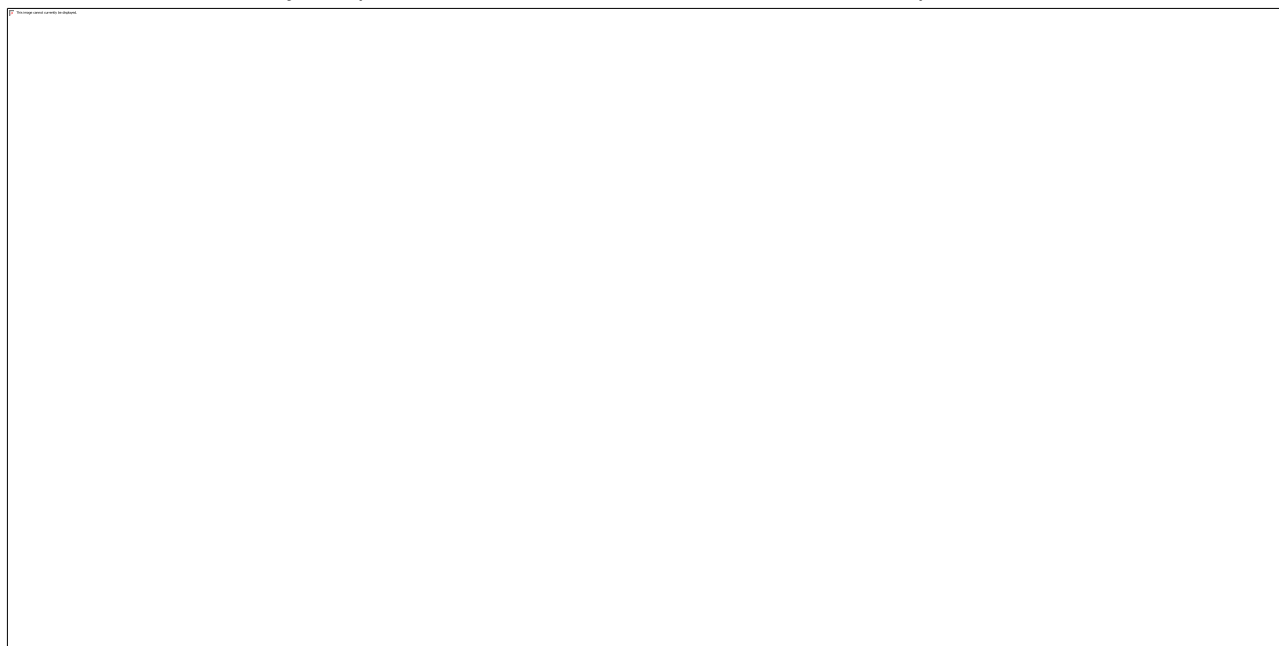


Chart 12. Number of persons injured in level-crossing traffic accidents in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Sužeistų pervažose asmenų skaičius	Number of persons injured in level-crossing traffic accidents

The number of persons injured in level-crossing traffic accidents had been decreasing in 2006-2010 consistently and stabilised at a level of two injured persons from 2011 onwards. In 2012, the number of persons injured in level-crossing traffic accidents did not change compared to 2011.

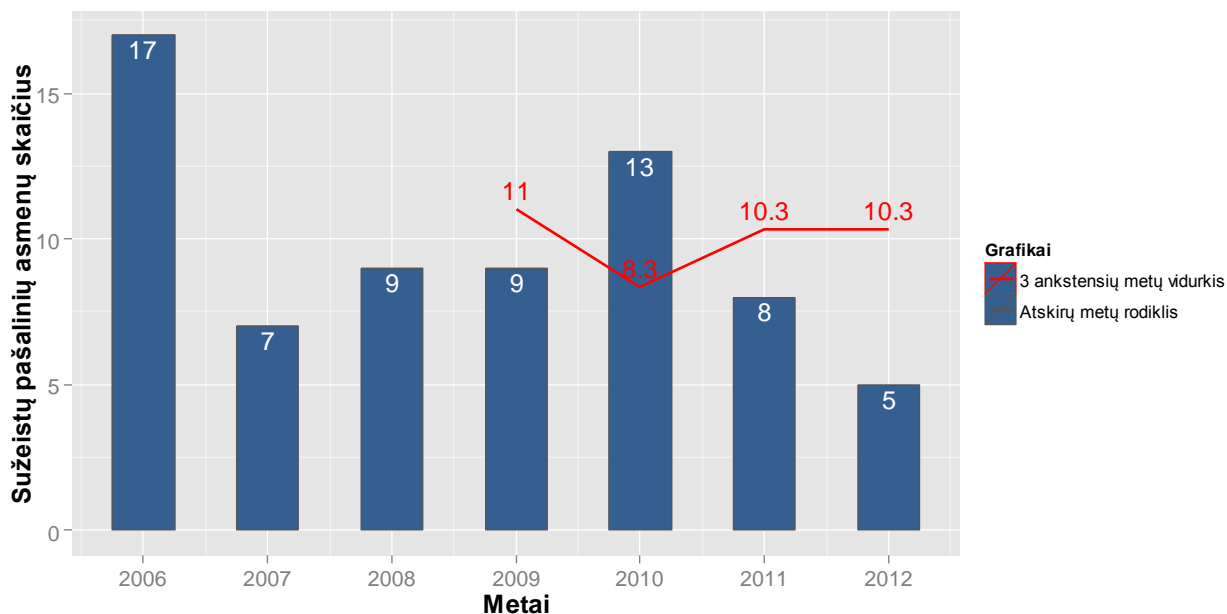


Chart 13. Number of injuries among unauthorised persons in 2006 – 2012

Metai	Year
Grafikai	Charts
3 ankstusių metų vidurkis	Average for 3 previous years
Atskirų metų rodiklis	Indicator of separate years
Sužeistų pašalinių asmenų skaičius	Number of injuries among unauthorised persons

The number of injuries among unauthorised persons had been changing without clearer trends; however, it is possible to notice a lower average number of injuries when comparing the periods of 2006-2008 and 2009-2011. In 2012, the number of injuries decreased by 44.4% compared to 2011.

D.3. Results of traffic safety measures (recommendations)

Table 4. Results of the recommendations

Item No	Description of the traffic safety measure (recommendation)	Implementation (status) of the traffic safety measure (recommendation)
1.	To amend the Rules for the Equipping and Use of Crossings approved by Order No 3-36 of the Minister of Transport and Communications of the Republic of Lithuania "Regarding the approval of the Rules for the Equipping and Use of Crossings", indicating therein that those crossings which are situated at approach and station tracks and have heavy intensity of road traffic should be additionally equipped with barriers acting simultaneously with the crossing traffic	Currently, a draft amendment of the Rules for the Equipping and Use of Crossings aimed at equipping signal-controlled non-guarded crossings is being drawn up.

	light signalling to be operated by the shunting master or locomotive crew.	
2.	Taking into account the fact that the traffic light regulating road transport traffic and the crossing traffic light operate synchronously, it is necessary to eliminate the supplementary section that allows turning right when the prohibiting road transport traffic regulating light signal is on.	Implemented.
3.	<p>1. To determine the expediency of the drawing-up of as-built documentation when welders perform thermit welding operations. With regard to the expediency, if necessary, to draw up the components of the as-built documentation.</p> <p>2. To compare the thermit welding technological process described in Methodological Instructions K/114 drawn up by AB Lietuvos Geležinkeliai (Lithuanian Railways) with the description of the thermit welding technological process drawn up by the manufacturer of the thermit mix, Elektro Thermit GmbH & Co KG. With regard to the expediency, to present proposals to AB Lietuvos Geležinkeliai (Lithuanian Railways) regarding the updating of Methodological Instructions K/114.</p>	Under analysis (measures are being determined).
4.	To determine measures improving the stopping efficiency at Vaidotai Railway Station, Track 3 in the case of unfavourable meteorological conditions. After the determination of the measures, to present recommendations to Vaidotai Railway Station.	Under analysis (measures are being determined).

In 2012, the Inspectorate, in performing inspections of railway undertakings (carriers) and railway infrastructure managers, did not present any statements of obligation (recommendations) aimed at the elimination of detected infringements of legal acts regulating traffic safety.

E. CHANGES IN TRAFFIC SAFETY REGULATING LEGISLATION INTRODUCED IN 2012

Essential changes in legal acts and details thereof (the name of the legal acts, adoption date, reasons for the adoption or amendment of legal acts, and brief description of the provisions of the legal act) are set forth in Appendix D.

F. IMPROVEMENT OF THE ISSUANCE OF SAFETY CERTIFICATES AND/OR SAFETY AUTHORISATIONS

- 1) Order No 3-300 of the Minister of Transport and Communications of the Republic of Lithuania dated 11 September 2007 'Regarding the amendment of Order No 3-37 of the Minister of Transport and Communications of the Republic of Lithuania dated 23 January 2003 "Regarding the approval of the Rules for the Certification of Safety Certification of Railway Undertakings (Carriers) and Railway Infrastructure Managers"' – entered into force on 15 September 2010.
- 2) Order No 3-300 of the Minister of Transport and Communications of the Republic of Lithuania dated 11 September 2007 'Regarding the amendment of Order No 3-37 of the Minister of Transport and Communications of the Republic of Lithuania dated 23 January 2003 "Regarding the approval of the Rules for the Certification of Safety Certification of Railway Undertakings (Carriers) and Railway Infrastructure Managers"' – entered into force on 15 September 2010.
- 3) Legislation related to the issuance of Safety Certificates and/or Safety Authorisations are provided in the public database of the Seimas (Parliament) of the Republic of Lithuania⁵ and on the internet site of the Inspectorate⁶. The internet site of the Inspectorate⁷ provides information on legal acts regulating the issuance of Safety Certificates and Safety Authorisations as well as presents the form of the request for the issuance of a Safety Certificate or Safety Authorisation, indicates the amount of the charge for the issuance and replacement of a Safety Certificate and Safety Authorisations, provides a list of railway undertakings (carriers) and railway infrastructure managers holding Safety Certificates or Safety Authorisations and blank forms of issuable Safety Certificates and Safety Authorisations.

F.1. Procedural aspects of Safety Certificates and/or Safety Authorisations

F.1.1. Safety Certificate – Part A

F.3.1.1. In 2012, no updates of Safety Certificates – Part A were made.

F.3.1.2. In 2012, no infringements of the deadlines for the issuance of Safety Certificates – Part A were made.

F.3.1.3. In 2012, there were no queries from other NSAs regarding the check (receipt) of information on Safety Certificates – Part A issued by the Inspection when a railway undertaking applies for the issuance of Safety Certificates – Part B.

F.3.1.4. No problems related to mutual recognition of Safety Certificates – Part A were encountered in 2012.

F.3.1.5. The state fee for the issuance of Safety Certificates – Part A charged by the Inspectorate amounts to LTL 817 (~ EUR 233).

F.3.1.6.-3.1.7. In 2012, the indicated problems were not encountered.

F.3.1.8. In 2012, the indicated problems were not encountered and no observations from railway undertakings (carriers) were received.

⁵ http://www3.lrs.lt/dokpaieska/forma_1.htm;

⁶ <http://www.vgi.lt/lt/teisine-informacija>;

⁷ <http://www.vgi.lt/lt/paslaugos/sertifikavimas>.

F.3.1.8. At the end of 2012, a consumer satisfaction survey was conducted, during which railway undertakings (carriers) could present their observations and complaints regarding the services provided by the Inspectorate. This survey showed that 98% of those surveyed were satisfied with the services provided by the Inspectorate and no complains in regard to the quality of services provided were received. Railway undertakings (carriers) are granted the right to present complaints regarding the activities carried out by the Inspectorate at any time.

F.1.2. Safety Certificate – Part B

F.3.2.1. In 2012, one Safety Certificate – Part B was updated. The Safety Certificate – Part B was updated on the basis of the application of a railway undertaking (carrier) for operating railways other than those specified in the Safety Certificate – Part B.

F.3.2.2. In 2012, no infringements of the deadlines for the issuance of Safety Certificates – Part B were made.

F.3.2.3. The state fee for the issuance of Safety Certificates – Part B charged by the Inspectorate amounts to LTL 442 (~ EUR 126).

F.3.2.4.-3.2.6. In 2012, the indicated problems were not encountered and no observations from railway undertakings (carriers) were received.

F3.2.7. At the end of 2012, a consumer satisfaction survey was conducted, during which railway undertakings (carriers) could present their observations and complaints regarding the services provided by the Inspectorate. This survey showed that 98% of those surveyed were satisfied with the services provided by the Inspectorate and no complains in regard to the quality of services provided were received. Railway undertakings (carriers) are granted the right to present complaints regarding the activities carried out by the Inspectorate at any time.

F.1.3. Safety Authorisations – Part A and/or B

F.3.3.1. In 2012, no updates (amendments) of Safety Authorisations – Part(s) A and/or B were made.

F.3.3.2. In 2012, no infringements of the deadlines for the issuance of Safety Authorisations – Part(s) A and/or B were made.

F.3.3.3.-3.3.4. In 2012, the indicated problems were not encountered and no observations from railway infrastructure managers were received.

F.3.3.5. At the end of 2012, a consumer satisfaction survey was conducted, during which railway infrastructure managers could present their observations and complaints regarding the services provided by the Inspectorate. This survey showed that 98% of those surveyed were satisfied with the services provided by the Inspectorate and no complains in regard to the quality of services provided were received. Railway infrastructure managers are granted the right to present complaints regarding the activities carried out by the Inspectorate at any time.

F.3.3.6. The state fee for the issuance of Safety Authorisations – Part A charged by the Inspectorate amounts to LTL 818 (~ EUR 234); state fee for the issuance of Safety Authorisations – Part B amounts to LTL 443 (~ EUR 127).

G. SUPERVISION OF RAILWAY UNDERTAKINGS AND RAILWAY INFRASTRUCTURE MANAGERS

G.1. Principles of the exercise of supervision

The Inspectorate exercises the supervision of railway infrastructure managers and railway undertakings (carriers) by means of performing scheduled and unscheduled inspections.

Scheduled inspections are performed according to the List of Economic Entities to be Inspected approved by the Head of the Inspectorate and the following activities are inspected during such inspections:

- licensed activities of railway transport;
- Activities in observance the requirements of the Safety Certificate and/or Safety Authorisations;
- Activities of the technical maintenance of structures;
- Activities of the economic entity whose activities are related to the transport of dangerous goods by railway;
- Certified activities of technical maintenance entities responsible for the technical maintenance of freight wagons;
- Certified activities of persons performing the technical maintenance of railway rolling stock.

Unscheduled inspections may be performed:

- Upon receipt of a reasoned written request or instruction of another competent public administration entity to perform an inspection of the activities of an economic entity, or a request of another competent governmental institution;
- When there is information or substantiated suspicions arise in respect of the activities of an economic entity which may contradict legal acts or fail to comply with requirements of legal acts;
- In order to ensure that the infringements of legal acts detected during the previous inspection of the activities of an economic entity have been eliminated and that the decisions made by the Inspectorate have been implemented;
- If the grounds for an unscheduled inspection are established by law or a legal act adopted by the Government.

G.2. SUPERVISION OF RAILWAY UNDERTAKINGS AND RAILWAY INFRASTRUCTURE MANAGERS

In 2012, the Inspectorate performed 71 inspections of railway undertakings (carriers) and railway infrastructure managers unrelated to the issuance of Safety Certificates – Part(s) A and/or B and issuance of Safety Authorisations. No inspections of licensed activities were performed.

Table 5. Number of inspections of railway undertakings and railway infrastructure managers performed in 2012, by types

Inspections		In relation to the issued Safety Certificates – Parts A	In relation to the issued Safety Certificates – Parts B	In relation to the issued Safety Authorisations	Other inspections (railway undertakings (carriers)/railway infrastructure managers are inspected in terms of the general railway traffic safety control)
3. The number of inspections of railway undertakings (carriers) and railway infrastructure managers in 2012	Scheduled	-	-	-	54
	Unscheduled	-	-	-	17
	Performed	-	-	-	71

The following aspects were in focus when performing the inspections:

- 1) Inspections of the technical maintenance of railway structures – 44;
- 2) Inspections of undertakings whose activities are related to the transport of dangerous goods by railway – 18;
- 3) Inspections of railway traffic organisation and management – 1;
- 4) Inspections of documentation – 1.

The total number of inspections of railway undertakings (carriers) and railway infrastructure managers is lower than the number of inspections by separate areas because inspections of several types, e.g. railway infrastructure and railway traffic organisation and management, may be performed during an inspection of one railway undertaking (carrier) or railway infrastructure manager.

Inspections are carried out by inspectors and specialists of the Traffic Safety Control Division (~ 30% of the employees of the Inspectorate).

In 2012, 59 infringements of legal acts regulating traffic safety were established as a result of the inspections carried out. Fines amounting to EUR 2 193 were imposed on entities in 2012 for failure to adhere to or improper execution of requirements established in legal acts.

G.3. Implementation of the legal aspects of the submission of safety reports by railway undertakings (carriers) and railway infrastructure managers according to Article 9(4) of Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004

In the implementation of Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways and amending Council Directive 95/18/EC on the licensing of railway undertakings and Directive 2001/14/EC on the allocation of railway infrastructure capacity and the levying of charges for the use of railway infrastructure and safety certification, the Order of the Head of the Inspectorate "Regarding Traffic Safety Reports to be submitted by railway infrastructure managers and railway undertakings (carriers)" was adopted on 28 March 2007 (Official Gazette (Valstybės žinios), 2007, No 37-1412; 2013, No 70-3654). By this Order, railway infrastructure

managers and railway undertakings (carriers) are obligated to submit to the Inspectorate, before 30 June of each calendar year, an annual traffic safety report concerning the preceding calendar year that shall specify the following:

- 1) The results (quantitative and qualitative evaluation criteria) of the implementation of traffic safety targets and plans for achieving those targets set in the traffic safety management system of the railway infrastructure manager or railway undertaking (carrier);
- 2) Common Traffic Safety Indicators, values, and data on the improvement of Common Safety Indicators;
- 3) The results and conclusions of internal traffic safety auditing;
- 4) Observations on deficiencies and malfunctions of railway infrastructure operations and management.

G.4. Observations (complaints) of railway undertakings (carriers) and railway infrastructure managers on mutual cooperation

By Order No 37-1412 of the Head of the Inspectorate 'Regarding Traffic Safety Reports to be submitted by railway infrastructure managers and railway undertakings (carriers)' dated 28 March 2007 (Official Gazette (Valstybės žinios), 2007, No 37-1412), railway infrastructure managers and railway undertakings (carriers) are obligated to submit to the Inspectorate, before 30 June of each calendar year, an annual traffic safety report concerning the preceding calendar year, wherein, in addition to other data, they shall provide observations on deficiencies and malfunctions of railway infrastructure operations and management.

Within its competence, the Inspectorate provided consultations and methodological assistance to economic entities. Consultations on projects for structures and clarification of requirements of legal acts were provided each time with regard to the compliance of queries and requests provided by the economic entities with requirements of legal acts. The legal acts that required most of the consultations were related to requirements for safety management systems, certification of technical maintenance entities, train driver certification system and construction projects.

In 2012, railway infrastructure managers and railway undertakings (carriers) did not provide any observations on deficiencies and malfunctions of railway infrastructure operations and management to the Inspectorate.

H. Report on the application of a common safety method (CSM) on risk evaluation and assessment

1. The common safety method (CSM) on risk evaluation and assessment is applied in accordance with the requirements established in Commission Regulation (EC) No 352/2009 of 24 April 2009 on the adoption of a common safety method on risk evaluation and assessment as referred to in Article 6(3)(a) of Directive 2004/49/EC of the European Parliament and of the Council (hereinafter referred to as 'the Regulation'). The Regulation in respect of Article 10(2) has been applied to the fullest extent since 1 July 2012; however, by that date, it had been applied to:

- All important technical changes influencing transport vehicles as defined in Article 2(c) of Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (hereinafter referred to as 'the Directive');
- All important changes of structural subsystems required in accordance with Article 15(1) of the Directive or in accordance with the Technical Specifications for Interoperability (TSI).

Pursuant to Article 6(3) and (4) of the Regulation, in 2012 the Inspectorate started participating in the risk evaluation and assessment processes carried out by railway undertakings (carriers) and railway infrastructure managers as an independent valuator. In analysing the results of risk evaluation and assessment, the Inspectorate seeks to find out whether this process is performed in accordance with the requirements established in the Regulation and issues the Safety Assessment Report wherein the results of the risk evaluation and assessment process are set out. In 2012, the risk evaluation and assessment of the following significant changes that have an impact on safety in the railway system were started:

- The equipping of the Global System for Mobile Communications – Railway (GSM-R) to cover the whole railway infrastructure of the Republic of Lithuania;
- The equipping of a pedestrian crossing at the station-to-station section Vilnius – Paneriai 8km 6pk (7+600 km);
- The reconstruction of the railway line Vilnius – Kena – Lithuanian/Belarusian state border of Corridor IX.

Railway undertakings (carriers) and railway infrastructure managers perform the primary assessment of significant changes in the railway system that have an impact on safety in order to find out the significance of the change according to the criteria set out in Article 4(2) of the Regulation. In this case, the implementing entity of the technical, operational or organisational change of the railway system shall make a decision on the significance of the change and shall keep proper documents evidencing its decision.

- The railway undertaking (carrier) or railway infrastructure manager makes a decision that the change in the railway system it executes is not significant – the Inspectorate familiarises itself with the decision while evaluating the documentation evidencing the decision;
- The railway undertaking (carrier) or railway infrastructure manager makes a decision that the change in the railway system it executes is significant – the risk evaluation and assessment processes in accordance with the requirements of Appendix I to the Regulation is performed.

Pursuant to the requirements of Article 6 of the Regulation, the Inspectorate may carry out an independent process assessment and issue a safety report.

In 2012, there was no separate independent operating assessment body which would comply with the requirements of Appendix II to the Regulation and issue safety assessment reports.

In 2012, the Inspectorate and AB Lietuvos Geležinkeliai (Lithuanian Railways) started to draw up a draft amendment of the Description of the Procedure for the Application of a Common Safety Method approved by Order No J-549 of AB Lietuvos Geležinkeliai (Lithuanian Railways) dated 19 July 2010, whereby it is sought to structurise and precisely define the risk evaluation and assessment process as well to establish the structure of the Hazard Record. By this document, AB Lietuvos Geležinkeliai (Lithuanian Railways) establishes the risk evaluation and assessment process carried out by it as by the railway system change implementing entity, which must comply with the requirements of the Regulation. During joint working meetings with representatives of the Inspectorate, representatives of railway infrastructure managers and railway undertakings (carriers) could present their experience and results in applying the risk evaluation and assessment process. In this way, the Inspectorate evaluated the working results and provided its observations.

I. Alternative measures in certifying entities in charge of maintenance

The Republic of Lithuania, with regard to the fact that a major portion of the railway infrastructure in the Republic of Lithuania has 1 520 mm gauge, has taken into use the exception provided for in Article 14a(8)(b) of Directive 2008/110/EC.

Pursuant to Paragraph 2 of the Rules for the Certification of Entities in Charge of Technical Maintenance approved by Order No 3-431 of the Minister of Transport and Communications of the Republic of Lithuania dated 11 July 2011 (Official Gazette (Valstybės Žinios), 2011, No 96-4529), technical maintenance entities in charge of the technical maintenance of freight wagons shall be certified in accordance with Commission Regulation (EU) No 445/2011 of 10 May 2011 on a system of certification of entities in charge of maintenance for freight wagons and amending Regulation (EB) No 653/2007 (OJ 2011 L 122, p. 22).

Technical maintenance entities in charge of freight wagons used within the 1 520mm gauge railway network (hereinafter referred to as 'the technical maintenance entity') shall be certified in accordance with the aforementioned Rules.

If a freight wagons technical maintenance entity is a railway infrastructure manager or railway undertaking (carrier), the compliance of freight wagons with the established requirements shall be inspected by the national safety authority, which issues Safety Authorisations and Safety Certificates to railway undertakings (carriers). Railway rolling stock managers may obtain a technical maintenance entity certificate when registering rolling stock in the Register of Railway Rolling Stock and Containers of the Republic of Lithuania.

Technical maintenance entities willing to obtain a technical maintenance entity certificate shall establish a freight wagons technical maintenance system which should include technical maintenance organisational measures, assurance measures, control measures and technical maintenance performance measures. This certification system ensures that the entity in charge of maintenance has created its own technical maintenance system and is able to adhere to the requirements established in legal acts to ensure a safe operating condition of freight wagons, for the technical maintenance of which it is responsible.

On 31 December 2012, one 1 520mm gauge freight wagons technical maintenance entity – AB Lietuvos Geležinkeliai (Lithuanian Railways) was certified, to whom a technical maintenance entity certificate was issued on 16 November 2011.

J. Conclusions – priorities of the Annual Traffic Safety Report

The materials presented in the Traffic Safety Report allow for the conclusion that the general traffic safety level improved in 2012 compared to previous years:

- the total number of traffic accidents in 2012 as compared to the average number of traffic accidents during 2009–2011 decreased by 42.2% (from 45 to 26);
- the number of fatalities in 2012 as compared to the average number of fatalities during 2009–2011 went down by 36% (from 29.7 to 19);
- the number of injuries in traffic accidents in 2012 as compared to the average number of injuries in traffic accidents during 2009–2011 is lower by 37.0% (from 12.7 to 8).

It is also necessary to emphasise that the statistical data on traffic accidents involving severe injuries to unauthorised persons for 2010–2012 show that the number of fatalities and severe injuries among users of crossings in level-crossing accidents in 2012 was twice as lower compared to 2010 (it decreased from 40 to 20).

The statistical data on traffic accidents involving fatalities or severe injuries among users of crossings for 2010–2012 show that the number of fatalities and severe injuries among users of crossings in 2012 was 1.6 times lower than in 2010 (it decreased from 8 to 5).

Notwithstanding the improving trends in railway transport traffic safety presented in this chapter, the Inspectorate, seeking to implement the functions commissioned to it, has envisaged the following for 2013:

1) To implement organisational measures in order to ensure that the reference values for the Republic of Lithuania for 2013 established by Commission Decision No 2012/226/EU are not exceeded;

2) In order to optimise the activities of the Inspectorate, to transfer all services provided by the Inspectorate (including certification, licensing and issuance of safety authorisations) to one division;

3) To implement the strategy for the utilisation of the structural support of the European Union for Lithuania for 2007–2013 in the implementation of the project 'The creation of public electronic services for the risk assessment and supervision of railway transport'. The objective is to implement a risk assessment system for railway undertakings (carriers) and railway infrastructure managers and the beginning of the application of a sample risk assessment system model); the technical maintenance of structures and inspections of activities carried out by economic entities will be organised and performed through the information system.

4) To organise and control that the inspections of railway undertakings (carriers) and railway infrastructure managers are carried out with the use of test questions.

K. Sources of Information for the Preparation of the Annual Traffic Safety Report

Data for the Traffic Safety Report for 2012 were obtained by summarising the annual safety reports for 2012 provided by railway undertakings (carriers) and railway infrastructure managers, reports on the transport of dangerous goods by railway, materials related to traffic accidents investigated by the Inspectorate, and data on the performed inspections of railway undertakings (carriers) and railway infrastructure managers.

Appendix A. Information on the structure of the railway system of the Republic of Lithuania

A.1. Chart A.1. Map of the railway network of the Republic of Lithuania

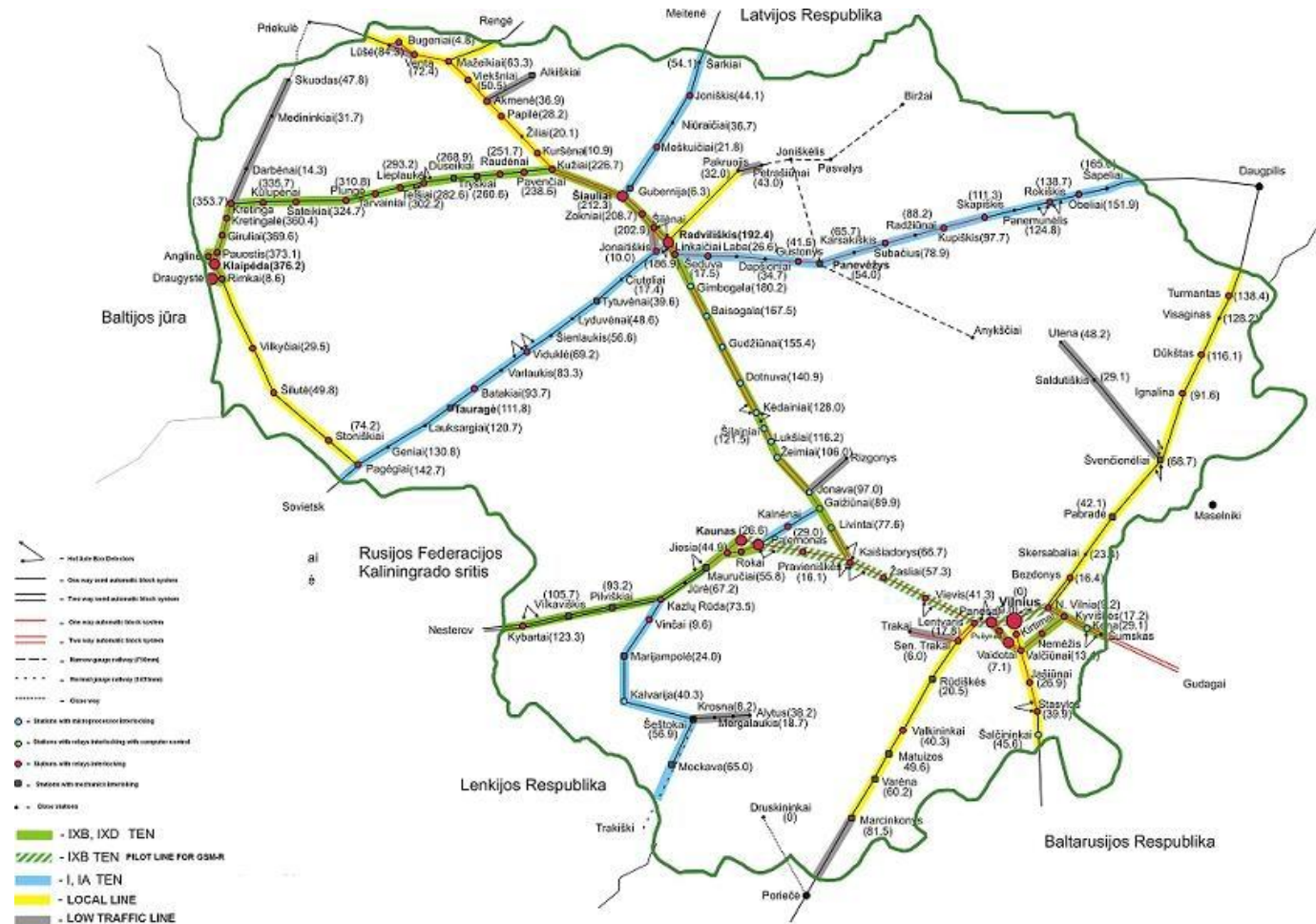


Chart 14. Map of the railway network of the Republic of Lithuania

A.2. List of railway undertakings (carriers) and railway infrastructure managers

A.2.1. Railway undertakings (carriers) as of the end of 2012

No	Name	Address	Website name	Safety Certificate according to Directive 2001/14/EC (number/date)	Safety Certificate according to Directive 2004/49/EC (number/date dd/mm/yy)	Licence issue date	Type of activities (passenger transportation/ freight transportation)	Number of units of traction rolling stock	Number of units of special rolling stock	Number of coaches/ wagons	Number of train drivers	Volume of passengers	Tonnage of freight transport
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.	14.
1.	AB Lietuvos Geležinkeliai (Lithuanian Railways)	Mindaugo 12/14, 03603 Vilnius,	www.litrail.lt	No 000002 23 December 2004; No 000003 23 December 2004	18 December 2008 No Lt1120080001(A) Renewed 16 November 2011 No LT1120110011 (A) 9 February 2009 No Lt1220090001 (B)	18 July 1999	Passenger Freight	510	141	279 (passenger) 9 581 (freight)	788	403 million passengers-km	14172 million tons-km
2.	UAB Unigela	Žilvičių 38A, Vilnius	www.unigela.lt	-	28 September 2010 No LT1120100007 (A) 2 September 2010 No LT1220100004 (B)	2 December 1997	Passenger Freight	1	-	-	3	-	-
3.	UAB Eismina	Vytienio 18-24, Kaunas	www.eismina.lt	-	-	29 June 1998	Passenger Freight	-	-	-	-	-	-
4.	UAB Transachema	Jonalaukis Village, Rukla Eldership, Jonava District	www.transachema.lt	No 000001 15 December 2004	15 December 2004 No LT1120100008 (A) 3 January 2011 No LT1220110001 (B)	5 May 2004	Freight	7	2.	1 021	23	-	-
5.	UAB Vestaka	J.Basanavičiaus 11-1, Vilnius	www.vestaka.lt	-	-	24 May 1999	Freight	-	-	-	-	-	-
6.	UAB Bega	Nemuno 2B, Klaipėda	www.bega.lt	-	3 May 2010 No LT1120100001 (A)	24 February 1992	Freight	8	-	9	17	-	-

					11 November 2011 No LT1220110012 (B)								
7.	UAB Klaipėdos Smelte	Nemuno g. 2, Klaipėda	www.smelte.lt	-	28 September 2010 No LT1120100005 (A) 11 November 2011 No LT1220110011 (B)	27 November 2007	Freight	5	-	-	14	-	0.136100 million tkm
8.	UAB Energijos Sistemu Servisas	Taikos pr. 147, Kaunas	www.enss.lt	-	8 April 2011 No LT1120110004 (A) 8 April 2011 No LT1220110005 (B)	27 November 2007	Freight	2	-	-	3	-	0.1157 million tkm
9.	UAB Akmenes Cementas	J. Dalinkevičiaus g. 2, Naujoji Akmenė	www.cementas.lt	-	30 June 2011 No LT1120110006 (A) 11 November 2011 No LT1220110013 (B)	21 January 2008	Freight	6	4	194	16	-	30.000296 million tkm
10.	UAB Gargždų Geležinkelis	Gamyklos g. 21, Gargždai	www.gargzdugelezinkelis.lt	-	30 December 2011 No LT1120110013 (A) 30 December 2011 No LT1220110016 (B)	21 January 2008	Freight	13	2	4	7	-	1.814 million tkm
11.	UAB Nilma	Nemaunų g. 31, Kaunas	www.nilma.com	-	8 April 2011 No LT1120110001 (A) 08 April 2011 No LT1220110002 (B)	21 January 2008	Freight	1	-	-	1	-	0.00005193 million tkm
12.	UAB Geležinkelio Tiesimo Centras	Trikampio g. 10, Lentvaris, Traku raj.	www.gtc.w3.lt	-	3 May 2010 No LT1120100002 (A) 12 July 2010 No LT1220100002 (B)	12 March 2008	Freight	-	30	174	56	-	-
13.	UAB Vakarų Krova	Minijos g. 180, Klaipėda	www.wsy.lt	-	24 August 2011 No LT1120110007 (A) 09 September 2011	12 March 2008	Freight	2	-	-	5	-	-

					No LT1220110008 (B)								
14.	UAB Granitine Skalda	Granito g. 2, Vilnius	www.granitineska.lt	-	28 February 2012 LT1120120001 (A) 28 February 2012 LT1220120001 (B)	16 June 2008	Freight	1	-	-	2	-	0.4549526 million tkm
15.	UAB Vitras-S	Rasu g. 9, Vilnius	www.vitras.lt	-	8 November 2010 No LT1120100003 (A) 8 November 2010 No LT1220100003 (B)	16 June 2008	Freight	1	4	6	5	-	-
16.	UAB Lifosa	Juodkiškio g. 50, Kėdainiai	www.lifosa.com	-	8 April 2011 No LT1120110002 (A) 8 April 2011 No LT1220110003 (B)	16 June 2008	Freight	4	1	60	10	-	-
17.	UAB Vilniaus Gelžbetoninių Konstrukcijų Gamykla No 3	Baltupio g. 3, Vilnius	www.gkg3.lt	-	8 April 2011 No LT1120110003 (A) 8 April 2011 No LT1220110004 (B)	16 June 2008	Freight	1	-	-	2	-	-
18.	UAB Žvyro Karjerai	Verkių g. 29, Vilnius	www.zvyrokarjerai.lt	-	19 September 2012 LT1120120005 (A) 19 September 2012 LT1220120006 (B)	5 December 2008	Freight	1	-	-	1	-	-
19.	UAB Kauno Gelžbetonis	Pramonės pr. 8, Kaunas	www.kaunogelzbetonis.lt	-	11 November 2011 No LT1120110010 (A) 11 November 2011 No LT1220110010 (B)	5 December 2008	Freight	1	-	-	1	-	0.0171735 million tkm
20.	UAB Alkesta	Naujoji g. 118, Alytus	www.alkesta.lt	-	30 June 2011 No LT1120110005 (A) 30 June 2011 No LT1220110007 (B)	5 December 2008	Freight	1	1	-	1	-	-

21.	UAB Kauno Tiekimas	Palemono g. 171, Kaunas	www.kaunotiekimas.lt	-	20 October 2011 No LT1120110009 (A) 20 October 2011 No LT1220110009 (B)	21 January 2009	Freight	1	-	-	2	-	-
22.	UAB Lietuva Statoil	Jasinskio 16a, Vilnius	www.statoil.lt	-	28 September 2010 No LT1120100006 (A) 8 April 2011 No LT1220110006 (B)	21 January 2009	Freight	1	-	-	2	-	0.2676 million tkm
23.	UAB Perpus	Savanorių pr. 174A, Vilnius	www.perpus.lt	-	-	9 February 2009	Freight	2	-	-	2	-	-
24.	AB Orlen Lietuva	Juodeikiai, 89467 Mažeikiai District	www.orlenlietuva.lt	-	13 December 2011 LT1120110012 (A) 13 December 2011 LT1220110014 (B)	11 February 2009	Freight	-	-	1 263	-	-	-
25.	UAB Agrokoncernas	Užnerio g. 15, LT-47484 Kaunas	www.agrokoncernas.lt	-	19 April 2012 LT1120120003 (A) 19 April 2012 LT1220120003 (B)	24 March 2011	Freight	3	-	-	3	-	2.160974 million tkm
26.	UAB Šiaulių Plentas	Išradėjų g. 11, Šiauliai, Lietuva, LT-78149	www.splentas.lt	-	-	1 July 2011	Freight	-	-	-	-	-	-
27.	UAB Rizgonys	Rizgonys, LT-55495 Jonavos r.	www.rizgonys.lt	-	23 August 2011 LT1120110008 (A)	18 August 2011	Freight	2	-	-	1	-	-
28.	UAB Linas Agro Grūdų Centras KŪB	Smėlynės g. 2C, LT-35143 Panevėžys	www.linasagro.lt	-	13 March 2012 LT1120120002 (A) 13 March 2012 LT1220120002 (B)	9 September 2011	Freight	2	-	-	2	-	-
29.	AB Klovainių Skalda	Klovainiai, LT-83004 Pakruojis r.	www.skalda.lt	-	7 May 2012 LT1120120004 (A) 7 May 2012	5 November 2011	Freight	1	-	-	1	-	4.0 million tkm

					<i>LT1220120004 (B)</i> <i>Renewed</i> <i>29 August 2012</i> <i>LT1220120005 (B)</i>								
30.	UAB Vilniaus Lokomotyvų Remonto Depas	Švitrigailos g. 39 / 16, LT-03209 Vilnius	www.vlrd.lt	-	<i>7 November 2012</i> <i>LT1120120006 (A)</i> <i>7 November 2012</i> <i>LT1220120007 (B)</i>	<i>27 December 2011</i>	<i>Freight</i>	<i>1</i>	<i>-</i>	<i>-</i>	<i>10</i>	<i>-</i>	<i>-</i>
31.	VĮ Ignalinos Atominė Elektrinė	Drūkšiniai Village, Visaginas Municipality	www.iae.lt/	-	-	<i>27 December 2011</i>	<i>Freight</i>	<i>3</i>	<i>5</i>	<i>4</i>	<i>5</i>	<i>-</i>	<i>-</i>
32.	Kooperatyvas Germonių Elevatorius	Pramonės g. 9 A, Rokiškis	www.gerkonys.lt	-	-	<i>6 March 2012</i>	<i>Freight</i>	<i>1</i>	<i>-</i>	<i>-</i>	<i>1</i>	<i>-</i>	<i>-</i>
33.	AB Nordic Sugar Kėdainiai	Pramonės g. 6, LT-57500 Kėdainiai	www.nordicsugar.com	-	<i>26 November 2012</i> <i>LT1120120007 (A)</i> <i>26 November 2012</i> <i>LT1220120008 (B)</i>	<i>20 March 2012</i>	<i>Freight</i>	<i>1</i>	<i>-</i>	<i>-</i>	<i>3</i>	<i>-</i>	<i>0.03 million tkm.</i>
34.	UAB Maltosa	Slėnio g. 9a, LT-18223, Švenčionėliai	www.maltosa.lt	-	-	<i>10 July 2012</i>	<i>Freight</i>	<i>1</i>	<i>-</i>	<i>-</i>	<i>1</i>	<i>-</i>	<i>-</i>

A.2.2. Railway infrastructure managers holding Authorisations in the Field of Traffic Safety as of the end of 2012

No	Name	Address	Website name	Safety authorisation (number and date)	Start date commercial activity	Total track length/gauge	Electrified track length/voltages	Total double/single track length	Total high-speed line track length	Number of automated train blockings	Number of level crossings	Number of traffic light signals
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
1.	AB Lietuvos Geležinkeliai (Lithuanian Railways)	Mindaugo 12/14, 03603 Vilnius	www.litrail.lt	23 December 2004, No 000001 Renewed authorisations: 18 December 2008 LT2120080001 (A) 9 February 2009 LT2220090001 (B)	18 July 1999	1 745.5 km / 1 520 mm; 22.1 km / 1 435 mm	122 km/1 520 mm	2 189 km	-	42.36%	538	4 203
2.	UAB Vitras-S	Liudvinavo g. 1E, LT-02241, Vilnius	www.vitras.lt	11 August 2010, No LT2120100001 (A) LT2220100001 (B)	16 June 2008	(siding railway length) 0.365 km/1 520 mm	-	0.365 km	-	-	-	-
3.	UAB Klaipėda Stevedoring Company Bega	Nemuno 2B, Klaipėda	www.bega.lt	11 August 2010 LT2120100002 (A) 4 November 2011 LT2220110007 (B)	24 February 1992	(siding railway length) 12,88 km/1 520 mm	-	12.88	-	-	22	-
4.	UAB Lietuva Statoil	Jasinskio 16 a, Vilnius	www.statoil.lt	28 September 2010 LT2120100003 (A) 8 April 2011 LT2220110005 (B)	21 January 2009	(siding railway length) 0.808/1 520 mm	-	0.808 km	-	-	1	2
5.	UAB Transachema	Jonaulakis Village, Rukla Eldership, Jonava District	www.transachema.lt	15 December 2010 LT2120100004 (A) 3 January 2011 LT2220110001 (B)	18 July 1999	(siding railway length) 36 km/1 520 mm	-	36 km	-	-	29	-

No	Name	Address	Website name	Safety authorisation (number and date)	Start date commercial activity	Total track length/gauge	Electrified track length/voltages	Total double/single track length	Total high- speed line track length	Number of automated train blockings	Number of level crossings	Number of traffic light signals
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
6.	UAB Nilma	Nemajūnų g. 31, Kaunas	www.nilma.lt	8 April 2011 LT2120110001 (A) 8 April 2011 LT2220110002 (B)	21 January 2008	(siding railway length) 1.706 km/1 520 mm	-	1.706 km	-	-	4	-
7.	AB Vilniaus Gelžbetoninių Konstrukcijų Gamykla No 3	Šaltupio g. 3, Vilnius	www.gkg3.lt	8 April 2011 LT2120110003 (A) 8 April 2011 LT2220110004 (B)	16 June 2008	(siding railway length) 2.518 km/1 520 mm	-	2.518 km	-	-	5	-
8.	AB Lifosa	Juodiškio g. 50, Kėdainiai	www.lifosa.com	8 April 2011 LT2120110002 (A) 8 April 2011 LT2220110003 (B)	16 June 2008	(siding railway length) 17.86 km)	-	17.86 km	-	-	19	-
9.	UAB Alkesta	Naujoji g. 118, Alytus	www.alkesta.lt	30 June 2011 LT2120110004 (A) 30 June 2011 LT2220110006 (B)	5 December 2008	(siding railway length) 1.818 km/1 520 mm)	-	1.818 km	-	-	-	-
10.	AB Akmenės Cementas	J. Dalinkevičiaus g. 2, Naujoji Akmenė	www.cementas.lt	30 June 2011 LT2120110006 (A) 4 November 2011 LT2220110008 (B)	21 January 2008	(siding railway length) 44.796 km/1 520 mm)	-	44.796 km	-	-	10	-
11.	UAB Cemeka	Geologų g. 6b, Vilnius	www.cemeka.lt	30 June 2011 LT2120110005 (A)	-	(siding railway length) 1.01km/ 1 520 mm)	-	1.01 km	-	-	-	-

No	Name	Address	Website name	Safety authorisation (number and date)	Start date commercial activity	Total track length/gauge	Electrified track length/voltages	Total double/single track length	Total high- speed line track length	Number of automated train blockings	Number of level crossings	Number of traffic light signals
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
12.	UAB Granitinė Skalda	Granito g. 2, LT- 02241 Vilnius	www.granitineskalda.lt	28 February 2012 LT2120120001 (A) 28 February 2012 LT2220120001 (B)	16 June 2008	(siding railway length) 2.04 km/1 520 mm)	-	2.04 km	-	-	2	-
13.	UAB Linas Agro Grūdų Centras KŪB	Smėlynės g. 2C, LT- 35143 Panevėžys	www.linasagro.lt	13 March 2012 LT2120120002 (A) 13 March 2012 LT2220120002 (B)	9 September 2011	(siding railway length) 2.5 km/1 520 mm)	-	2.5 km	-	-	4	-
14.	AB Klovainių Skalda	Klovainiai, LT-83004 Pakruojo r.	www.skalda.lt	07 May 2012 LT2120120003 (A) 7 May 2012 LT2220120003 (B)	5 November 2011	(siding railway length) 11.294 km/1 520 mm)	-	11.294 km	-	-	2	-
15.	UAB Vilniaus Lokomotyvų Remonto Depas	Švitrigailos g. 39 / 16, LT-03209 Vilnius	www.vlrd.lt	07 November 2012 LT2120120004 (A) 7 November 2012 LT2220120004 (B)	27 December 2011	(siding railway length) 5.834 km/1 520 mm)	-	5.834 km	-	-	-	-
16.	LKAB Klaipėdos Smeltė	Nemuno g. 24, LT- 93277 Klaipėda	www.smelte.lt	13 November 2012 LT2120120005 (A) 13 November 2012 LT2220120005 (B)	27 November 2007	(siding railway length) 13.67 km/1 520 mm)	-	13.67 km	-	-	11	-

Appendix B. Organisational chart of the National Safety Authority

B.1. Organisational chart of the State Railway Inspectorate under the Ministry of Transport and Communications

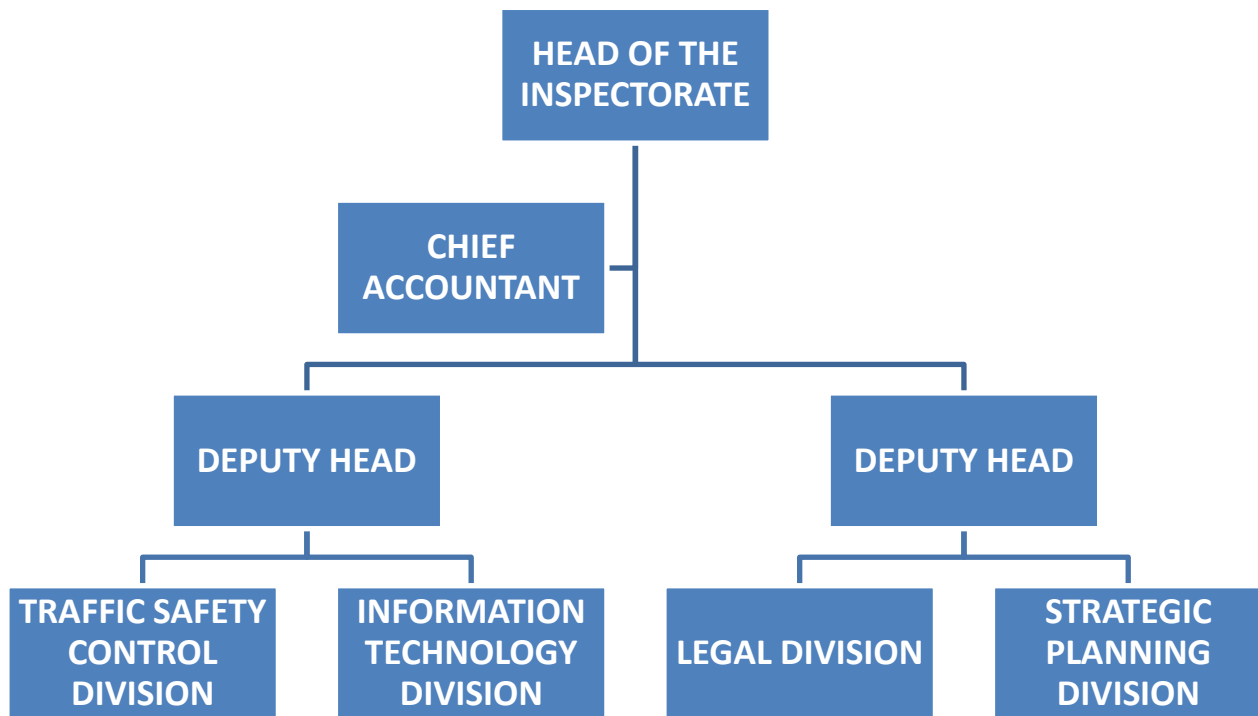


Chart 15. Organisational chart of the State Railway Inspectorate under the Ministry of Transport and Communications

B.2. Interaction with other national institutions and railway transport entities

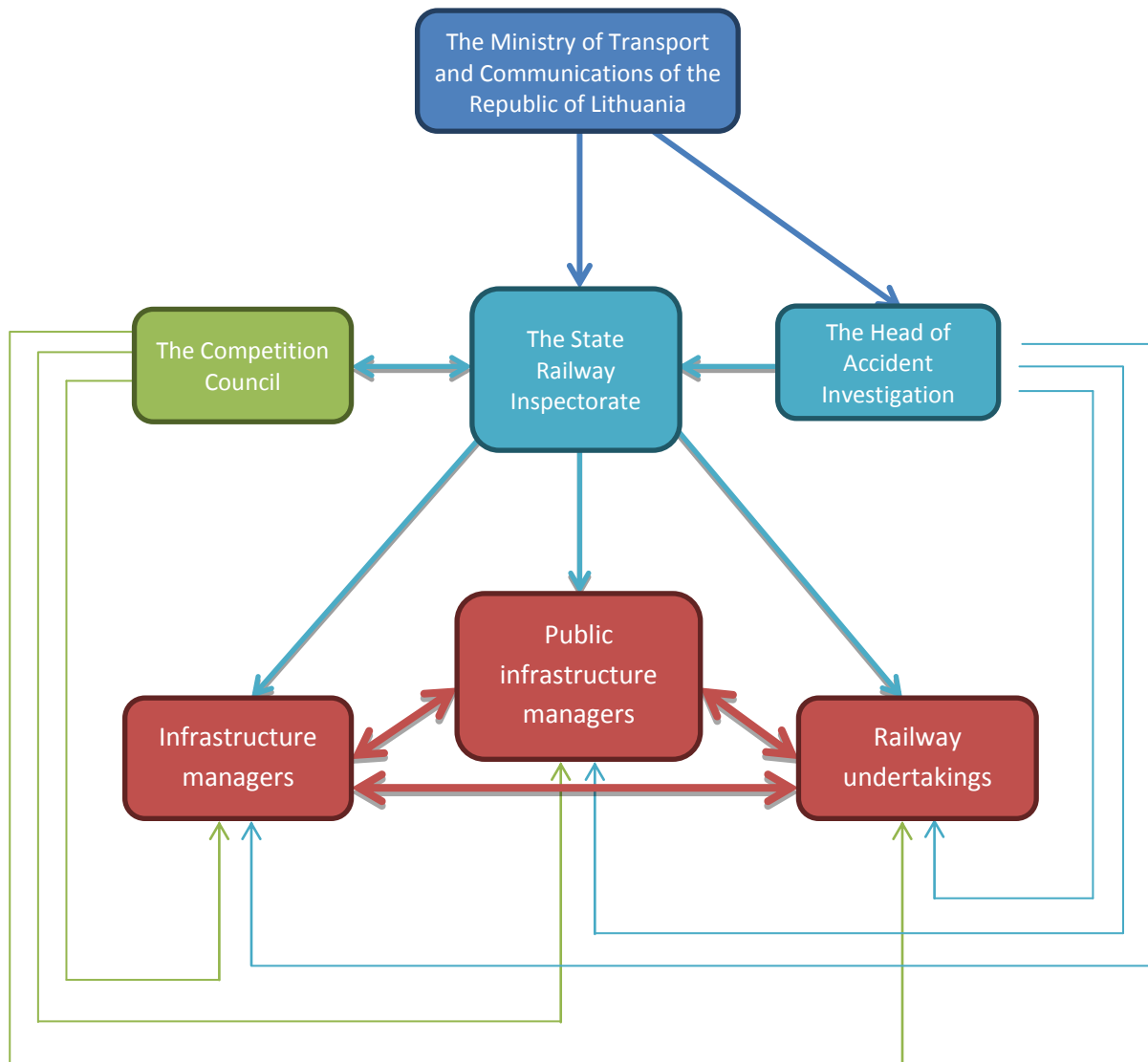


Chart 16. Interaction with other national institutions and railway transport entities

Appendix C. Definitions applied in the Traffic Safety Report are referred to in the following documents:

1. The Code of Railway Transport of the Republic of Lithuania (Official Gazette (Valstybės Žinios), 2004, No 72-2487)
http://www3.lrs.lt/pls/inter3/dokpaieska.showdoc_l?p_id=279293).
2. The Law of the Republic of Lithuania on Railway Traffic Safety (Official Gazette (Valstybės Žinios), 2004, No 4-27)
http://www3.lrs.lt/pls/inter3/dokpaieska.showdoc_l?p_id=398022).
3. Order No 3-238 of the Minister of Transport and Communications dated 12 June 2006 “Regarding the establishment of general traffic safety indicators” (Official Gazette (Valstybės Žinios), 2006, No 70-2604; 2010, No 83-4399; 2010, No 96-5000; 2011, No 74-3576)
http://www3.lrs.lt/pls/inter2/dokpaieska.showdoc_l?p_id=279013).

Appendix D. Important changes in legislation and regulation

Table 8. Important changes in legislation and regulation

Area	Name of legal act	Date of adoption (amendment) of the legal act	Reason for the adoption (amendment) of the legal act	Brief description of the provisions of the legal act
1. Legislation related to railway transport	The Law of the Republic of Lithuania on the Grounds of Transport Activities (Lietuvos Aidas, 1991, No 215; Official Gazette (Valstybės Žinios), 2012, No 136-6955)	8 November 2012 (amendment)	-	Supplementation of the legal act with the definition of the term “electronic passenger transport ticket”.
	The Resolution of the Government of the Republic of Lithuania ‘Regarding the amendment of the Resolution of the Government of the Republic of Lithuania No 611 dated 19 May 2004 “Regarding the approval of the Rules for the Appropriation of the Capacities of the Public Railway Infrastructure”’ (Official Gazette (Valstybės Žinios), 2004, No 83-3019; 2006, No 23-754)	21 March 2012 (amendment and supplementation)	-	Amendment of the preamble of the legal act with indication of the legal act to be implemented. New definitions of terms were provided. Amendments related to the rights and obligations of applicants were made.
	The Resolution of the Government of the Republic of Lithuania ‘Regarding the amendment of the Resolution of the Government of the Republic of Lithuania No 610 dated 19 May 2004 “Regarding the approval of the Rules for the Establishment of the Duty for the Use of Public Railway Infrastructure”’ Official Gazette (Valstybės Žinios), 2004, No 83-3018; 2012, No 143-7388)	5 December 2012 (new version of the legal act)	-	The legal act was restated in a new version.
	The Resolution of the Government of the Republic of Lithuania ‘Regarding the amendment of the Resolution of the Government of the Republic of Lithuania No 553 dated 19 May 2010 “Regarding the approval of the Rules for the Consideration of Complaints of Railway Undertakings (Infrastructure)”’ (Official Gazette (Valstybės Žinios), 2010, No 59-2892; 2012, No 23-1065)	15 February 2012 (amendment)	-	Amendment of the preamble of the legal act with indication of the legal act to be implemented. New definitions of terms were provided.

	Order of the State Railway Inspectorate No V-848 dated 4 December 2012 ‘Regarding the approval of the Description of the Procedure for the Examination of and Issuance of Certificates to Safety Specialists in the Transportation of Dangerous Goods’ (Official Gazette (Valstybės Žinios), 2012, No 143-7414)	4 December 2012 (new)	-	The procedure for the acceptance for taking an examination, applications for taking an examination, carrying out of examinations, and issuance and extension of certificates was established.
2. Legislation related to the National Safety Authority	Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-597 dated 11 September 2012 ‘Regarding the Appointment of the National Contact Centre responsible for the control over the implementation of the provisions of Regulation (EC) No 62/2006’ (Official Gazette (Valstybės Žinios), 2012, No 107-5435)	11 September 2012 (new)	-	The State Railway Inspectorate under the Ministry of Transport and Communications was appointed as responsible for the control over the implementation of the provisions of Regulation (EC) No 62/2006.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-7 dated 10 January 2011 “Regarding the approval of the the form of the Report on Administrative Offence and form of the Resolution on Administrative Offence” (Official Gazette (Valstybės Žinios), 2011, No 5-196; 2012, No 5-166)	9 October 2012 (amendment)	-	The new form of the Report on Administrative Offence was approved.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications ‘Regarding the amendment of Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-57 dated 17 February 2011 “Regarding the approval of the Regulations of the Information System for the State Supervision of Railway Transport”’ (Official Gazette (Valstybės Žinios), 2011, No 25-1245; 2012, No 21-1000)	10 February 2012 (amendment and supplementation)	-	The amended provisions are related to the administration, functions and objectives of the IS of the State Railway Inspectorate. The legal act was supplemented with new paragraphs related to data on railway transport traffic safety risk management and noise caused by railway transport as well as functional modules for railway transport traffic safety risk management, control of noise caused by railway transport and technical maintenance of railway structures.

	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications ‘Regarding the amendment of Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-73 dated 19 February 2011 “Regarding the approval of the Regulations for the Data Safety of the Information System for the State Supervision of Railway Transport”’ (Official Gazette (Valstybės Žinios), 2011, No 25-1246; 2012, No 79-4137)	2 July 2012 (amendment)	-	Amendment of the provisions related to the legislation governing the administration of the electronic information of the IS of the State Railway Inspectorate and assurance of its security.
3. Legislation concerning notified bodies, assessors, third-party bodies for the registration, examination of objects, etc.	-	-	-	-
4. Legislation related to railway traffic safety	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-506 dated 2010 “Regarding the approval of the List of the National Traffic Safety Rules”’ (Official Gazette (Valstybės Žinios), 2010, No 99-5154; 2012, No 125-6308)	24 October 2012 (amendment)	-	Amendment of the List of the National Traffic Safety Rules.
	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-547 dated 25 November 2002 “Regarding the Description of the Procedure for the Issuance of Permits to Training Institutions to Train Persons Related to the Carriage of Dangerous Goods by Road, Rail and Inland Waterway Transport”’ (Official Gazette (Valstybės Žinios), 2010, No 114-5105; 2012, No 68-3488)	11 June 2012 (new version of the legal act)	-	The legal act was restated in a new version.

	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'Regarding the amendment of Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-24 dated 28 March 2007 "Regarding traffic safety reports of railway infrastructure managers and railway undertakings (carriers)"' (Official Gazette (Valstybės Žinios), 2007, No 37-1412; 2012, No 70-3654)	20 June 2012 (new version of the legal act)	-	The legal act was restated in a new version.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-244 dated 2 May 2012 'Regarding the establishment of the Procedure for the Drawing-up, Submission and Record of the Activities of Undertakings and Accidents and Incidents in Loading, Filling or Carrying Dangerous Goods by Railways' (Official Gazette (Valstybės Žinios), 2012, No 52-2627)	2 May 2012 (new)	Paragraphs 1.8.3.3 and 1.8.5 of the Annex to the Regulation concerning the International Carriage of Dangerous Goods by Rail ('RID') which forms Appendix C to the Convention concerning International Carriage by Rail ('COTIF') and Paragraphs 1.8.3.3 and 1.8.5 of Annex 2 to the Agreement on International Goods Transport by Rail ('SMGS').	Establishment of the Procedure for the Drawing-up, Submission and Record of the Activities of Undertakings and Accidents and Incidents in Loading, Filling or Carrying Dangerous Goods by Railways.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'Regarding the amendment of Order of the Head [of the State Railway Inspectorate] No V-34 dated 5 July 2005 "Regarding the approval of the Description of the Procedure for the Acknowledgement of Train Driver Training Centres"' (Official Gazette (Valstybės Žinios), 2010, No 58-2865; 2012, No 52-2626)	2 May 2012 (new version of the legal act)	-	The legal act was restated in a new version.

	Order of the State Railway Inspectorate No V-604 dated 27 December 2010 'Regarding the approval of the Rules for Scheduled and Unscheduled Inspections to be performed by the State Railway Inspectorate under the Ministry of Transport and Communications' (Official Gazette (Valstybės Žinios), 2010, No 156-7956; No 63-3165)	20 January 2012 (new version of the legal act)	-	The legal act was restated in a new version.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-441 dated 20 July 2011 'Regarding the approval of the Description of the Procedure for the Evaluation of Applications of Technical Supervisors Seeking to Obtain a Certificate of a Technical Supervisor Responsible for Freight Wagons Used in the 1 520 mm Gauge Railway Network' (Official Gazette (Valstybės Žinios), 2011, No 96-4540; 2012, No 62-3149)	30 May 2012 (amendment)	-	Amendment of the form of application for a technical supervisor certificate established in Appendix 1 to the legal act.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-769 dated 29 October 2012 'Regarding the approval of the requirements for safety assurance in the transportation of special dangerous goods by 1 520 mm gauge railways in the territory of the Republic of Lithuania' Official Gazette (Valstybės Žinios), 2012, No 126-6369)	29 October 2012 (new)	-	Establishment of requirements for safety assurance.
5. Rules concerning Common Safety Indicators and methods	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-238 dated 12 June 2006 "Regarding the approval of the Description of the Establishment of General Traffic Safety Indicators"' (Official Gazette (Valstybės Žinios), 2006, No 70-2604; 2010, No 83-4399; 2012, No 125-6306)	23 October 2012 (amendment)	-	Amendment of the provisions regulating information on general traffic indicators which should be presented in the annual activity report.
6. Rules covering requirements for safety management systems and safety certification of railway	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic	11 June 2012 (amendment) 10 December 2012 (amendment)	-	The legal act specifies the meaning of Safety Authorisations – Part B and specifies the data that should be indicated in ERADIS.

undertakings (carriers)	of Lithuania No 3-37 dated 23 January 2003 “Regarding the approval of the Rules for the Safety Certification of Railway Undertakings (Carriers) and Railway Infrastructure Managers” (Official Gazette (Valstybės Žinios), 2003, No 13-520; 2011, No 121-5760; 2012, No 68-3489; 2012, No 145-7488)			The legal act is also supplemented with the provision that the National Safety Authority shall provide, free of charge, explanations in respect of requirements for the obtaining of a safety certificate provided for in legislation as well as information on documents to be submitted.
	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-297 dated 17 July 2006 “Regarding the approval of the Requirements for Railway Traffic Safety Management Systems”’ (Official Gazette (Valstybės Žinios), 2006, No 81-323; 2012, No 145-7490)	10 December 2012 (amendment)	-	The legal act specifies the purpose of the safety management system and provisions regulating the requirements for the content of the safety management system.
	Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-3 dated 3 January 2012 ‘Regarding the publication of reports on and balance sheets of profit (loss) from the management of separate activities of, and state budget funds received and utilised by the public infrastructure manager and railway (undertakings)’ (Official Gazette (Valstybės Žinios), 2012, No 4-131)	3 January 2012 (new)	Council Directive 91/440/EEC of 29 July 1991 on the development of the Community’s railways (OJ 2004 special edition, Chapter 7, Volume 1, p. 341) as subsequently amended and supplemented.	Establishment of the time when the public infrastructure manager and railway (undertakings) should publish, on their internet sites, reports on and balance sheets of profit (loss) from the management of separate activities of, and state budget funds received and utilised.
7. Rules covering requirements for safety management systems and Safety Authorisation of railway infrastructure managers	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-37 dated 23 January 2003 “Regarding the approval of the Rules for the Safety Certification of Railway Undertakings (Carriers) and Railway Infrastructure Managers”’ (Official Gazette (Valstybės Žinios), 2003, No 13-520; 2011, No 121-5760; 2012, No 68-3489; 2012, No 145-7488)	11 June 2012 (amendment) 10 December 2012 (amendment)	-	The legal act specifies the meaning of Safety Authorisations – Part B and specifies the data that should be indicated in ERADIS. The legal act is also supplemented with the provision that the National Safety Authority shall provide, free of charge, explanations in respect of requirements for the obtaining of a safety certificate provided for

				in legislation as well as information on documents to be submitted.
	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-297 dated 17 July 2006 "Regarding the approval of the Requirements for Railway Traffic Safety Management Systems"' (Official Gazette (Valstybės Žinios), 2006, No 81-323; 2012, No 145-7490)	3	-	The legal act specifies the purpose of the safety management system and provisions regulating the requirements for the content of the safety management system.
	Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-3 dated 3 January 2012 'Regarding the publication of reports on and balance sheets of profit (loss) from the management of separate activities of, and state budget funds received and utilised by the public infrastructure manager and railway (undertakings)' (Official Gazette (Valstybės Žinios), 2012, No 4-131)	3 January 2012 (new)	Council Directive 91/440/EEC of 29 July 1991 on the development of the Community's railways (OJ 2004 special edition, Chapter 7, Volume 1, p. 341) as subsequently amended and supplemented.	Establishment of the time when the public infrastructure manager and railway (undertakings) should publish, on their internet sites, reports on and balance sheets of profit (loss) from the management of separate activities of, and state budget funds received and utilised.
8. Rules covering requirements for rolling stock managers	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-71 dated 2 March 2005 "Regarding the approval of the Rules for the Registration of Railway Rolling Stock and Containers"' (Official Gazette (Valstybės Žinios), 2005, No 34-1109; 2012, No 8-274)	10 January 2012 (amendment)	-	Amendment of the legal act with indication of the language in which documents should be submitted to the register administering institution.
9. Rules concerning requirements for undertakings involved in the performance of technical maintenance and repair of rolling stock	-	-	-	-

10. Rules concerning requirements for the authorisations of placing in service of rolling stock in the Republic of Lithuania, including rules for the exchange of rolling stock between railway undertakings (carriers) and procedures for the registration, acceptance and assessment of rolling stock	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-586 dated 23 December 2004 “Regarding the approval of the Rules for the Establishment and Application of the Requirements of the Interoperability of the Trans-European Railway System”’ (Official Gazette (Valstybės Žinios), 2005, No 6-165; 2012, No 84-4430)	11 July 2012 (amendment)	-	Amendment of the preamble of the legal act as well as of its provisions regulating the content, form and organisation of the operational tests scenario.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications ‘Regarding the amendment of Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-355 dated 9 September 2010 “Regarding the approval of the List of the Documents to be Submitted to the State Railway Inspectorate under the Ministry of Transport and Communications at Each Authorisation Issuance Stage”’ (Official Gazette (Valstybės Žinios), 2010, No 108-5576; 2012, No 33-1619)	13 March 2012 (supplemented)	-	Supplementation with Paragraph 2.7 which provided for a completed table according to Appendix 1. Supplementation with Appendix 1 – the table of the data on rolling stock.
11. Common operating rules of the railway network, including rules relating to traffic organisation and signalling	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 297 dated 20 September 1996 “Regarding the approval of the Provisions for the Technical Operation of Railways”’ (Official Gazette (Valstybės Žinios), 1996, No 98-2251; 2012, No 34-1636)	15 March 2012 (amendment)	-	Amendment of the provisions regulating the installation of traffic lights and signalling and traffic signs.
	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 483 dated 30 December 1997 “Regarding the approval of the Rules for Railway Transport Traffic Signalling”’ (Official Gazette (Valstybės Žinios), 2001, No 93-3290; 2011, No 34-1607; 2012, No 13-580)	24 January 2012 (amendment)	-	Supplementation of the legal act with the description of the meanings of yellow and green lights and amendment of the provisions regulating the meanings of lights of other colours.

	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of [Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-146 dated 25 April 2005 “Regarding] the approval of the List of the Technical Documents Applied by the Republic of Lithuania in Implementing the Essential Requirements for the 1 520 mm Gauge Trans-European Common Railway System”’ (Official Gazette (Valstybės Žinios), 2005, No 56-1931; 2012, No 42-2081; 2012, No 70-3644)	12 April 2012 (amendment) 19 June 2012 (amendment)	-	The legal act was restated in a new version.
12. Rules concerning requirements on staff executing safety critical tasks, including selection criteria, medical fitness and vocational training and certification	Order of the Minister of Transport and Communications of the Republic of Lithuania “Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-163 dated 16 March 2010 “Regarding the approval of the requirements for training programmes for train drivers”’ (Official Gazette (Valstybės Žinios), 2010, No 33-1582; 2012, No 102, No 5200)	27 August 2012 (amendment)	-	Amendment of the provisions regulating the requirements for theoretical and practical knowledge to be possessed by a train driver.
	Order of the Minister of Transport and Communications of the Republic of Lithuania “Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-301 dated 7 May 2010 “Regarding the approval of the Description of the Procedure for the Acquisition of the Right to Operate Rolling Stock”’ (Official Gazette (Valstybės Žinios), 2010, No 55-2725; 2012, No 132-6719)	9 November 2012 (amendment)	-	Amendment of the provisions regulating the replacement, renewal and extension of a train driver’s certificate and issuance of a duplicate of this document.
	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-274 dated 4 June 2002 “Regarding the Description of the Procedure for the Training and Examination of Safety Specialists in the Carriage of Dangerous Goods by Road, Rail and Inland Waterway Transport”’ (Official Gazette (Valstybės Žinios), 2002, No 58-2369; 2012, No 64-3249)	1 June 2012 (new version of the legal act)	-	The legal act was restated in a new version.

	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-32 dated 21 January 2003 "Regarding the Description of the Procedure for the Certification of Teachers Teaching Persons Related to the Carriage of Dangerous Goods by Road, Rail and Inland Waterway Transport"' (Official Gazette (Valstybės Žinios), 2003, No 10-384; 2012, No 68-6490)	12 June 2012 (new version of the legal act)	-	The legal act was restated in a new version.
	Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications 'Regarding the amendment of Order of the Head of the State Railway Inspectorate under the Ministry of Transport and Communications No V-196 dated 29 June 2010 "Regarding the approval of the Description of the Procedure for the Acknowledgement of Train Driver Examiners, Requirements for Examiners and Examinations, and Examination Procedure"' (Official Gazette (Valstybės Žinios), 2010, No 80-4210; 2012, No 52-2625)	5 May 2012 (new version of the legal act) 14 November 2012 (amendment)	-	The legal act was restated in a new version. Amendment of the name of the legal act. Amendment of the provisions related to the issuance of authorisations to the Train Drivers' Examination Centre.
13. Rules covering investigation into railway traffic accidents and provision of safety recommendations	Order of the Minister of Transport and Communications of the Republic of Lithuania 'Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-79 dated 20 February 2003 "Regarding the approval of the Provisions for Investigation in Railway Transport Traffic Events and Elimination of Their Consequences"' (Official Gazette (Valstybės Žinios), 2003, No 26-1066; 2010, No 71-3605; 2012, No 52-2600; 2012, No 74-3865)	30 April 2012 (amendment) 25 June 2012 (amendment)	-	Amendment of the provisions regulating those entities which must be informed by persons who are related to or were witnesses of a railway transport traffic event, accident or incident. Also, amendment of the provisions of the legal act concerning the activities of the Head of Accident Investigation. Supplementation of the legal acts with the requirement to provide the specified data on the actions of the person killed or injured in a traffic accident when suspecting his suicide or attempted suicide.

14. Rules covering requirements for the Common Safety Indicators and the collection and analysis thereof	-	-	-	-
15. Rules concerning the issuance of permits for commissioning of structural sub-systems in the Republic of Lithuania	Order of the Minister of Transport and Communications of the Republic of Lithuania ‘Regarding the amendment of Order of the Minister of Transport and Communications of the Republic of Lithuania No 3-507 dated 22 December 2006 “Regarding the approval of the Rules for the Issuance of Permits for the Commissioning in the Republic of Lithuania of Structural Sub-Systems and Railway Rolling Stock of the Trans-European Railway System”’ (Official Gazette (Valstybės Žinios), 2007, No 1-39; 2012, No 84-4429)	11 July 2012 (amendment)	-	Amendment of the preamble of the legal act; indication of the documents to be submitted by applicants who have filed an application for a permit for the commissioning of the equipment of the European Rail Traffic Management System (ERTMS) and/or European Train Control System (ETCS) and Global System for Mobile Communications – Railway (GSM-Railway).

Appendix E. Development of safety certification and authorisation

E.1. Safety Certificates according to Directive 2001/14/EC

	The total number of Certificates	The number of Safety Certificates – Part A specified in ERADIS
E.1.1. The number of Safety Certificates – Part A issued in 2012 and before (the number of Safety Certificates – Part A effective as of the end of 2012)	28	24

	The total number of Certificates	The number of Safety Certificates – Part B specified in ERADIS
E.1.2. The number of Safety Certificates – Part B issued in the Republic of Lithuania 2012 and before (the number of Safety Certificates – Part B effective as of the end of 2012)	26	17
The number of Safety Certificates – Part B when Part A is issued in the Republic of Lithuania		
The number of Safety Certificates – Part B when Part A is issued in another Member State of the EU	1	0

		P	A	N
E.1.3. The number of applications submitted by railway undertakings (carriers) for Safety Certificates – Part A in 2012	New Certificates	5	-	2
	Updated/amended Certificates	-	-	-
	Renewed Certificates	-	-	-

		P	A	N
E.1.4. The number of applications submitted by railway undertakings (carriers) for Safety	When Part A is issued in the Republic of Lithuania			
	New Certificates	5	-	2
	Updated/amended Certificates	-	-	-
	Renewed Certificates	1	-	-

Certificates – Part B in 2012	When Part A is issued in another Member State of the EU	New Certificates	-	-	1
		Updated/amended Certificates	-	-	-
		Renewed Certificates	-	-	-

	The number of Safety Certificates cancelled in 2012	The number of cancelled Safety Certificates specified in ERADIS (the number of Safety Certificates cancelled in 2012)
E 1.5. The number of Safety Certificates – Part A cancelled in 2012	-	-
E 1.6. The number of Safety Certificates – Part A cancelled in 2012	-	-

E.2. List of states where railway undertakings (carriers) received Safety Certificates – Part A and applied for Safety Certificates – Part B in Lithuania

Railway undertaking (carrier)	EU Member State where the Safety Certificate – Part A was issued
Rail Polska sp. z. o. o	Poland

E.3. Authorisations in the Field of Traffic Safety according to Directive 2004/49/EC

	The number of issued Authorisations in the Field of Traffic Safety
E.2.1. The number of Authorisations in the Field of Traffic Safety issued to railway infrastructure managers in 2012 and before (the number of Authorisations in the Field of Traffic Safety effective as of the end of 2012)	16

		P	A	N
E.2.2. The number of applications submitted by railway infrastructure managers for Authorisations in the Field of Traffic Safety in 2012	New Authorisations in the Field of Traffic Safety	3	-	1
	Updated/amended Authorisations in the Field of Traffic Safety	-	-	-

	Renewed Authorisations in the Field of Traffic Safety	-	-	-
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E 2.3. The number of Authorisations in the Field of Traffic Safety cancelled in 2012	-
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P = The application has been satisfied and a Certificate has been issued

A = The application was rejected and a Certificate has not been issued

N = The application is under consideration and a Certificate is pending

E.4. Procedural aspects – Safety Certificate – Part A

	New	Updated/ amended	Renewed
Average time (considered to commence from the moment of the submission of an application by a railway undertaking (carrier)) needed to issue a Safety Certificate – Part A in 2012	~ 33 days	-	-

E.5. Procedural aspects – Safety Certificate – Part B

		New	Updated/ amended	Renewed
Average time (considered to commence from the moment of the submission of an application by a railway undertaking (carrier)) needed to issue a Safety Certificate – Part A in 2012	When Part A is issued in the Republic of Lithuania	~ 33 days	-	~ 5 days
	When Part B is issued in another Member State of the EU	-	-	-

E.6. Procedural aspects – Authorisations in the Field of Traffic Safety

	New	Updated/ amended	Renewed
Average time (considered to commence from the moment of the submission of an application by a railway infrastructure manager) needed to issue Authorisations in the Field of Traffic Safety in 2012	~ 42 days	-	-