

Moving Europe towards a sustainable and safe railway system without frontiers.

OPINION

ERA/OPI/2024-10

OF THE EUROPEAN UNION AGENCY FOR RAILWAYS

for

THE EUROPEAN COMMISSION

regarding

version 2 of Future Railway Mobile Communication System (FRMCS)specifications

Disclaimer:

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1. General Context

1.1. Introduction

The European Union Agency for Railways (hereinafter "the Agency" or "ERA") received from the European Commission a request¹ for a technical opinion in accordance with Article 6 of Directive (EU) 2016/797.

The request was to complete the Commission Implementing Regulation (EU) 2023/1695 on the technical specification for interoperability relating to the control-command and signalling subsystems of the rail system in the European Union (CCS TSI), as it contains the first, incomplete, version of the specifications of the Future Mobile Railway Communication System (FRMCS).

The request notably asks for an ERA opinion regarding the FRMCS specifications version 2, including the set of FRMCS specifications v2.0.0 endorsed by ERA/EECT, a comparison with the expected scope as described in the System Pillar FRMCS report, and the identification of open points that need to be addressed in view of completing the V3 set of FRMCS specifications.

The request also calls for an analysis on broader considerations in the context of new radio and data communication (e.g. spectrum), and about possible risks related to the timely delivery of the V3 set of FRMCS specifications.

This document contains ERA's opinion in response to the European Commission's request. It is summarising the status of FRMCS specifications version2, and formulating recommendations on the next steps towards the finalisation of the V3 set of FRMCS specifications in view of their adoption as part of the subsequent CCS TSI revision.

| Reference | Name of document/presentation | Title of document |
|-----------|-------------------------------|--|
| [1] | FU-7120-v2.0.0 | Functional Requirement Specification |
| [2] | TOBA-7510-FRS-v2.0.0 | On-Board FRMCS Functional Requirements |
| [3] | AT-7800-v2.0.0 | System Requirement Specification |
| [4] | FIS-7970-v2.0.0 | Functional Interface Specification |
| [5] | FFFIS-7950-v2.0.0 | Form Fit Functional Interface Specification |
| [6] | Subset-037-3-v4.1.2 | EuroRadio FIS FRMCS Communication Functional Module |

1.2. References

¹ Letter signed on 31.10.2024 from Brussels MOVE.DDG2.C/KS to the Executive Director of the Agency with reference Ares (2024)7753905 -31/10/2024 – See <u>Annex 1</u>

1.3. Abbreviations

| Abbreviation | Description |
|---------------------|--|
| ATO | Automatic Train Operation |
| CCS | Control Command Signalling |
| EC | European Commission |
| EECT | Extended ERA Core Team |
| EECT RMR | Extended ERA Core Team Railway Mobile Radio |
| EIRENE | European Integrated Railway Radio Enhanced Network |
| ERA (or the Agency) | The European Union Agency for Railways |
| ERTMS | European Rail Traffic Management System |
| ETCS | European Train Control System |
| EU-RAIL | Europe's Rail Joint Undertaking |
| FRMCS | Future Railway Mobile Communication System |
| GSM-R | Global Communication System for Railways |
| IM | Infrastructure Manager |
| KMS | Key Management System |
| РКІ | Public Key Infrastructure |
| FRS | Functional Requirement Specification |
| ТОВА | Telecom On-Board Architecture |
| FIS | Functional Interface Specification |
| FFFIS | Form Fit Functional Interface Specification |
| ОВарр | On-Board application |
| PMNO | Public Mobile Network Operator |
| RAT | Radio Access Technology |
| RU | Railway Undertaking |
| SRS | System Requirement Specification |
| TSI | Technical Specifications for Interoperability |
| UIC | Union Internationale des Chemins de fer |
| UNISIG | Union industry of signalling |
| UNITEL | Union industry of telecom |

2. Legal references

Article 6 (1) Directive (EU) 2016/797 sets out that "*If, after its adoption, it appears that a TSI has a deficiency, that TSI shall be amended in accordance with Article 5(11)*".

Article 6 (2) Directive (EU) 2016/797 sets out that "Pending the review of a TSI, the Commission may request an opinion from the Agency."

Innovative solutions can also be assessed, as specifically referred to in Article 11 of Commission Implementing Regulation (EU) 2023/1695 (CCS TSI).

3. Objective and timeline of this Technical Opinion

The objective of this Technical Opinion is to reply to the EC's request in relation to the following elements:

- > EECT RMR process for FRMCS V2
- > Status of FRMCS specifications v2.0.0 endorsed by ERA/EECT RMR
- > Comparison with the expected scope as described in the System Pillar FRMCS report
- > Identification of open points that need to be addressed in view of completing the V3 set of FRMCS specifications
- > Broader considerations in the context of new radio and data communication (e.g. spectrum)
- > Risk identified for the timely delivery of the V3 set of FRMCS specifications

Considering that the CCS TSI contains the first, incomplete, version of the specifications of FRMCS, there is a need for further development of those specifications. This is also referred to in the Article 10 of the Commission Implementing Regulation 2023/1695, where it is stated that manufacturers and early implementers shall use the draft specifications that may be contained in an Agency opinion for their pilots, and they and shall inform the Commission and the Agency about each pilot at its beginning, keeping them informed of the progress of those pilots.

It is on this basis that the Agency should provide an opinion regarding the FRMCS specifications version 2.

This Technical Opinion also aims at providing recommendations on the next steps towards the finalisation of the V3 set of FRMCS specifications, including actions needed beyond the scope of EECT RMR e.g. on governance and collaboration of all railway stakeholders for FRMCS.

According to the points listed above, it must be noted that this Technical Opinion does not serve the purpose of developing FRMCS products or tendering projects by RUs/Ims. It shall also neither be used for conformity assessment of FRMCS Interoperability Constituents before placing them on the market nor for EC verification of subsystems before placing them on the market/in service. This Technical Opinion rather captures the needs for Test & Validation activities and offers a snapshot of the intermediate V2 milestone towards finalisation of the V3 set of FRMCS specifications.

This Technical Opinion, for the reasons explained in <u>EECT RMR process for FRMCS V2</u> will be delivered in two steps:

- a) the present publication presenting the available v2.0.0 set of FRMCS specifications together with v4.1.2 Subset-037-3, complemented with Open Points and recommendations post EECT RMR process for FRMCS V2, and
- b) as a second step, an addendum to be published in April 2025 referring to the v2.1.0 set of FRMCS specifications for practical and harmonised use in any FRMCS Test & Validation activity at EU level (e.g. MORANE-2) or National level (pilots).

4. Analysis

4.1. EECT RMR process for FRMCS V2

In anticipation of GSM-R obsolescence, a new radio class A system (FRMCS) is being defined and will be deployed in Europe as a successor to GSM-R. In the CCS TSI 2023/1695, FRMCS was partially introduced focusing mainly on the OBapp interface between the ERTMS/ETCS on-board and the ERTMS/ATO on-board, and the FRMCS on-board, enabling the specification of the ETCS and ATO on-board interfaces to the future FRMCS and the development of the associated components.

On 5 July 2023, the railway stakeholders collaborating in the System Pillar Steering Group agreed on a timeline and scope of the work on the FRMCS specifications and issued the EU-RAIL System Pillar FRMCS report². Based on the agreed timeline, UIC delivered to the Agency the draft V2 set of FRMCS specifications at the end of March 2024 as an input to the ERA Extended Core Team on Railway Mobile Radio. Since April 2024, the experts appointed by the representative organisations in EECT RMR for FRMCS V2 have worked on assessing and completing where necessary the V2 set of FRMCS specifications.

EECT RMR process for FRMCS V2 therefore aimed at reviewing and validating the following specifications:

- > Functional Requirement Specification (input: FRS v1.1.0)
- > On-Board FRMCS Functional Requirements (input: TOBA FRS v1.1.0)
- System Requirement Specification (input: SRS v1.1.0)
- Functional Interface Specification (input: FIS v1.1.0)
- Form Fit Functional Interface Specification (input: v1.1.0)
- Subset-037-3 (Euroradio protocols for FRMCS, input: v4.1.0)

Although the V2 set of FRMCS specifications is complementing the v1 set³, substantial modifications were performed, notably on refining feature requirements in FRS/TOBA FRS and on further definition of system requirements in SRS/FIS/FFFIS.

As the V2 set of FRMCS specifications was drafted by UIC Working Groups (with the support of Industry for FIS and FFFIS), versions v1.1.0 were discovered by EECT members in April 2024. The number of comments received per specification varies, yet the statistics below highlight the effort made by reviewers to understand the requirements or propose modifications, and by UIC editors of the specifications to resolve those comments:

- > FRS: circa. 250 pages documents, 4 versions reviewed, more than 700 comments out of which approximately 500 accepted
- > TOBA FRS: circa. 50 pages documents, 4 versions reviewed, more than 600 comments out of which approximately 400 accepted
- SRS: circa. 160 pages documents, 4 versions reviewed, more than 850 comments out of which approximately 500 accepted
- > FIS: circa. 110 pages documents, 5 versions reviewed, more than 200 comments out of which approximately 150 accepted
- > FFFIS: circa. 50 pages documents, 4 versions reviewed, more than 250 comments out of which approximately 170 accepted

² <u>https://rail-research.europa.eu/wp-content/uploads/2023/08/20230718-FRMCS-report-final.pdf</u> EU-RAIL JU System Pillar Report on FRMCS V2 and V3 Scope and Planning

³ "FRMCS V2 is reputed to be in the majority of the cases a completion of the V1", EU-RAIL SP FRMCS report

 Subset-037-0: circa. 25 pages documents, 2 versions reviewed, more than 130 comments out of which approximately 60 accepted

ERA organised, from April to December 2024, 35 EECT meetings to allow for a thorough review of all inputs, mobilising 3 FTEs from ERA and an estimation of 10 FTEs on EECT experts' side.

The planning for those meetings was very dense, with a minimum of 2 meetings per month, and an even denser number of meetings close to the finalisation of the review. EECT members remarked that experts' availability to be adequately represented in every meeting, especially in the finalisation period, was quite challenging.

The rationale for keeping such a strict deadline for the Technical Opinion publication was also questioned, as some EECT members believed that longer review time would have been beneficial for improving the quality of the specifications.

Additional concerns were voiced during the EECT process, and UNIFE notably expressed it in a position paper⁴ on the "completeness, anticipated content, and quality of the draft FRMCS V2 specifications", resulting in " extensive comments being received by involved stakeholders and too many points remain open and subject to extensive discussion".

ERA took note of those comments from EECT members and acknowledges that EECT RMR process for FRMCS V2 could have been more efficient in terms of planning with a more accurate anticipation of workload. ERA also noted that a higher maturity level of inputs to EECT would have helped, as EECT has sometimes been used as a forum for detailed technical discussions that should have happened before EECT, delaying the overall approval process.

EECT RMR process for FRMCS 3 will need to be adapted to achieve efficiency gains (see also <u>Risks identified</u> for the timely delivery of the V3 set of FRMCS specifications).

4.2. Status of V2 set of FRMCS specifications endorsed by ERA/EECT RMR

4.2.1. Categories of requirements in V2 set of FRMCS specifications

In the V2 set of FRMCS specifications, categorisation of requirements is being used to differentiate on one hand the specifications needed for the UIC FRMCS 1st Edition (UIC naming) / RMR Baseline 1 (ERA naming) (i.e. the V3 set of FRMCS specifications) and on the other hand those specifications needed for a later version set. The different categories of requirements are defined as follows:

- > "Indications (M), (O) and (I)⁵ are used for clauses within the scope of the V2 specification, which is the minimum set of requirements for validation;
- Indications (M-V3), (O-V3) and (I-V3) are used for clauses within the scope of the V3 specification. The V3 series of specification are the target version to be included in the TSI, allow migration from the GSM-R system to the FRMCS system (FRMCS 1st edition). The V3 clauses are to be considered for information for V2;
- > Indications (M-Vx), (O-Vx) and (I-Vx) are used for clauses for a later version of the specification. These clauses are kept in the specification for readability and consistency purposes"

⁴ https://www.unife.org/wp-content/uploads/2024/09/UNIFE-Paper_Achieving-FRMCS-in-TSI-2027_170924.pdf

⁵ (M) means Mandatory for the System; (O) means Optional for the system; (I) means Information

From ERA's perspective, the indication that only V2 requirements are needed for validation before V3 is not sufficient: Every M-V3 requirement requires a verification in a Test & Validation activity before being published in the V3 set of FRMCS specifications, and also some of the O-V3 requirements. ERA has consistently explained in EECT and in communications towards railway stakeholders that missing a verification in a Test & Validation activity for a M-V3 requirement would imply that either the requirement is withdrawn from the V3 set of FRMCS specifications, or that an operational workaround for this lack of verification would be needed.

As there is a close relationship between M-V3 requirements and the features needed for GSM-R replacement and basic functionality expected from FRMCS, having untested M-V3 requirements creates the risk of UIC FRMCS 1st Edition / RMR Baseline 1 not being functionally equivalent to current GSM-R or not operationally ready.

4.2.2. Interoperability related requirements

The verification of interoperability related requirements was discussed during the EECT RMR process for FRMCS V2, in anticipation of FRMCS deployments. The discussion included: Which requirements have an impact on interoperability and therefore would need to be verified by the Notified Bodies after the publication of the V3 set of FRMCS specifications for the placing on the market of FRMCS Interoperability Constituents or placing on the market/placing in service of the FRMCS related part of subsystems.

As per EIRENE specifications already referenced in the CCS TSI mandatory specifications, those interoperability related requirements are referred to as "Mandatory for Interoperability" ("MI") requirements. In EIRENE, "MI" requirements are a subset of "M" requirements. In the V3 set of FRMCS specifications, "MI" requirements will be a subset of "M/M-V3" requirements.

UNISIG and UNITEL remarked that several functional requirements might prove to be difficult to comply to or be redundant as further technically detailed in corresponding system requirements are missing. ERA recognises that a correct identification of "MI" requirements would support a quicker and more cost-effective deployment of FRMCS in terms of verification and supports the idea to limit the need for Notified Bodies to perform checks of functional requirements whenever those are adequately traced to system requirements.

In addition to those requirements that are mandatory or optional for the system and for a specific version of the FRMCS specifications, ERA proposed a list of <u>candidate</u> interoperability related requirements (i.e. "MI" requirements).

EECT RMR process for FRMCS V2 was not able to conclude until December 2024 on a definitive list of interoperability related requirements for each specification, mainly because of non-consensual viewpoints between EECT experts on what is functionally needed for GSM-R replacement (e.g. M-V3/M-Vx)⁶, therefore delaying an agreement on a list of *candidate* system interoperability related requirements. This is a planned activity for the addendum to be published in April 2025 that will be enabled by the mapping tables of functional to system requirements delivered by UIC and validated in EECT. A proposal for the *candidate* functional interoperability related requirements is nevertheless already present as an annex of FRS and TOBA FRS v2.0.0 specifications available with this Technical Opinion, which has been discussed in EECT.

ERA <u>recommends</u> in addition, pursuing the activity of mapping of functional to system requirements until the finalisation of V3 set of FRMCS specifications, to be ready to deliver a <u>final</u> and fitting list of interoperability related requirements to be verified by Notified Bodies: all relevant system requirements + residual functional system requirements not already covered by a system requirement.

⁶ A summary of the status of V3/Vx requirements in v2.0.0 FRS is available in Annex 3

4.2.3. Output of EECT RMR process for FRMCS V2

As the main objective of this Technical Opinion is to provide a reference for Test & Validation activities, the output of the EECT RMR on FRMCS V2 is focusing on the M and M-V3 requirements defined in the five UIC FRMCS specifications + one ETCS Subset related to communication over FRMCS.

A brief description of each specification is provided below, complemented by an ERA appreciation on the level of completeness for use in a Test & Validation⁷ activity:

> Functional Requirements Specification -FU-7120 v2.0.0

End to End functional definition of telecom railway applications enabled by FRMCS

 \rightarrow FRS is almost complete for the definition of functions needed for GSM-R replacement; additional features could be considered in later versions, e.g. M-Vx requirements

> On-Board FRMCS Functional Requirements Specification - TOBA-7510 v2.0.0

Functional requirements of On-board FRMCS equipment, including OBapp interface towards ETCS/ATO applications

 \rightarrow TOBA FRS is almost complete for the definitions of necessary On-board features; Railway Undertakings in the TOBA WG expressed a strong willingness to further define additional features related to radio modularity and Operation & Maintenance aspects (features not strictly related to railway interoperability nor to GSM-R replacement, but to basic functionality expected from FRMCS)

> System Requirements Specification - AT-7800 v2.0.0

Definition of mechanisms, parameters and configurations of elementary functions and system blocks of FRMCS system, including On-board FRMCS

 \rightarrow SRS is not as complete as FRS/TOBA FRS; several technical Open Points are currently undefined or lacking harmonised technical solution for Test & Validation activity

> Functional Interface Specification - FIS-7970 v2.0.0

End-to-end flows, e.g. telecommunication service primitives to be used by Mission Critical client application (covering voice and ETCS/ATO call flows)

 \rightarrow FIS requirements being mostly a consequence of FRS and SRS requirements, its level of completion should be appreciated in the light of the respective FRS and SRS completion

> Form Fit Functional Interface Specification - FFFIS-7950 v2.0.0

Detailed specification for OBapp and TSapp interfaces (respectively between the On-Board Applications and the On-Board FRMCS and between the FRMCS Trackside Gateway and the Trackside Applications)

 \rightarrow FFFIS is almost complete for the definition of the protocols, the messages and the format of the information exchanged over the OBapp and TSapp interfaces; some technical dependencies on SRS could require further refinement of requirements.

> Subset-037-3 v4.1.2 EuroRadio FIS FRMCS Communication Functional Module

⁷ i.e. whether all the M and M-V3 requirements in the specifications are completely defined so that they can be used in a harmonised way for a Test & Validation activity

CFM for data transmission over FRMCS without safety responsibility

 \rightarrow SS-037-3 is almost complete, results from testing (e.g. IP configuration parameters) are needed to refine the requirements, a few technical Open Points are currently lacking harmonised technical solution for Test & Validation activity

UIC FRMCS specifications are also complemented by a set of ETSI specifications pointing to 3GPP Building Blocks to be considered together with the V2 set of FRMCS specifications for the Test & Validation activities. However, ETSI specifications are not in scope of EECT RMR process for FRMCS V2⁸.

Based on the above appreciation on the level of completeness, it seems that there is a discrepancy between the objectives of the EU-RAIL SP report on FRMCS, e.g "*The FRMCS V2 is reputed to be in the majority of the cases a completion of the V1, with the main objective to have a technically defined FRMCS to execute an adhoc industrial project (Morane 2) for the finalisation of the industrial FRMCS ecosystem*" and the actual completion of requirements in the V2 set of FRMCS specifications.

However, it needs to be noted that it was already anticipated that functional and system requirements in the V2 set of FRMCS specifications would need to be refined based on Test & Validation activities, i.e. M-V3 and O-V3 requirements are subject to further modifications, especially taking into consideration test results from MORANE-2 and other national pilots.

Concerning the functions and requirements needed in the scope of the V3 set of FRMCS specifications, EECT RMR process for FRMCS V2 was not able to conclude in December 24 on a definitive (clause-by-clause) list of M-V3 requirements for the FRMCS specifications, mainly because of non-consensual viewpoints between EECT experts on what is functionally needed for GSM-R replacement (e.g. M-V3/M-Vx). This would need to be continued in the timeframe from January to April 2025 in view of publishing an addendum to this Technical Opinion.

In summary, good technical progress was made thanks to EECT RMR process for FRMCS V2, and v2.0.0 version of the FRMCS specifications could be used as a solid basis for Test & Validation activity. However, consensual view on category of requirement and a way forward for the Open Points are still missing. According to the Article 10 of the Commission Implementing Regulation 2023/1695 where *"manufacturers and early implementers shall use those specifications in their pilots"* ERA <u>recommends</u> for any Test & Validation activity on FRMCS to start the planning using the documents provided in this Opinion, but to wait for the start of the practical testing until the addendum to be published in April 2025 to take into consideration the version 2.1.0 of the FRMCS specifications.

⁸ Some of the relevant ETSI specifications were not published at the moment of the publication of FRMCS v2; there is an Open Point on the way UIC specifications refer to ETSI specifications

4.3. Comparison with the expected scope as described in the System Pillar FRMCS report

As captured in the EU-RAIL System Pillar FRMCS report, the main objective of the V2 set of FRMCS specifications was to have a technically defined system to perform Test & Validation activities (MORANE-2) later to be included in a V3 set of FRMCS specifications supporting an industrial FRMCS ecosystem. The V2 set of FRMCS specifications was also considered as baseline for the identification of the interoperability related requirements.

The tables below have been produced based on EU-RAIL System Pillar FRMCS report. They contain ERA's view on the state of completeness of requirements in the V2 set of FRMCS specifications as an input for Test & Validation activities: Complete for T&V/Almost complete for T&V/Not in scope for T&V. This indicates if the feature can be tested in a harmonised manner in a Test & Validation project, or if missing elements would be needed to complement the feature in the V3 set of FRMCS specifications. IMPORTANT NOTE: The tables of this report are not the same as those within the EU-RAIL System Pillar FRMCS reports. ERA has made the following modifications: while the first two columns are identical, the third one has been renamed "V2 scope" for readability (i.e. was the Work Item scheduled for V2 in the report), and the fourth one contains ERA's view on the state of completeness.

ERA's assessment between the expected scope of FRMCS V2 and the actual specification status (as per v2.0.0) is further detailed in the <u>Identification of open points that need to be addressed in view of completing version</u> <u>3</u>.

4.3.1. Table 1: FRMCS V2 Content – Applications

| Building block | Work item | V2 scope | ERA view on state of completeness |
|------------------------|--|-------------|--------------------------------------|
| Railway Application | ATP/ETCS L2 | Yes | Complete for T&V |
| Railway Application | ATO GoA1-2 | Yes | Complete for T&V |
| Railway Application | ATO GoA3-4 | No | Not in scope for T&V |
| Railway Application | Key Management System | Yes | Almost complete for T&V |
| Railway Application | Public Key Infrastructure | Yes | Almost complete for T&V |
| Railway Application | Voice Application | Yes | Almost complete for T&V |
| Railway Application | Railway Emergency Call | Yes | Almost complete for T&V ⁹ |
| Railway Application | Messaging | Yes | Not complete for T&V |
| Railway Application | Shunting | Yes | Almost complete for T&V |
| Railway Application | TCMS | Yes | Almost complete for T&V |
| Railway Application | ссти | Yes | Not in scope for T&V |
| Railway Application | Remote control of engines | Yes | Not complete for T&V ¹⁰ |
| Railway Application | C-DAS | Yes | Not in scope for T&V |
| Railway Application | Passenger Information System ² | Yes | Not complete for T&V |
| Railway Application | Critical real-time video ² | Yes | Not in scope for T&V |

⁹ ONLY REC-Alert & REC-Voice; REC-Data and REC-Alert alone not in scope for T&V ¹⁰ Although agreed for V2, application currently tagged as Vx, see Annex 3

4.3.2. Table 2: FRMCS V2 Content - Reference Points

| Building block | Work item | V2 scope | ERA view on state of completeness |
|--|-------------------|-------------|------------------------------------|
| On-Board FRMCS | | | Complete for T&V |
| FRMCS Trackside Gateway | TS APP | Yes | Complete for T&V |
| FRMCS Multipath Function | FS MPM | Yes | Almost complete for T&V |
| Interconnection, roaming and border crossing | FS _{NNI} | Yes | Almost complete for T&V |
| Interworking with External systems | FS | Yes | Complete for T&V |
| Interworking with External systems | FS | No | Not complete for T&V |
| OAM | FS OMR | Yes | Not complete for T&V |
| On-Board FRMCS | OB | Yes | Almost complete for T&V |
| On-Board FRMCS | OB | Yes | Not in scope for T&V ¹¹ |
| On-Board FRMCS | OB | Yes | Not in scope for T&V ¹¹ |

¹¹ From ERA standpoint, building block not needed for T&V as not related to interoperability

4.3.3. Table 3: FRMCS V2 Content – Capabilities

| Building block | Work item | V2 scope | ERA view on state of completeness |
|-------------------------|--|-------------|---------------------------------------|
| FRMCS Service Domain | Functional addressing/Role management | Yes | Almost complete for T&V |
| FRMCS Service Domain | Multi-user talker control | Yes | Almost complete for T&V |
| FRMCS System | Location & Positioning for voice applications | Yes | Complete for T&V |
| FRMCS Service Domain | Authorisation of communication | Yes | Almost complete for T&V |
| FRMCS System | QoS and priority | Yes | Complete for T&V |
| Railway Application | Arbitration | Yes | Almost complete for T&V |
| FRMCS System | Identification and addressing | Yes | Almost complete for T&V |
| FRMCS System | Interconnection, roaming and border crossing | Yes | Almost complete for T&V ¹² |
| Transport Domain | Network slicing | No | Not in scope for T&V |
| FRMCS System | Cybersecurity | Yes | Complete for T&V ¹³ |
| FRMCS System | Subscriber configuration | Yes | Not complete for T&V |
| FRMCS System | "Best Effort" Applications Regime | Yes | Not in scope for T&V ¹⁴ |
| FRMCS System | Voice Function | Yes | Almost complete for T&V |
| On-Board FRMCS | Auxiliary Function | Yes | Complete for T&V |
| FRMCS Handheld | Requirements | No | Not in scope for T&V |
| FRMCS System | Recording | Yes | Almost complete for T&V |

¹² Almost complete although an Open Point on technical realisation of Network Transition Trigger exists

¹³ A cybersecurity risk assessment based on V2 specifications is pending

¹⁴ From ERA standpoint, building block not needed for T&V as not related to interoperability

4.3.4. Table 4: FRMCS V2 Content - Spectrum and RAT

| Building block | Work item | V2 scope | ERA view on state of completeness |
|---------------------|---|-------------|---------------------------------------|
| Transport Domain | FRMCS 900MHz: 5G NR FR1 FDD n100/PC3 UE | Yes | Complete for T&V ¹⁵ |
| Transport Domain | FRMCS 900MHz: 5G NR FR1 FDD n100/PC1 UE | Yes | Complete for T&V ¹² |
| Transport Domain | FRMCS 1900MHz: 5G NR FR1 TDD n101/PC3 UE | Yes | Complete for T&V |
| Transport Domain | FRMCS 1900MHz: 5G NR FR1 TDD n101/PC1 UE | Yes | Complete for T&V |
| Transport Domain | FRMCS 900MHz: CBW<5Mhz | Yes | Not in scope for T&V |
| Transport Domain | White Space | Yes | Not in scope for T&V |
| Transport Domain | RF QoS/coverage quality | Yes | Almost complete for T&V |
| Transport Domain | Migration scenarios | Yes | Not in scope for T&V |
| Transport Domain | Multi access | Yes | Almost complete for T&V |
| Transport Domain | FRMCS Dual Connectivity | Yes | Almost complete for T&V |
| Transport Domain | FRMCS Carrier Aggregation | Yes | Not in scope for T&V |
| Transport Domain | European MNOs (5G bands + coexistence with FRMCS) | Yes | Almost complete for T&V ¹⁶ |
| Transport Domain | Bands outside EU | No | Not in scope for T&V |
| FRMCS Handheld | Spectrum bands/RAT for Handhelds | No | Not in scope for T&V |
| Transport Domain | Unlicensed spectrum/ Wi-Fi | No | Complete for T&V |
| Transport Domain | Satellite (legacy NT RAT) | No | Not in scope for T&V |
| Transport Domain | Satellite (5G NR NT) | No | Not in scope for T&V |

¹⁵ ERA is of the opinion that coexistence studies between FRMCS and GSM-R spectrum need to be available before concluding on V3 set of specifications

¹⁶ Almost complete although an Open Point on innocuity of PMNO bands exists

4.4. Identification of Open Points that need to be addressed in view of completing the V3 set of FRMCS specifications

As requested by the European Commission, a list of Open Points needs to be defined to understand what remains to be completed in the V3 set of FRMCS specifications to enable procurement of FRMCS products.

ERA understands that those Open Points should list the functions and requirements defined in the V2 set of FRMCS specifications for which the completely defined solution is not yet available for testing.

That list of Open Points aims at raising the attention of the test and validation projects, in view of testing their proposed technical solutions related to those Open Points; the results of this testing will have to be analysed in order to include the best solution amongst the validated ones in the V3 set of FRMCS specifications. This view was consensual amongst EECT members, especially from Industry, to avoid interpretation on requirements leading to non-harmonised FRMCS products.

ERA proposed in EECT RMR process for FRMCS V2 a list of Open Points composed of 3 different types:

- Functional Open Point: e.g. an empty or not fully defined functional requirement in FRS or TOBA
 FRS, or a functional requirement not being mapped onto a completely defined system requirement.
- > **System Open Point**: e.g. SRS/FIS/FFFIS requirements indicated as to be "refined", "under investigation", "not in scope of FRMCS V2"
- > **Implementation Open Point**: e.g. the realisation of a function is currently considered in the V2 set of FRMCS specifications with two (or more) different implementations, but after test and validation project, the requirement will be reduced to only one harmonised implementation.

All Open Points listed are not, strictly speaking, related to interoperability, but they are all M or M-V3 requirements. M-Vx requirements are not in scope for this version of Open Points list. All Open Points have not the same level of criticality: while some simply need verification from Test & Validation activity (e.g. Quality of Service parameters), some need further detailed analysis and refinement of requirements (e.g FRMCS Profile). This list should therefore be understood as a complete exercise for the identification of requirements that need to be validated before publication of the V3 set of FRMCS specifications, and not as an evaluation of the completeness of the V2 set of FRMCS specifications.

The list of Open Points, validated in EECT RMR process for FRMCS V2 can be found in <u>ANNEX 2</u>.

ERA would like to highlight a specific Open point that emerged from EECT RMR process for FRMCS V2 on the connectivity needs towards ERTMS Key Management Services. The necessary technical solution and configuration for a FRMCS Network to offer connectivity to PKI and KMS services is only partially defined¹⁷ in the V2 set of FRMCS specifications and needs to be tested and validated in MORANE-2 or in other pilots.

EECT members expressed their willingness to assess the critically and effort needed to close each of the Open Points. ERA supports this idea: this assessment needs to be a planned activity until the addendum to be published in April 2025.

ERA would also like to improve the visibility and the tracking of the critical Open Points that needs to be solved before publication of the V3 set of FRMCS specifications. ERA therefore <u>recommends</u> that this further

¹⁷ The technical resolution was supposed to be part of a Work Package 4 of the EU-RAIL Specific Contract 3.1.

validated list of Open Points is used as a basis for a tracking mechanism to be used in the discussions under the Change Control Management Procedure¹⁸.

4.5. Broader considerations in the context of new radio and data communication

4.5.1. Spectrum policy objective

ERA, in coordination with the European Commission, has supported during the EECT RMR process for FRMCS V2 the provision of requirements enabling the support of public frequency bands (e.g. Public Mobile Network Operator) in the On-board FRMCS. From ERA/EC standpoint, it was needed to ensure that the legal framework supports interoperability of the railway system in the EU and that technical requirements should support this objective.

This objective was supported by EECT members, as some PMNO scenarios are being considered by Infrastructure Managers: "Full PMNO", "Partial PMNO", "Backup PMNO". While those scenarios do not require the same level of service from third-party supplier, they all rely on the fact that the On-board FRMCS needs to support PMNO frequency bands to have access to the service. ERA therefore proposed to add in SRS provisional requirements (M-V3) to support a restricted list of PMNO bands, on the assumption that the definition of those MNO bands is not introducing interference risks for simultaneous usage with RMR spectrum. It was also proposed to leave some flexibility on Trackside for implementation, as maybe not all Infrastructure Managers will consider PMNO scenarios.

UIC and Industry expressed some concerns on the identification and validation of those PMNO bands, highlighting the risk of mandating the support of a frequency band that could cause some interference issues. ERA agrees that this validation is needed and <u>recommends</u> that studies should be performed on the innocuity of PMNO bands before confirmation of their mandatory support for On-board FRMCS in the V3 set of FRMCS specifications.

4.5.2. Impact assessment of Mandatory features to be supported by On-board FRMCS

Some new features introduced by the V2 set of FRMCS specifications raise the question of their mandatory support, and on the management of vehicles equipped with On-board FRMCS on lines where those features might be used or not. As an example, the use of PMNO radio resources from a third-party supplier or the use of Multipath capability at trackside is only feasible when also supported by the On-board FRMCS. At trackside, it is an implementation choice for the Infrastructure Manager to use this feature or not, but for On-board FRMCS the corresponding requirements need to be mandatory.

During the EECT RMR process for FRMCS V2, EECT members raised concerns on the induced cost of such features for vehicles not expected to run on any lines supporting those optional features. EECT members also

¹⁸ https://www.era.europa.eu/content/change-control-management

See point 2.1.3.2. The CCM process defined is baseline independent, i.e. it is valid for any step made in the lifetime of a given baseline. Therefore, change requests shall be applicable, starting from the last legal release of the previous baseline to the first draft release, the consolidation release(s), the first legal release and the further maintenance release(s) (see example in Figure 1). This means that change requests are required to capture all changes within the mandatory specifications in Table A2 of the CCS TSI 2023/1695 including changes to the FRMCS specifications v1 (in particular for those changes which might impact the ETCS/ATO requirements).

raised the question about the feasibility of declaring on a line by line basis that On-board FRMCS shall support this or that feature.

ERA recognises the need for a deeper study on those aspects, to be able to demonstrate the added value of supporting specific features on-board, the need to reflect the granularity of FRMCS usage in Registers of Infrastructure (RINF), or the possibility to consider non-application of CCS TSI in very specific cases. ERA <u>recommends</u> that the ERA Impact Assessment scheduled for the recommendation on referencing the V3 set of FRMCS specifications in the next CCS TSI update should cover those aspects.

ERA also <u>recommends</u> that the EU-RAIL Deployment Group on FRMCS should provide advice on those aspects.

4.6. Risks identified for the timely delivery of the V3 set of FRMCS specifications

4.6.1. New timeline for post EECT RMR process for FRMCS V2

With the conclusion of the EECT RMR process for FRMCS V2 in April 2025, and the execution of the main Test & Validation activity for FRMCS (MORANE-2), EECT RMR will be paused for some time. As indicated by the European Commission in several communications, an ERA recommendation¹⁹ is expected by end of 2026 to be able to have a new CCS TSI referencing the V3 set of FRMCS specifications voted in RISC and a publication is expected in 2027. ERA remarks that the objective of validating the V3 set of FRMCS specifications in time for the European Commission expectation, taking into account the timeline for MORANE-2 execution (and not counting on further information about other national pilots) is extremely challenging.

ERA also remarks that some milestones of the agreed timeline in the EU-RAIL System Pillar FRMCS report are not valid anymore:

- > Start of the Morane 2 project <u>by mid-2024</u>
- > Duration of the Morane 2 project of <u>27 months</u>,
- > Completion in parallel by UIC FRMCS Program of the FRMCS V3, as explained in the previous subchapter, to be delivered <u>by 3Q 2026</u> to ERA for EECT process
- > In parallel to the development of the FRMCS V3 specifications, preparation by the EECT group of the corresponding ERA technical documents to be included in the CCS TSI

MORANE-2 did not start by mid-2024; duration of the project to be confirmed when the Grant Agreement on MORANE-2 will be signed; delivery of V3 set of FRMCS specifications in Q3 2026 to be confirmed; execution of EECT RMR process for FRMCS V3 in 3 months not seen as possible under the same conditions as for EECT RMR process for FRMCS V2.

ERA <u>recommends</u> that a new timeline for FRMCS V3 should be agreed at sector level, possibly captured in a new EU-RAIL System Pilar report on FRMCS V3, including:

- 1. A commitment from UIC on a detailed program and timely delivery of the draft V3 set of FRMCS specifications ready for EECT RMR process.
- 2. An agreed and sustainable period for the EECT RMR process for FRMCS V3, considering the sector needs.

¹⁹ European Commission Request for recommendations on Multi-annual TSI revision framework with reference Ares (2024)5949473 -21/08/2024

4.6.2. Planning of activities prior to EECT RMR process for FRMCS V3

As indicated in <u>EECT RMR process for FRMCS V2</u>, ERA notes that the EECT discussions have sometimes been used as a technical forum to resolve key issues that should have been pre-discussed prior to the EECT. ERA also notes that the discovery of the V2 set of specifications by EECT reviewers led to longer review period overall. A pre-review by Industry of the input to EECT RMR process for FRMCS V2 or a higher involvement in the drafting of the input would have prevented many of those discussions.

Would EECT RMR process for FRMCS V3 be a replication of EECT RMR process for FRMCS V2, ERA believes that there is a very high risk of inefficiency of the process leading to, in the worst-case scenario, an unpredictable period for validating V3 set of FRMCS specifications, to be translated into a delay of the CCS TSI publication.

ERA <u>recommends</u>, as mitigation measures, that before the EECT RMR process for FRMCS V3 starts:

- 1. Integration of Testing & Validation results into the draft V3 set of FRMCS specifications is agreed by the sector; taking into account the list of Open Points
- 2. Industry is involved in the drafting of the V3 set of FRMCS specifications
- 3. A maturity check is performed by EECT before committing resources and a timeline to approve the V3 set of FRMCS specifications

4.6.3. Acquisition of relevant validation information from other T&V activities

Currently, only MORANE-2 project seems relevant as Test & Validation activity. ERA is not aware/in contact with any other Test & Validation activity. There might be however some useful tests done at e.g. national level or in dedicated projects (e.g. Digitale Schiene Deutschland, or 5GRACOM). There is the risk of a loss of useful information if those projects do not communicate their relevant results to UIC and/or ERA for being considered as an input to the V3 set of FRMCS specifications.

ERA <u>recommends</u> that any Test & Validation activity gaining relevant results for the validation of requirements in scope of the V3 set of FRMCS specifications should communicate these results to ERA.

5. The Agency's opinion and recommendations

5.1. Status of FRMCS specifications v2.0.0 endorsed by ERA/EECT RMR

The V2 set of FRMCS specifications validated during the EECT RMR process for FRMCS V2 is described in $\frac{5}{4.2.3}$.

Despite the good technical progress in v2.0.0 version of the FRMCS specifications, a consensual view on category of requirement (e.g. M-V3/M-Vx) is still missing. ERA's opinion on the need for consolidation requires continuation of work from January to April 2025, in view of publishing an addendum to this Technical Opinion.

Recommendation #1

Any Test & Validation practical activity on FRMCS should be based on the addendum to be published in April 2025 to take into consideration the version 2.1.0 of the FRMCS specifications.

ERA's opinion on the interoperability related requirements is described in <u>§ 4.2.2</u>.

A correct identification of the scope of Notified Bodies verification for the placing on the market of FRMCS products would support a quicker and more cost-effective deployment of FRMCS.

Recommendation #2

A final and fitting list of interoperability related requirements to be verified by Notified Bodies is necessary and should be delivered by ERA in parallel with the finalisation of V3 set of FRMCS specifications.

5.2. Comparison with the expected scope as described in the System Pillar FRMCS report

ERA's opinion on the state of completeness of requirements in the V2 set of FRMCS specifications in comparison to the agreed V2 scope in EU-RAIL System Pillar FRMCS report is provided in $\frac{§ 4.3}{2}$

5.3. Open Points which need to be addressed in view of completing the V3 set of FRMCS specifications

A list of Open Points, validated during EECT RMR process for FRMCS V2, is provided in Annex 2.

Visibility and tracking of the critical Open Points to be solved before publication of the V3 set of FRMCS specifications needs to be established.

Recommendation #3

The expected April 2025 validated list of Open Points should be used as a basis for a tracking mechanism in the discussions under the Change Control Management Procedure.

5.4. Broader considerations in the context of new radio and data communication

ERA's opinion on Spectrum Policy objective is provided in <u>§ 4.5.1</u>.

To cover PMNO scenarios considered by Infrastructure Managers in the context of interoperability of the railway system in the EU, mandatory support of non-interfering PMNO bands is needed in the On-board FRMCS.

Recommendation #4

Studies should be performed on the innocuity of PMNO bands before confirmation of their mandatory support for On-board FRMCS in the V3 set of FRMCS specifications.

There is the need for a deeper investigation on the added value of supporting specific mandatory features by On-board FRMCS, the need for granularity of FRMCS usage in Registers of Infrastructure (RINF), and the possibility to consider non-application of CCS TSI in very specific cases for On-board FRMCS (see § 4.5.2).

Recommendation #5

ERA's Impact Assessment scheduled for the recommendation on referencing the V3 set of FRMCS specifications in the next CCS TSI update should cover the aspects above.

Recommendation #6

EU-RAIL Deployment Group on FRMCS should provide advice on the aspects indicated in Recommendation #5.

5.5. Risk identified for the timely delivery of the V3 set of FRMCS specifications

ERA identified three main risks for the timely delivery of the V3 set of FRMCS specifications.

<u>§ 4.6.1</u>: FRMCS V3 milestones of the agreed timeline in the EU-RAIL System Pillar FRMCS report are not valid anymore.

Recommendation #7

New timeline for FRMCS V3 should be agreed at sector level, possibly captured in a new EU-RAIL System Pilar report on FRMCS V3, including:

- 1. A commitment from UIC on a detailed program and timely delivery of the draft V3 set of FRMCS specifications ready for EECT RMR process
- 2. An agreed and sustainable period for the EECT RMR process for FRMCS V3, considering the sector needs.

<u>§ 4.6.2</u>: without planning of activities prior input to EECT RMR process for FRMCS V3 there is a very high risk for an inefficient process, delaying the CCS TSI publication.

Recommendation #8

As mitigation measures, before starting the EECT RMR process for FRMCS V3:

- 1. Integration of Testing & Validation results into the draft V3 set of FRMCS specifications is agreed by the sector; taking into account the list of Open Points
- 2. Industry is involved in the drafting of the V3 set of FRMCS specifications
- 3. A maturity check is performed by EECT before committing resources and a timeline to approve the V3 set of FRMCS specifications

<u>§ 4.6.3</u>: Acquisition of relevant validation information from other Test & Validation activities than MORANE-2 might not reach ERA.

Recommendation #9

Any Test & Validation activity gaining relevant results for the validation of requirements in scope of the V3 set of FRMCS specifications should be communicated to ERA.

Valenciennes, 19 December 2024

Josef DOPPELBAUER Executive Director

Ref. Ares(2024)7753905 - 31/10/2024

ANNEX 1

Original request from European Commission

Directorate C – Land The Director



EUROPEAN COMMISSION DIRECTORATE-GENERAL FOR MOBILITY AND TRANSPORT

> Brussels MOVE.DDG2.C/KS

NOTE FOR THE ATTENTION OF MR J. DOPPELBAUER EXECUTIVE DIRECTOR – EUROPEAN UNION AGENCY FOR RAILWAY

Subject: Request for technical opinion to the Commission pursuant to Article 6 of the Interoperability Directive (EU) 2016/797 on the version 2 of FRMCS specifications

Article 6 of the Interoperability Directive (EU) 2016/797 allows that if a deficiency is identified in legislation containing technical specification for interoperability (TSI), the Commission may request an opinion from the Agency on the subject matter and ask for solutions.

On this legal basis, innovative solutions can also be assessed, as specifically referred to in article 11 of the Commission Implementing Regulation 2023/1695 on the technical specification for interoperability relating to the control-command and signalling subsystems of the rail system in the European Union (CCS TSI).

The 2023 regulation containing the control-command and signalling technical specifications for interoperability (CCS TSI) contains the first, incomplete, specifications of the Future Mobile Railway Communication System (FRMCS). After the adoption and entry into force of CCS TSI on 28 September 2023, the work on FRMCS specifications continued to progress under the umbrella of the UIC and the EU Rail JU.

On 5 July 2023, the railway stakeholders collaborating in the System Pillar Steering Group agreed the timeline and scope of the work on the FRMCS specifications and issued the EU-RAIL System Pillar FRMCS report (¹). Based on the timelines agreed, UIC has delivered to the Agency draft FRMCS specifications at the end of March this year. Since April 2024, the sector experts worked in the Agency Extended Core Team to assess and complete where necessary the FRMCS specifications, version 2.

The Commission hereby requests the Agency for an opinion regarding the FRMCS specifications version 2. The opinion should include:

Kristian.Schmidt@ec.europa.eu

⁽¹⁾ https://rail-research.europa.eu/wp-content/uploads/2023/08/20230718-FRMCS-report-final.pdf

Commission européenne/Europese Commissie. 1049 Bruxelles/Brussel. BELGIQUE/BELGIË – Tel. +32 22991111 Office: DM28 04/110 – Tel. direct line +32 229-61616

- The FRMCS specifications version 2, revised and completed by the Agency as appropriate, which should be
 - consistent with the expected scope as described in the System Pillar FRMCS report; alternatively, the Agency should highlight necessary deviations of its version 2 and justify why they will be necessary.
 - stable and complete to enable a comprehensive testing and validation processes under the following conditions:
 - the result of the testing validates version 2. It could identify necessary but limited adjustments or corrections, which can be integrated in a version 3;
 - version 3, should be the basis for an amendment of CCS TSI (following EECT review) and be sufficiently complete and mature to enable product development.
 - the validation process for version 2, should not jeopardise readiness to start testing and demonstrator activities, and cannot put at risk the feasibility and viability of product development, on the basis that minor adjustments might be included later.
- In addition, the Agency's opinion should provide a clear identification of open points that need to be addressed in view of completing version 3.
- The Agency is invited to discuss and agree with relevant stakeholders if necessary and clarify which further issues must be verified after its opinion is issued.
- In case the Agency identifies serious deviation from the scope established by the System Pillar FRMCS report, or from the provision of a stable and complete set of specifications within the timeline, the opinion should include an initial feasibility analysis of upgrading the FRMCS system specifications and the systems built upon it, at later stages.
- If applicable, the Agency should stress in its opinion any broader considerations in the context of new radio and data communication and raise any issue of importance relating to the development and deployment of FRMCS in the future.
- The Agency should highlight, to the extent possible, any risk identified, including the
 risk of timely delivery of version 3 specifications and propose improvements to the
 process to mitigate such risk.

Given the dawning obsolescence of GSM-R and thus, the need to start deploying new radio equipment in the first half of the 2030-ties, a timely adoption of FRMCS specifications version 2 in CCS TSI, is undisputed.

At this stage, the Commission counts on the Agency to provide stakeholders with a mature version for testing and validation of projects at EU and national level. This process will lead to the finalisation of version 3 of FRMCS specifications that based on the Agency's recommendation planned for end of 2026, the Commission will integrate into CCS TSI. The first complete set of FRMCS specifications will allow the industrial production and deployment of new radio equipment for train communication between drivers and infrastructure staff.

The Commission is aware of the complexity of the opinion for version 2, what its asks for and of the need to address numerous comments from stakeholders on the incomplete specifications proposed by UIC under its contract with the Commission.

2

Nevertheless, considering the agreed calendar for the FRMCS specification versions, the work of ETSI and the upcoming availability for EU facilities to fund testing and validation of projects, the Commission ask the Agency to prepare the technical opinion before the end of 2024 at the latest.

Electronically signed

Kristian SCHMIDT

c.c.: ERA Pio Guido, Jo De Bosschere, Begona Domingo, Maria-Jose Garcia-Prieto, Thomas Chatelet, Wouter Malfait DG MOVE Matthias Ruete, Christof Schoser, Keir Fitch, Sandy Zaehringer, Fidel Santiago

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Electronically signed on 31/10/2024 11:22 (UTC+01) in accordance with Article 11 of Commission Decision (EU) 2021/2121

ANNEX 2

FRMCS V2 list of Open Points

| Index | Title | Topic/feature Description/Comments | | Impact in functionality/applications | Type of open point |
|-------|----------------------------------|---|--|--------------------------------------|--------------------|
| | | IP layer model and IP address ranges | H2H for tight coupled application. How to address the call to the receiver. | H2H in Tight Coupled | System |
| 1 | Addrossing | IP addressing for H-2-N | IP addressing process for H2N involving MCx not defined yet. Needed to contact KMC and PKI outside MCx domain. | H2N applications, like PKI or KMC | System |
| 1 | Addressing | Support to IPv4 by OB/TR Gateways | Support to IPv4 in Obapp (and Tsapp) is needed for migration. Requirements in SRS are O. The OB Applications and TS Applications can be in local networks using IP ranges from IPv4 or IPv6. Coexistence of IPv4 and IPv6 in an interoperable manner is not yet specified. | ETCS/ATO | System |
| 2 | Agent definition | Connecting hosts via plain IP with TR Gateway | The definition of the Agent (required for the superloose applications) is not included. Application regime doesn't include the PKI applications, therefore the agent in the FRMCS Trackside Gateway would need to be implemented. | ETCS/ATO/Others | System |
| 3 | Application categories | Application communication category | In SS-037 it is expressed the need to be able to assign certain categories to the communications applications; placeholder in SRS is needed to take into account the category declared by the application and the mechanisms to handle it. | all | System |
| 4 | Authorisation of Applications | Definition of the metadata for "Authorisation of applications" | The definition of the metadata to be used by the applications is missing. This is to support the applications structure in FRMCS architecture. | All | Functional |
| 5 | Bearer flexibility | Multipath protocols | Choice of one or more MP-protocols. If more than one, specification of FSmpm required Multipath Data Path quality evaluation. | All | System |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|----------------------------|--|--|---|--------------------|
| 6 | Cybersecurity / | Cybersecurity requirements | Service/Transport Stratum Security requirements may need to be revisited/complemented after risk assessment of FRMCS v2 specifications. | All | System |
| 0 | TLS | TLS requirements for OBAPP/TSAPP missing | Connection to PKI before the local binding. How to bring in operation a system only using on-line KMC. Clarification of the cypher suites. | ETCS/ATO | Implementation |
| 7 | Data transmission | Functional description of Data Transmission for different applications | Point to point data transmission between OB and TR is included in v2 but point to multipoint is missing. e.g. used for virtual coupling, Critical Advisory Messaging | Data | Functional |
| 8 | DSD application | DSD alarm transmission | Need to define the functional requirements (if different from a data pipe) and, if needed, structure of the message to be used. Need to define also the system requirements. Even if the application itself will not be harmonised, the interface that it should use to communicate the data shall be OBapp. | DSD application | Functional/system |
| 9 | ETCS related | Incoming end of session notification to ETCS | It needs to be clarified if and how ETCS OB receives incoming End of Session from TR. The OB application could face a situation where the trackside entity receives a new connection request while the first one is not yet closed. | ETCS | System |
| | | Redundancy of OB FRMCS and TR Gateway | Lack of definition of RAM requirements for OB FRMCS and TR Gateway as required from ETCS/ATO applications. | ETCS/ATO | Implementation |
| 10 | Functional Requirements | Functional description is missing for some functions | Lack of functional description for some functions needed for v3 set of specifications. Some examples: Messaging services, critical advisory messages, passenger information | Some applications/functions needed for v3 | Functional |
| 11 | Handhelds | Handheld terminals requirements | Neither functional nor system requirements are available yet. Needed for migration. | Voice | Functional/system |
| 12 | HMI | НМІ | Functional and technical definition still missing. | Voice application/Voice Function/Group calls/REC | Functional/system |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|--------------------------|---|--|---|--------------------|
| | | Role based identification scheme | Criteria Field is missing; e.g. for LDA target_user . Application identifiers for trackside to onboard communication are missing. | All | System |
| 13 | Identities | Role management | Technical requirements missing. Criteria for usage of Functional Alias(es)FA across multiple FRMCS domains are missing. | Voice applications/others | System |
| | | Authorization based on Functional Identities | Technical specification missing. | Voice application/ETCS/ATO | System |
| | | Subscriber identity | In FRS, the use of subscriber ID described in the flow, while in SRS it is not available. | Applications outside MCx | Functional/System |
| 14 | Location and positioning | Missing location & positioning features | Some features regarding Location & positioning are still missing: Usage of more than one location sources by the On-Board FRMCS GNSS additional performance requirements GNSS Security requirements How to link GNSS position OB with group call areas How to derive head of the train and distance travelled | Voice, group calls, REC | System |
| | | Isolation requirements for OB antennas | Isolation requirements for simultaneous operation of RMR and MNO services are missing. | All | System |
| 15 | MNO parameters | Innocuity of PMNO bands to be supported On Board | Studies should be performed on the innocuity of PMNO bands before confirmation of their mandatory support for On-board FRMCS in the v3 set of FRMCS specifications. | All | System |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|---|---|---|--------------------------------------|--------------------|
| | | Network Transition Trigger | Obtention of Train's location information and additional information at the entity generating the Network Transition Trigger (NTT) prior to trigger decision (e.g. knowledge that the train is going to cross the border or Target FRMCS Transport Domain) is not defined. Mechanism for transfer of Network Transition Trigger (NTT) to the On- Board FRMCS is not defined. Manual trigger is not acceptable, at least for ETCS/ATO. | all, mainly ETCS/ATO | System |
| 16 | Network transition | Network Transition for KMC & PKI | Inter-FRMCS-Domain Transition for KMC & PKI applications is missing. | KMC & PKI applications | System |
| | | Inter-FRMCS-Domain Transition with only one OB Radio Module | Possibility to use 1 or 2 Radio Modules (UE) for Network Transition for ETCS is not defined. Choice to be made after testing. To be investigated if two UEs are needed for redundancy. Solution with 2 UEs is available in v2. | ETCS/ATO | Implementation |
| | | Inter FRMCS transition at service layer (for MCx) | MC Migration. 3GPP specifications are available but they have not been tested yet in any environment. | All | System |
| | | Border crossing in eSIM subscription roaming mode | Border crossing in eSIM subscription or eSiM roaming mode. | All | System |
| 17 | Operation and Maintenance | Operation and maintenance of the On-Board FRMCS | Definition of protocols on O&M interfaces, OBOM/FSOMR. Matrix of recommended access for O&M User rights related to FRIOP. Need to clarify the role of OB _{OM} / FS _{OMR} in FRIOP upload and upgrading and what needs to be standardise in this case, if any. | All | System |
| 18 | Profiles: exchange of info, content | Profile exchange / provisioning | Application profile not available (i.e. VAS FRIOP) Role of FS _{OMR} and mechanisms for provision, exchange, upgrades, etc. are missing. | All | System |
| | and credentials | SIM profile | Detail content of SIM profile and format are missing. | All | System |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|---|---|--|---|--------------------|
| | | Certificates (Local Binding, MCX) | Provisions for exchange of certificates, update &store, how to handle MCx credentials when BCx are missing. | All | System |
| | QoS | Quality of Service: KPIs | All target KPI values to be confirmed by tests. QoS measurement procedures are not defined. | All | System |
| 19 | | TCP Parameter settings for ETCS/ ATO and support functions | Characteristics of FRMCS to be found out by field tests. Measurements needed to be able to discuss and propose proper TCP parameter settings. | ETCS/ATO/Others | System |
| | | Recording of data related to REC participation | No functional requirements available yet in V2. For the functionality of confirmation of participation in REC: registration of this data and further access to it are missing. | y of confirmation of participation in REC: registration Voice function and Data | |
| 20 | Recording | Recording of data | Mechanisms and details for recording data not yet defined. System requirements missing. Pointer to ETSI/3GPP specifications is not included in V2. Need to define what needs to be recorded both OB and TR. | Voice function and Data | System |
| 21 | References | Version of the ETSI TS referenced in the FRMCS specifications | The link to the ETSI specifications detailing how to implement the technical solutions described in the SRS was found not to be complete during the EECT RMR process for FRMCS v2, while this should be done for FRMCS v3. | All | System |
| 22 | Registration and deregistration of functional | Reg/De-reg scenario's during migration. | Scenarios for registration and deregistration during migration, including Border Crossing are missing. | Voice/others | Functional |
| 22 | identities (avoid duplication) | IWF GSM-R / FRMCS (unique Train ID) | Not foreseen yet in IWF. | Voice/others | System |
| 23 | SH | Shunting/banking voice communication | Functional requirements not complete in V2; system requirements also missing. This includes the "assured voice communication" and "assured data communication" signals. | SH and banking | Functional/system |
| 24 | Technical requirements | Interconnection (MOCN) versus subscription versus roaming | Technical requirements for interconnection (MOCN) versus subscription versus roaming are missing. | All | System |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|--|---|---|---|--------------------|
| | for accessing MNO resources | Transition scenarios from RMR to PMNO | Transition scenarios from RMR to PMNO are missing. | All | System |
| | Time service | Distribution of synchronised time | Clarifications about the Time Services are needed, from functional and system point of view. | All | Functional/system |
| 25 | | Missing Time Service features | Definition of the interface between GNSS Receiver and Time service (time service derived from Location&positioning OB GNSS) is missing. FRMCS Time Service performance and security requirements are missing. | All | System |
| | Voice Functionality | Inviting-a-user | Functional requirements not completely defined in V2; system requirements also missing. Used to include additional controllers in voice calls (e.g. REC-voice) | Voice function | Functional/system |
| 26 | | On-train voice communication; Voice to intercom | No functional requirements available yet in V2. This includes also outgoing call from train staff towards a ground user and incoming call from a ground user to train staff. | Voice Function | Functional/system |
| | | Voice communication towards passengers (Public Address) | Functional requirements not complete in V2; system requirements also missing. This includes on-train originated calls and coming from the controller. | Voice Function | Functional/system |
| | Voice: multitalker floor control | Multitalker floor control | Additional capabilities related to multitalker floor control, like negotiation or priority scheme, may be needed to be defined in FRS and later in SRS. | Voice | Functional |
| 27 | | Multitalker floor control | Multitalker floor control for voice/REC. Technical elements may be already available in 3GPP R18 that could be sufficient for the migration, but they do not cover the functionality as described in FRS. | Voice application/Voice Function/Group calls/REC | System |
| 28 | Voice System | Call Arbitration and Queuing | Features for call Arbitration are missing. Call Queuing is missing. Merging of two calls is missing. | Voice application/Voice Function | System |
| 28 | | Missing features for voice calls | Definition of parameters for voice applications (group calls, number of simultaneous talkers, etc) is missing. Definition of areas for group calls other than with Cell Ids is missing. | Voice application/Voice Function/Group calls/REC | System |

| Index | Title | Topic/feature | Description/Comments | Impact in functionality/applications | Type of open point |
|-------|-------|---|---|---|-----------------------|
| | | Notification to other users after leaving the REC Voice | Notification mechanism to other participants for User leaving an on on on ongoing REC alert/REC voice | REC | System |
| | | VAS Controller equipment: TS _{CTRL} | TS _{CTRL} for voice dispatcher specification missing. To be confirmed if TS _{APP} is to be used for voice. | Voice Function | System/Implementation |

ANNEX 3

Categorisation of Functional requirement in FRS v2.0.0

This annex is providing a view on which features are expected to be part of V3 set of FRMCS specifications (tagged as "V3" in the following tables) and which are considered to be part of a later set of specifications (tagged as "Vx" in the following tables). The table is a reformatted extract of FRS v2.0.0. The features are separated in FRMCS Common Functions and FRMCS Applications, the latter making use of the first ones. A colour code is used to discriminate between functionalities completely defined in FRS (green colour) and those not yet fully defined (orange colour).

It needs to be noted (refer to <u>EECT RMR process for FRMCS V2</u>) that the final categorisation of "V3" Functional requirements, on a clause-by-clause level, still need to be validated in EECT RMR for FRMCS V2 in January 2025. Based on this final categorisation to be captured in FRS v2.1.0, "V3" System requirements will be derived, using the functional to system mapping tables. Complete categorisation of "V3" Functional and System requirements should be available in the v2.1.0 set of FRMCS specifications, to be used as an input for Test & Validation activities.

| FRMCS Common Function | FRS clause | Target version |
|-----------------------------------|------------|----------------|
| Assured voice communication | 8.2.2 | V3 |
| Multi user talker control | 8.2.3 | V3 |
| Role management and presence | 8.2.4 | V3 |
| Location services | 8.2.5 | V3 |
| Authorisation of communication | 8.2.6 | V3 |
| Authorisation of application | 8.2.7 | V3 |
| QoS and priority | 8.2.8 | V3 |
| Assured data communication | 8.2.9 | Vx |
| Inviting-a-user | 8.2.10 | V3 |
| Arbitration | 8.2.11 | V3 |
| Distribution of synchronised time | 8.2.12 | V3 |
| Billing information | 8.2.13 | Vx |
| Recording | 8.2.14 | V3 |

Common Functions

Table A3-1 Target version of FRMCS Common Functions

Applications

| FRMCS application | FRS clause | Target version |
|--|------------|------------------|
| Generic voice communication | 10.2 | V3 |
| Generic data communication | 11.2 | V3 (optional) |
| Generic video communication | 12.2 | Vx |
| Role management and presence | 11.3 | V3 |
| On-train outgoing voice communication from the train driver towards the controller(s) of the train | 10.3 | V3 |
| On-train incoming voice communication from the controller towards a train driver | 10.4 | V3 |
| Multi-Train voice communication for drivers | 10.5 | V3 |
| Banking voice communication | 10.6 | V3 |
| Trackside Maintenance Voice communication | 10.7 | Vx |
| Shunting Voice Communication | 10.8 | V3 |
| Public emergency call | 10.9 | Vx |
| Ground to ground voice communication | 10.10 | V3 |
| Automatic Train Protection communication | 11.4 | V3 |
| Automatic Train Operation communication | 11.5 | V3 |
| Data communication for Possession Management | 11.6 | Vx |
| Trackside Maintenance Warning System communication | 11.7 | Vx |
| Remote control of engines communication | 11.8 | Vx |
| Monitoring and control of critical infrastructure | 11.9 | V3 (optional) |
| Railway Emergency Communication | 10.11 | V3 ²⁰ |
| On-train safety device to ground communication | 11.34 | V3 |
| Public train emergency communication | 10.13 | Vx |
| Working alone | 10.14 | Vx |
| Access to recording of communications | 11.10 | Vx |
| Data recording and Access | 11.11 | Vx |
| Shunting data communication | 11.12 | Vx |
| Train integrity monitoring data communication | 11.13 | Vx |

 $^{^{\}rm 20}$ REC-Alert with REC-Voice targeted for V3; REC-Data and REC-Alert alone targeted for Vx

| FRMCS application | FRS clause | Target version |
|---|------------|-----------------------------------|
| Public emergency warning | 11.14 | Vx |
| On-train outgoing voice communication from train staff towards a ground user | 10.15 | V3 |
| On-train incoming voice communication from a ground user towards train staff | 10.16 | V3 |
| Railway staff emergency communication | 10.17 | Vx |
| Critical real time video | 12.3 | Vx |
| Critical Advisory Messaging services- safety related data communication | 11.15 | V3 |
| Virtual coupling data communication | 11.16 | Vx |
| Train Parking Protection | 11.17 | Vx |
| Key Management System data communication | 11.18 | V3 |
| Urgent On-train outgoing voice communication from the train driver towards the controller(s) of the train | 10.18 | V3 (optional for trackside) |
| Urgent Multi-Train voice communication for drivers | 10.19 | V3 (optional for trackside) |
| Multi-Train voice communication for drivers excluding ground user(s) | 10.20 | Vx |
| On-train voice communication | 10.21 | V3 (optional) |
| Lineside telephony | 10.22 | Vx |
| On-train voice communication towards passengers (Public Address) | 10.23 | V3 (optional) |
| Station Public Address | 10.24 | Vx |
| Communication at stations and depots | 10.25 | Vx |
| On-Train Telemetry communications | 11.19 | V3 (optional) |
| Infrastructure Telemetry communications | 11.20 | Vx |
| On-train remote equipment control | 11.21 | Vx |
| Monitoring and Control of Non-Critical Infrastructure | 11.22 | Vx |
| Non-critical real time video | 12.4 | Vx |
| Wireless on-train data communication for train staff | 11.23 | Vx |
| Wireless data communication for railway staff on platforms | 11.24 | Vx |

| FRMCS application | FRS clause | Target version |
|---|------------|-----------------------------------|
| Train driver advisory -train performance data communication | 11.25 | Vx |
| Train Departure data communications | 11.26 | Vx |
| Messaging Services | 11.27 | V3 (optional for trackside) |
| Transfer of data | 11.28 | V3 (optional) |
| Record and broadcast of information | 11.29 | Vx |
| Transfer of CCTV archives | 11.30 | Vx |
| Non-critical real time video communication | 12.4 | Vx |
| Augmented reality data communication | 11.31 | Vx |
| Real time translation of speech data communication | 11.32 | Vx |
| Passenger Information System data communication | 11.33 | V3 (optional) |
| Information Help Point for public | Note 1 | Vx |
| Emergency Help Point for public | Note 1 | Vx |
| Wireless internet on-train for passengers | Note 1 | Vx |
| Wireless internet for passengers on platforms | Note 1 | Vx |

Table A3-2 Target version of FRMCS Applications

Note1: clause not yet available in FRS v2.0.0; under consideration as a valid Use Case is already available

ANNEX 4

Impact Assessment Note

Issued as per Art. 8(1) of Regulation (EU) 2016/796 and the Impact Assessment procedure adopted by the ERA Management Board (Decision n.290, 16/03/2022)

1. Context and assessment of impacts

1.1. The context and the CRs in subject

The first set of FRMCS specifications V1 is referenced in CCS TSI 2023. This set was assessed in a Light Impact Assessment for the Agency recommendation for CCS TSI 2023 (see <u>link</u>).

The following Agency Technical Opinion refers to a successor of this set of specification (V2) which is the base for further FRMCS testing and was already announced in Art. 10 of CCS TSI 2023. However, it is not the final version of specifications to be used for the roll-out of FRMCS.

1.2. Analysis performed

The Agency received from UIC the proposal for an updated FRMCS specification (V2) end of March 2024. This proposal was technically reviewed by ERA and the railway sector in several meetings according to the EECT RMR process for FRMCS V2. The result of this review led to the specifications which are referenced in this Technical Opinion.

1.3. Assessment of impacts

Compared to FRMCS V1 specifications, the following specifications V2, which are part of this Technical Opinion, will provide a more robust and more complete set of specifications compared to V1

It allows FRMCS Test & Validation activity at EU level (e.g. MORANE-2) or National level (pilots) and therefore is a pre-requisite to implement FRMCS prototype (test) networks in a number of Member States (e.g. Spain, Germany, France and Sweden). The return of experience gained from these tests will lead to a more developed set of FRMCS specifications V3 which will be part of a revised CCS TSI and be the basis for the trackside and on-board roll out.

1.4 Quantitative assessment of impacts (optional)

At this stage, a quantitative assessment is not necessary as the impacts for the railway sector are minor only impacting the testing phase of FRMCS. The light impact assessment done in 2022 of the recommendation for CCS TSI 2023, where FRMCS V1 is already considered remains valid.

Major impacts are expected for FRMCS V3 which will be the base for the trackside and on-board FRMCS roll out. This set of specification is expected to be available for assessment in 2027.

1.5. Stakeholders affected

| Railway undertakings (RU) | \boxtimes | Member States (MS) | |
|----------------------------------|-------------|--|--|
| Infrastructure managers (IM) | \boxtimes | Third Countries | |
| Manufacturers | \boxtimes | National safety authorities (NSA) | |
| Keepers | | European Commission (EC) | |
| Entity Managing the Change (EMC) | | European Union Agency for Railways (ERA) | |
| Notified Bodies (NoBo) | | Shippers | |
| Associations | | Other (Please specify) | |

The technical opinion mainly impacts all railway stakeholders involved in test runs and lab testing for FRMCS with the aim to establish a final set of specifications (V3) for the roll out of FRMCS.

2. Preferred option

2.1. Recommendation

There are no options. Therefore, the recommendation is to proceed with the usage of technical specifications as set out in the ERA Technical Opinion for FRMCS test and validation activities.